

Article

Valorization of Spent Sugarcane Fermentation Broth as a Source of Phenolic Compounds

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Abstract: A methodology based on a solid phase extraction (SPE) was optimized for the recovery of phenolic compounds from the spent fermentation broth generated from Biofene[®] (trans- β -farnesene) production. For this purpose, two resins (XAD-2 and HP-20) and three desorption solutions (water, 50/50 ethanol/water, and ethanol) were tested. The most efficient resin revealed to be the HP-20, using ethanol as desorption solution, reaching an overall total phenolic compound recovery of ca. 80% when 6 BV (bed volume) of both feed and ethanol were applied. The optimization of the resin's process cycle pointed to 15 BV feed to be treated *per* cycle and using the same volume of ethanol in the desorption step, with no need for an extra resin regeneration step, stably yielding 48% total phenolic compound recovery from the spent broth for at least 4 cycles, translating into 60 BV of feed being treated *per* BV of resin, and with the resin being still perfectly active. The extract was characterized using LC–ESI–UHR–QqTOF–MS, and a total of 82 and 15 compounds were identified, in negative and positive ionization modes, respectively. Organic acids were the main class of compounds identified in the phenolic-rich extract, followed by phenolic compounds, saccharides, peptides or amino acids and vitamins. Additionally, the extract revealed a significant antioxidant capacity (914.1 ± 51.6 and 2764.5 ± 142.8 μmol Trolox equivalents/g-dw, respectively, with ABTS and ORAC methodologies), which might be interesting for a wide variety of applications.

Keywords: phenolics; antioxidants; solid phase extraction; LC-MS; waste/by-products valorization



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1. Introduction

Polyphenolic substances are usually subdivided into two groups: flavonoids and non-flavonoid compounds. The flavonoids have a common core, the flavane nucleus, consisting of two benzene rings linked by an oxygen-containing pyran ring, and they can exist as glycosides or aglycones. Differences in the degree of oxidation of the heterocyclic pyran ring and hydroxylation/methoxylation of the three rings results in a large family of structures with essential differences in physicochemical properties and stability [1]. Flavonoids are classified into the following six subclasses: flavonols such as kaempferol, quercetin, and myricetin; flavan-3-ols such as catechin, epicatechin, and tannins; anthocyanins including cyanidin-3-glucoside, peonidin-3-glucoside, delphinidin-3-glucoside, petunidin-3-glucoside, and malvidin-3-glucoside [1]; flavones, isoflavones and flavanones [2]. The main flavones found in plants are apigenin, luteolin, and tricetin in the aglycone forms; however, flavones are mainly present in their glycoside forms [3]. The main flavanones found in plants are the aglycone forms, hesperidin, naringenin, isosakuratenin, and heridictyol; however, the flavanones are also present as the glycosides rutinoid and neohesperidoid [2]. Non-flavonoid compounds are mainly phenolic acids, derivatives of benzoic acid (benzoic acid, *p*-hydroxybenzoic acid, protocatechuic acid, vanillic acid, gallic acid, and syringic acid), and derivatives of cinnamic acid (cinnamic acid, *p*-coumaric acid, caffeic acid, ferulic acid, and sinapic acid). Non-flavonoid compounds also include stilbenes and stilbene glycosides [1].

Phenolic compounds exhibit potential physiological properties that may be used in food, cosmetics, and pharmaceutical applications [4]. These compounds, either individually or combined, are responsible for various health benefits, such as prevention of inflammation disorders, cardiovascular diseases, or protective effects to lower the risk of various cancers [3,5].

The recovery of phenolic compounds from plant materials includes conventional and unconventional methods. Conventional extraction methods include solid–liquid extraction (SLE) or Soxhlet extraction, liquid–liquid extraction (LLE) and maceration [6]. The unconventional methods include pressurized liquid extraction (PLE), subcritical water extraction (SWE), supercritical fluid extraction (SFE), microwave-assisted extraction (MAE), solid phase extraction (SPE), ultrasound-assisted extraction (UAE), high hydrostatic pressure extraction (HHPE), solid-supported liquid–liquid extraction (SSLLE), matrix solid-phase dispersion (MSPD), and counter-current chromatography (CCC) [7]. These last techniques, besides decreasing the consumption of solvents, also have higher extraction efficiencies and higher selectivity and allow automation [8,9].

Sugarcane is widely used as fermentation feedstock for production of biomass, biofuels, and bioproducts [10]. Several works have been conducted in order to quantify phenolic compounds in sugarcane juice and sugarcane waste fermentation [11–14]. In these studies, HPLC-DAD was used for the identification and quantification of the main phenolic compounds, revealing a predominance of flavones (apigenin, luteolin and tricetin derivatives), among flavonoids, and of *p*-coumaric acid, caffeic and sinapic acids among phenolic acid derivatives, representing a total content of around 160 mg/L (catechin equivalents) [11,13]. Moreover, the phenolic concentration in the sugarcane juice extracted from 10 sugarcane varieties during the harvest season could reach 250 mg/L (gallic acid equivalents) [12]. Likewise, in the analysis of fresh sugar cane juice, among 32 phenolic compounds identified by mass spectrometry (MS), a total of 17 were quantified by HPLC-DAD, comprising, in decreasing order of abundance, flavones (38–49 mg/L), dilignols (22–29 mg/L), and phenolic acid derivatives (17–30 mg/L) [15].

Amyris, Inc. (Nasdaq: AMRS) is a leading synthetic biotechnology company aiming for the valorization of high-value ingredients through sugarcane yeast fermentation. A particular fermentation process was developed by Amyris for the production of Biofene[®], trans- β -farnesene [16]. This fermentation process generates a high volume of spent broth, an aqueous side stream, rich in nutrients and minerals with high potential for valorization. In view of the circular economy, the recovery of phenolic compounds from sugarcane fermentation waste streams is very promising. Indeed, phenolic compound concentration has been shown to increase during sugarcane fermentation processes. For instance, sugarcane vinasse, an acidic brownish liquid generated after fermentation and distillation of sugarcane juice for production of ethanol, was found to have a total concentration of phenolic compounds of 450 mg/L [17,18], 469 mg/L [19] and 667 mg/L [20].

Thus, this work aimed to recover phenolic compounds from the spent fermentation broth from the Biofene[®] production. In this context, the aim of the present study was to optimize a phenolic compound recovery process based on solid phase extraction (SPE). The resultant phenolic-rich extract was exhaustively characterized using a method based on LC–ESI–UHR–QqTOF–MS.

2. Materials and Methods

2.1. Chemicals

The following chemicals were purchased from Sigma-Aldrich (Sintra, Portugal): gallic acid monohydrate (PNr.-398225, $\geq 98.0\%$); protocatechuic acid (3,4-dihydroxybenzoic acid; 37580, $\geq 97.0\%$); xanthurenic acid (PNr.-D120804, 96.0%); kynurenic acid (PNr.-K3375, $\geq 98.0\%$); gentisic acid (2,5-dihydroxybenzoic acid; PNr.-149357, 98%); chlorogenic acid (PNr.-C3878, $\geq 95.0\%$); dihydrocaffeic acid (3,4-dihydroxyhydrocinnamic acid; PNr.-102601, 98%); cryptochlorogenic acid (4-*O*-caffeoylquinic acid; PNr.-65969, $\geq 98.0\%$); vanillic acid (PNr.-94770, $\geq 97.0\%$); caffeic acid (PNr.-C0625, $\geq 98.0\%$); syringic acid (PNr.-

S6881, $\geq 95.0\%$); *p*-coumaric acid (PNr.-C9008, $\geq 98.0\%$); *trans*-ferulic acid (PNr.-128708, 99.0%); isoorientin (luteolin 6-C-glucoside; PNr.-I1536, $\geq 98.0\%$); naringenin (naringenin-7-neohesperidoside; PNr.-52186, $\geq 95.0\%$); apigenin (4',5,7-trihydroxyflavone; PNr.-10798, $\geq 95.0\%$); 2,2'-Azino-bis(3-ethylbenzothiazoline-6-sulfonic acid) diammonium salt (ABTS, PNr.-A1888); 2,2'-Azobis(2-methylpropionamidine) dihydrochloride (AAPH, PNr.-440914); 6-hydroxy-2,5,7,8-tetramethylchroman-2-carboxylic acid (Trolox, PNr.-238813); fluorescein disodium salt (PNr.-F6377); sodium carbonate (PNr.-S2127); potassium persulphate (PNr.-216224); and sodium dihydrogen phosphate (PNr.-S3139).

The following chemicals were purchased from Extrasynthese: neochlorogenic acid (5-O-caffeoylquinic acid; PNr.-4961 S, $\geq 99.0\%$); vanillin (PNr.-6110 S, $\geq 99.0\%$); schaftoside (apigenin-6-C-beta-D-glucoside-8-C-alpha-L-arabinoside; PNr.-1369, 90%); isoschaftoside (apigenin-6-C-arabinoside-8-C-glucoside; PNr.-1354, $\geq 95.0\%$); orientin (luteolin-8-C-glucoside; PNr.-1054 S, $\geq 99.0\%$); vitexin (apigenin-8-C-glucoside; PNr.-1232 S, $\geq 99.0\%$); vitexin-2''-O-rhamnoside (apigenin-8-C-glucoside-2''-O-rhamnoside; PNr.-1006 S, $\geq 98.0\%$); isoferulic acid (PNr.-6114, $\geq 90.0\%$); luteolin-4'-O-glucoside (juncein; PNr.-1412 S, $\geq 98.0\%$); prunin (naringenin-7-O-glucoside; PNr.-1160 S, $\geq 99.0\%$); luteolin (3',4',5,7-tetrahydroxyflavone; PNr.-1125 S, $\geq 99.0\%$); and diosmetin (3',5,7-trihydroxy-4'-methoxyflavone; PNr.-1108 S, $\geq 99.0\%$).

Methanol, acetonitrile, formic acid and Folin–Ciocalteu reagent were purchased from Sigma-Aldrich (Madrid, Spain).

The following chemicals were purchased from Supelco: Amberlite® XAD®-2 (PNr.-SU853005) and Diaion® HP-20 (PNr.-13606).

2.2. Recovery of Phenolic Compounds

2.2.1. Sample Preparation

The raw clarified spent fermentation broth was supplied by Amyris, Inc. (Brotas, Brazil). The samples were pretreated for major mineral removal through an ion exchange process and further clarified through microfiltration, resulting in the so denominated spent broth used throughout the experiment.

2.2.2. Solid Phase Extraction (SPE)

For this experiment two commercial food-grade polymeric resins (Table 1), Amberlite® XAD®-2 (XAD-2) and Diaion® HP-20 (HP-20), were assessed based on their adsorption and further desorption with ethanolic solutions with the aim of selecting the most suitable resin for the practical recovery of phenolic compounds from the spent broth.

Table 1. Physicochemical characteristics of the commercial resins used for the recovery of phenolic compounds.

Resin ID	XAD-2	HP-20
Brand (Grade name)	Amberlite® XAD®-2	Diaion® HP-20
Matrix	Styrene-divinylbenzene	Polystyrene/divinylbenzene
Surface area (m ² /g)	330	600
Pore radius (Å)	90	260
Particle size (mm)	0.25–0.84	0.25–0.60
Density (g/mL)	1.02	1.01

A Visiprep™ SPE Vacuum Manifold was used to perform solid phase extraction with 4 SPE tubes, applying 1 g of resin in each tube. The resin activation consisted of eluting 5 BV (bed volume) of methanol followed by 5 BV of deionized water at a constant flow of 1 BV/min. The absorption step was performed eluting 6 BV of sample (spent broth) with ca. 50 mg/L (LC-MS) or approx. 1 g/L of total phenolic compounds (TPC). Each 1 BV (1 mL) of eluate was collected for further analysis. At the end of the absorption experiment, i.e., after the elution of 6 BV of sample, the resins were washed with 1 BV of deionized water (1 mL), and further used in the desorption experiment, in which three solutions were tested: (1) water at pH 2, (2) ethanol/water 50/50 at pH 2, and (3) ethanol at pH 2. Nine

BV (9 mL) of desorption solution were eluted at 1 BV/min, and samples of eluate were collected for further analysis. All conditions were assessed in duplicate.

2.3. Analytical Methods

2.3.1. Total Phenolic Compounds (TPC) Content

The TPC content was evaluated through the Folin–Ciocalteu spectrophotometric methodology using an automated plate reader according to the protocol described by Coelho et al. [21]. Briefly, to 20 µL of sample/standard (diluted when needed), 80 µL of Folin–Ciocalteu reagent (10 vol.%) and 100 µL of sodium carbonate (7.5 wt./vol.%) were added. The mixture was incubated for 1 h at room temperature, and then, the absorbance at 750 nm was measured. A calibration curve was plotted with the absorbance of gallic acid solutions from 0.100 to 0.125 g/L, ranging in absorbance from 0.1 to 1, versus the respective concentrations. The TPC content was expressed as grams of gallic acid equivalent per liter (g-gae/L). The analyses were performed in triplicate.

2.3.2. LC–ESI–UHR–QqTOF–MS Analysis

A UHPLC from Bruker Elute series, coupled to an UHR-QqTOF mass spectrometer (Impact II, Bruker), was used. Separation of metabolites was performed using a BRHSC18022100 intensity Solo 2 C18 column (100 × 2.1 mm, 2.2 µm, Bruker) with a gradient flow of 0.250 mL/min (Table 2).

Table 2. Mobile phase gradient program.

Time (min)	Phase A ¹ (%) Ionization Mode	
	Negative	Positive
0.0	100	95
10.0	79	-
14.0	73	5
18.3	42	5
20.0	0	5
22.0	0	95
24.0	0	95
24.1	100	95
26.0	100	95

¹ Phase A (Water 0.1% formic acid); Phase B (Acetonitrile 0.1% formic acid).

Parameters for MS analysis were set using negative ionization mode, for mainly phenolic compounds and organic acids, over a mass to charge ratio (m/z) range from 20 to 1000; and positive ionization mode, for mainly sugars, polysaccharides, peptides, and vitamins, over an m/z range from 150 to 2200. The selected parameters were those presented in Table 3, and the analyses were performed in an Auto MS (MS/MS) mode. Post-acquisition internal mass calibration used a sodium formate solution (negative ionization mode), or a tuning calibration solution mix ESI-TOF mass spectrometry (positive ionization mode) delivered by a syringe pump, at the start of each chromatographic analysis.

Table 3. Source and tune parameters.

	Ionization Mode	
	Negative	Positive
End plate offset voltage (V)	500	500
Capillary voltage (V)	3000	4500
Drying gas temperature (°C)	200	220
Drying gas flow (L/min)	8.0	9.0
Nebulizing gas pressure (bar)	2.0	0.6

2.3.3. Phenolic Compound Quantification

Phenolic compounds were quantified by LC-MS in negative ionization mode, as described in the previous section. Table 4 lists the analytical parameters of the working method for 28 selected phenolic compounds, with the retention times, m/z , slope, intercept, and linear range of the standard calibration curves. The methodology applied was adapted from Oliveira et al. [22]. A linear regression was adjusted to the chromatographic area versus the compound's concentration data.

Table 4. Retention times (RT), m/z ($[M-H]^-$), slope, intercept, and linear range of phenolic compound standards.

Phenolic Compound	RT (min)	$[M-H]^-$	Slope	Intercept	Linear Range (mg/L)
Gallic acid	4.40	169.013	2563438	111233	0.02–1.00
Protocatechuic acid	6.03	153.017	2665367	90988	0.02–1.00
Neochlorogenic acid	6.73	353.088	3056952	101395	0.02–1.00
Xanthurenic acid	7.04	204.029	2728392	141330	0.02–1.00
Kynurenic acid	7.67	188.034	2467655	167725	0.02–1.00
Gentisic acid	7.78	153.017	2818874	176264	0.02–1.00
Chlorogenic acid	8.21	353.084	3299726	44199	0.02–1.00
Dihydrocaffeic acid	8.42	181.049	3224983	178143	0.02–1.00
Cryptochlorogenic acid	8.46	353.084	2951195	45491	0.02–1.00
Vanillic acid	8.81	167.033	226048	10686	0.02–1.00
Caffeic acid	8.95	179.033	4475963	201012	0.02–1.00
Syringic acid	9.28	197.043	412367	23715	0.02–1.00
Vanillin	10.52	151.038	332462	1281	0.02–1.00
Schaftoside	10.56	563.140	3318783	57986	0.02–1.00
Isoorientin	10.77	447.093	1664575	189245	0.02–1.00
Isoschaftoside	10.80	563.141	3141630	57687	0.02–1.00
<i>p</i> -Coumaric acid	10.85	163.038	3884267	179952	0.02–1.00
Orientin	10.86	447.093	2954171	137752	0.02–1.00
Vitexin	11.68	431.098	3187049	55612	0.02–1.00
Vitexin-2''-O-rhamnoside	11.71	577.156	1836598	23619	0.02–1.00
Ferulic acid	11.73	193.049	1769345	119285	0.02–1.00
Isoferulic acid	12.10	193.049	916475	63247	0.02–1.00
Luteolin-4'-O-glucoside	13.65	447.093	4435319	29097	0.02–1.00
Prunin	14.20	433.114	2131750	26077	0.02–1.00
Luteolin	16.74	285.040	13011525	158518	0.02–1.00
Naringenin	17.82	271.070	6506256	162853	0.02–1.00
Apigenin	17.91	269.045	8655494	235913	0.02–1.00
Diosmetin	18.13	299.055	9390446	124375	0.02–1.00

2.3.4. Antioxidant Activity

Radical Scavenging Activity (ABTS Assay)

The radical scavenging activity was determined with the ABTS spectrophotometric methodology using an automated plate reader, according to the protocol described by Gião et al. [23]. A solution with 7 mM of ABTS and 2.45 mM of potassium persulphate

were mixed in water to trigger the ABTS radical cation ($\text{ABTS}^{\bullet+}$). The prepared solution was stored in the dark before use. The initial optical density of the $\text{ABTS}^{\bullet+}$ solution, measured at 734 nm, was firstly adjusted with ethanol to an absorbance of 0.700 ± 0.020 . Then, to 15 μL of sample (diluted when needed)/standard/ethanol (control), 200 μL of $\text{ABTS}^{\bullet+}$ solution was added, and the mixture was incubated for 6 min at 30 °C. After this time, the absorbance at 734 nm was measured, and the reduction in absorbance represented the sample $\text{ABTS}^{\bullet+}$ scavenging capacity. The percentage of inhibition was calculated using the following formula: % Inhibition = $[(A_{C(0)} - A_{A(t)})/A_{C(0)} \times 100]$, where $A_{C(0)}$ is the initial optical density of the $\text{ABTS}^{\bullet+}$ solution plus ethanol (control), and $A_{A(t)}$ is the optical density of the $\text{ABTS}^{\bullet+}$ solution plus sample or standard after 6 min. A calibration curve was plotted with the percentage of inhibition of Trolox from 0.01 to 0.15 g/L, ranging in percentage of inhibition from 5 to 85 versus the respective concentration. The results were expressed as micromoles of Trolox equivalent per gram of sample's dry weight (μmol Trolox equivalents/g). The analyses were performed in triplicate.

Oxygen Radical Absorbance Capacity (ORAC Assay)

Oxygen radical absorbance capacity (ORAC) assay was performed according a protocol adapted from that of Coscueta et al. [24] in black polystyrene 96-well microplates (Nunc, Denmark). Sample or standard (20 μL) and fluorescein solutions (120 μL ; 119 nM, final concentration per well) were placed in the well of the microplate at 200 μL final volume. A blank using 75 mM phosphate buffer (pH 7.4) instead of sample and eight calibration solutions using Trolox (10 to 80 $\mu\text{mol/L}$, final concentration per well) as antioxidant standard were also used in each assay. The mixture was preincubated for 10 min at 37 °C. Afterward, an AAPH solution (60 μL ; 48 mM, final concentration per well) was added. The microplate was immediately placed in the reader, and the fluorescence was recorded at intervals of 1 min for 120 min with an excitation wavelength at 485 nm and the emission wavelength at 528 nm. The microplate was automatically shaken before each reading. This assay was performed with a multidetector plate reader (Synergy H1, VT, Santa Clara, CA, USA) controlled by the Gen5 Biotek software. Both AAPH and Trolox solutions were freshly prepared, and fluorescein was diluted from a stock solution (1.19 mM) in the same phosphate buffer. Antioxidant curves (fluorescence versus time) were first normalized to the curve of the blank corresponding to the same assay by multiplying original data by the factor $[(\text{fluorescence blank at } t = 0)/(\text{fluorescence control at } t = 0)]$. A calibration curve was plotted with the normalized fluorescence of Trolox from 10.0 to 80.0 $\mu\text{mol/L}$, ranging from a normalized fluorescence of 1×10^7 to 1×10^8 , versus the respective concentration. The results were expressed as micromoles of Trolox equivalent per gram of dry weight (μmol Trolox equivalents/g). The analyses were performed in triplicate.

3. Results and Discussion

3.1. Spent Broth's Phenolic Compound Characterization

The TPC content in the spent broth was determined to be 1.12 ± 0.02 g-gae/L.

Results obtained by LC-MS showed that the higher concentrations of phenolic compounds in the spent broth corresponded to the class of phenolic acids and hydroxybenzoic acids (ca. 17.29 mg/L), followed by flavone glycosides (ca. 2.45 mg/L), hydroxycinnamic acids (ca. 1.88 mg/L), chlorogenic acids (ca. 1.35 mg/L), flavones (ca. 0.03 mg/L), and flavanones (0.01 mg/L) (Table 5). These results agree with the reported analysis of fresh sugar cane juice, although in a lower concentration, where the higher concentrations of phenolics comprised flavones (38–49 mg/L) and phenolic acid derivatives (17–30 mg/L), along with lignin derivatives [15].

Table 5. Phenolic compound classes and content in the spent broth.

Phenolic Compound Classes	Concentration (mg/L)	Phenolic Compounds
Hydroxybenzoic acids	17.29 ± 0.38	Gallic acid; Protocatechuic acid; Syringic acid; Gentisic acid; Dihydrobenzoic acid derivative; and Vanillic acid
Flavone glycosides	2.45 ± 0.10	Schaftoside; Isoschaftoside; Orientin; Isoorientin; Vitexin; and Vitexin-2''-O-rhamnoside
Hydroxycinnamic acids	1.88 ± 0.06	Caffeic acid; Caffeic acid derivative; <i>p</i> -Coumaric acid; <i>p</i> -Coumaroylmalic acid isomer I; <i>p</i> -Coumaroylmalic acid isomer II; Ferulic acid; Isoferulic acid; Feruloylquinic acid isomer I; $\text{ew}^{\circ} + \text{'}$ « Feruloylquinic acid isomer I; and Dihydrocaffeic acid
Chlorogenic acids	1.35 ± 0.02	Feruloylquinic acid isomer I; Feruloylquinic acid isomer II; <i>cis</i> -5-O- <i>p</i> -Coumaroylquinic acid; Neochlorogenic acid; Cryptochlorogenic acid; and Chlorogenic acid
Flavones	0.03 ± 0.00	Luteolin; Apigenin; Tricin; and Diosmetin
Flavanones	0.01 ± 0.00	Naringenin

Due to the high amount of a specific class of compounds, the quinoxalines, which comprise xanthurenic and kynurenic acids, these were also counted. A total of 23.01 ± 0.57 mg/L of phenolic compounds were quantified along with 28.37 ± 0.19 mg/L of quinoxalines, together adding 52.1 ± 0.8 mg/L. This quantification was considered in the recovery of phenolic compounds.

3.2. Recovery of Phenolic Compounds

3.2.1. Selection of the Resin and Desorption Agent

A preliminary experiment was conducted, in which phenolic compound adsorption with the two resins, XAD-2 and HP-20, and different desorption solutions were assessed. For this experiment, the phenolic compounds were monitored by LC-MS quantification.

Both resins XAD-2 and HP-20 were efficient in absorbing phenolic compounds, with approximately 80% retention in the resins, $79.4 \pm 4.0\%$ and $82.4 \pm 5.8\%$ in XAD-2 and HP-20, respectively (with no significant differences between them, p -value = 0.349) when 6 BV of spent broth was eluted (Figure 1).

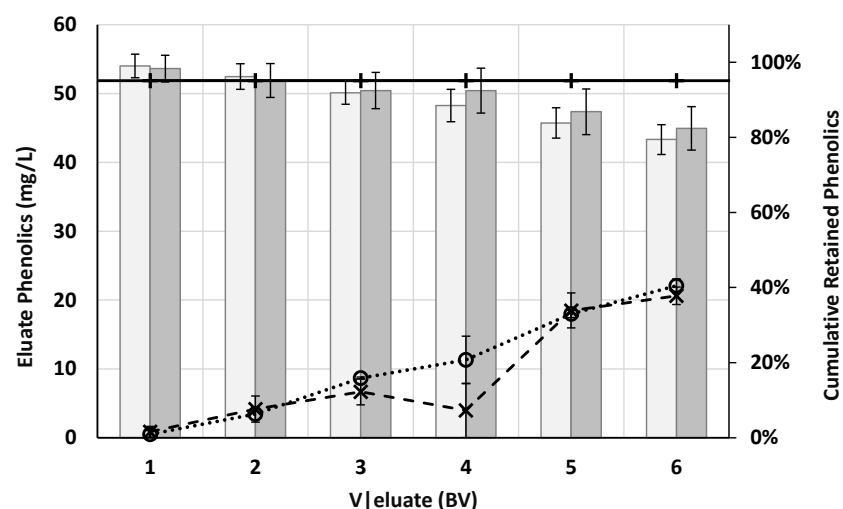


Figure 1. Phenolic compound concentrations (mg/L) in the eluates (lines) and corresponding percentages of phenolics cumulative retention (columns) in resin XAD-2 (○, lighter grey) and HP-20 (x, darker grey) for the different eluted volumes (V|eluate). Solid line marks the phenolic compounds concentration in the spent broth.

Regarding the desorption of the phenolic compounds from the resins, water showed very low efficiency, allowing the recovery of only $27.2 \pm 2.5\%$ and $28.8 \pm 3.1\%$ of the spent broth phenolic compounds from XAD-2 and HP-20 resins, respectively (Figure 2), with no significant differences between them (p -value = 0.228). On the other hand, both ethanol-water 50/50 solution and ethanol were shown to be efficient, allowing the overall recovery of over 80% of the phenolics initially present in the spent broth by applying an eluent volume of at least 7 BV. Nevertheless, ethanol allowed the recovery of more phenolic compounds from both resins, reaching, respectively in the XAD-2 and HP-20, $76.1 \pm 1.8\%$ and $89.8 \pm 3.9\%$ recovery when 6 BV was applied (same feed eluted volume) (p -value = 0.032), and $83.7 \pm 5.4\%$ and $98.4 \pm 4.3\%$ when an eluent volume of 7 BV was applied (p -value = 0.048) (Figure 2).

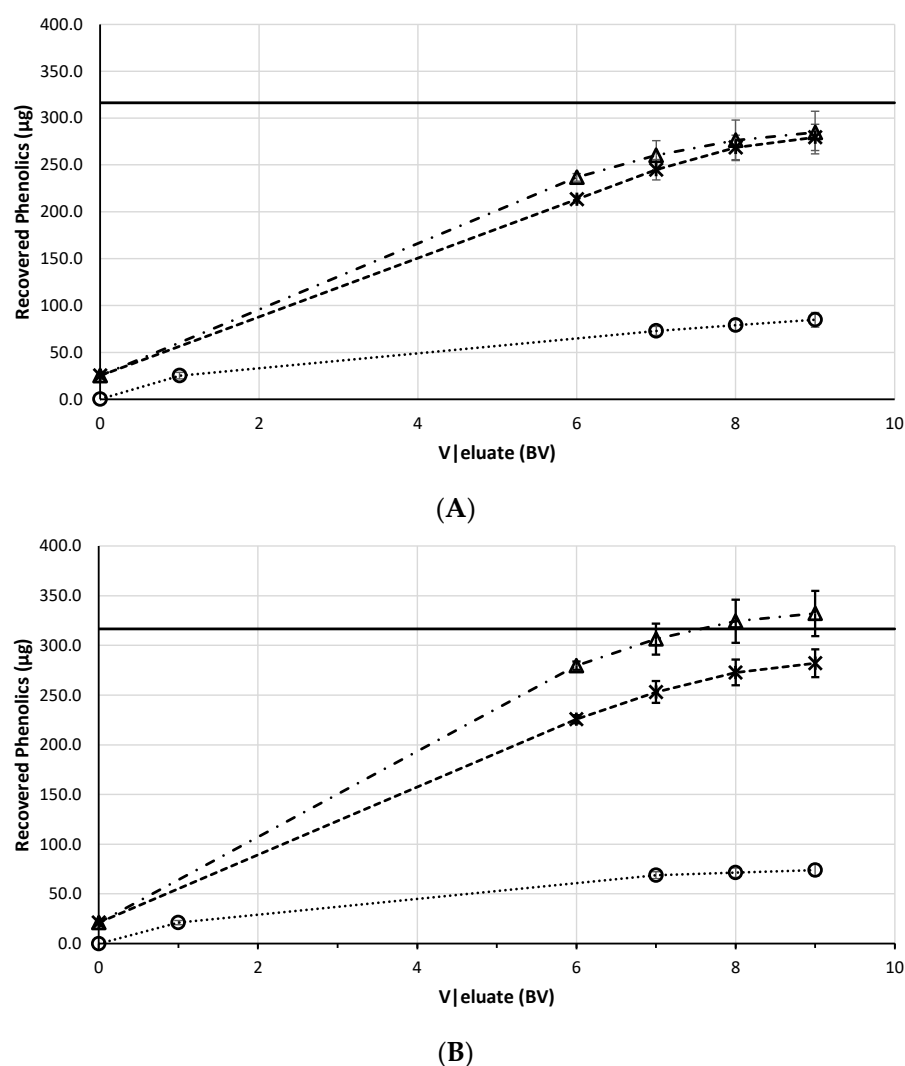


Figure 2. Amount of recovered phenolic compounds (µg) using different desorption solutions: water (○), 50/50 ethanol-water mixture (x), and ethanol (Δ), in resins XAD-2 (A) and HP-20 (B). Solid line marks the maximum amount of phenolic compounds, considering the eluted feed (6 BV of spent broth).

Regarding previous studies with honey, XAD-2 resin extraction with methanol yielded recoveries ranging up to 65.2% [25], and close to 95% [26]. Considering winery wastes, the adsorption yields ranged from 69.2% to 75.2%, with XAD-2 and HP-20 resins, respectively, and the range of recovery using the HP-20 resin was 67% [27]. Considering sugarcane wastewater from bioethanol production, HP-20 resin recovered 74.5% of the wastewater colorants with antioxidant activity [28].

From the results of the absorption and desorption experiments, it was clear that the process with HP-20 resin desorbed with ethanol allowed higher phenolic compound recovery yields; $89.8 \pm 2.5\%$ of the identified phenolic acids in the spent broth were recovered (corresponding to $83.4 \pm 4.2\%$ of TPC recovery) applying similarly eluted volumes of feed (spent broth) and desorption (ethanol) solution. Thus, the phenolic compound recovery process was further assessed using this combination of resin and desorption solution and settings.

3.2.2. Process Assessment

The process was further assessed to determine the resin's process cycle. The TPC content was used for monitoring this experiment. The optimum amount of spent broth to be treated in the absorption step was determined by sequentially step-eluting 5 BV (5 mL in 1 g of resin) up to 25 BV (25 mL) of spent broth and thus estimating the quantity of phenolic compounds retained in the resin through the difference in these compounds' concentrations in the feed and in the eluate (Figure 3). The breakthrough point, set for when the eluate's TPC content reached 70% of that of the feed, was reached after the elution of 15 BV of spent broth (Figure 3), which was set as the eluent volume for the absorption step. Since the desorption solution used ethanol, one of the possible regenerant solutions applicable for the resin, a regeneration step was not required in the developed process.

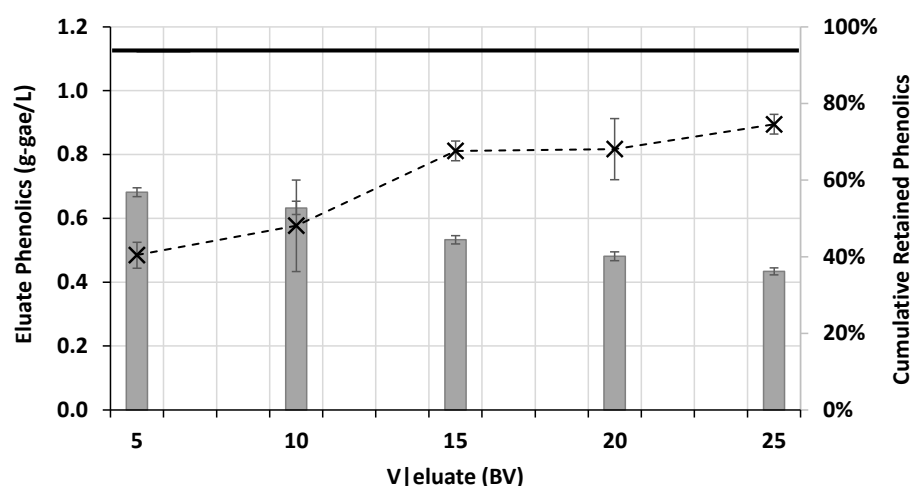


Figure 3. Total phenolic compounds (TPC) in the eluate (x, dashed line) and corresponding percentage of phenolics cumulative retention (column) in the HP-20 resin for the different eluted volumes (V|eluate). Solid line marks the maximum amount of phenolic compounds, considering the eluted feed.

The optimization of the number of absorption/desorption cycles was then attempted, applying a set of four absorption/desorption cycles (15 BV each). Indeed, the minimum phenolic compound recovery setpoint was not reached, with the recovery remaining constant at ca. 48% (Figure 4). The obtained ethanolic extracts were dried through ethanol evaporation. The solvent might be recovered and reused in the process; however, this approach was not assessed in this study. The low percentage of phenolics recovery (about 48%) given by the Folin–Ciocalteu methodology might be due to possible interference from proteins in the used analytical methodology. In fact, HP-20 resins recovered about 30% of proteins from the spent broth (results not shown), which might have significantly decreased the final phenolic compounds recovery results. Nevertheless, the optimization of the eluted feed in each resin's process cycle, 15 BV, as well as the number of absorption/desorption cycles, at least four cycles, was successfully achieved.

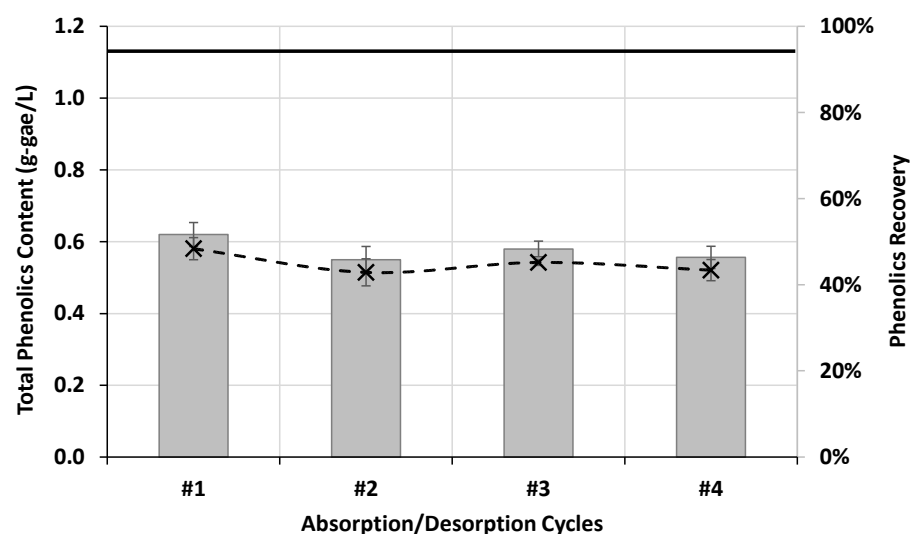


Figure 4. Phenolic compounds (TPC) in the recovered extracts (x, dashed line) and corresponding percentage of phenolics recovery (columns) in four absorption/desorption cycles of 15 BV in the HP-20 resin. Solid line marks the maximum amount of phenolic compounds, considering the eluted feed.

The proposed process for the recovery of a phenolic compound-rich extract from spent fermentation broth uses the SPE technique, which is widely used in analytical chemistry for sample preparation [29,30]. SPE industrial applications have been limited to small scale production of particularly high-purity products or to extraordinarily difficult separations [31], despite this being a potentially cost-effective system, since the solid adsorbents tend to be highly reusable, maintaining their function over multiple adsorption/desorption cycles [32]. Previously, SPE was intended for industrial-scale applications under batch mode in a mixed container [33], but column adsorption, as proposed in the present work, has been shown to be more feasible [34]. Additionally, the proposed process uses ethanol, a food-compatible solvent with lower environmental risk than methanol or acetone [35], commonly used as solvents in SPE. Thus, this work contributes to a further, sustainable application of SPE, and ethanol was demonstrated to be efficient and could be recovered in the overall process, suggesting lower operational costs.

3.3. Phenolic-Rich Extract Characterization

3.3.1. Phenolic-Rich Extract Characterization using LC–ESI–UHR–QqTOF–MS

Full characterization of the phenolic-rich extract was tentatively achieved by LC–ESI–UHR–QqTOF–MS in both negative and positive ionization modes. Tables 6 and 7 present, respectively, the annotated metabolites in negative and positive ionization modes. These annotations were generated using MetaboScape[®] version 4.0 from Bruker or by comparison with pure standards. The compounds present in the Bruker Metabolite Library exploiting accurate mass match were less than 2 ppm, therefore, less than 0.002 Da (2 mDa), and the isotopic pattern fit (mSigma value) was less than 20. A total of 82 compounds were identified in negative ionization mode, and 15 compounds in positive ionization mode. All compounds are listed in decreasing order of signal intensity. The highest compounds identified in negative ionization mode were the quinoxalines xanthurenic acid and kynurenic acid, along with the phenyllactic and leucinic acids (Table 6), two important antimicrobial compounds [36,37]. The highest compounds identified in positive ionization mode were tricin 7-rhamnosyl-(1->2)-galacturonide, a tricin derivative, two isomers of 6-hydroxyluteolin 6,4'-dimethyl ether 7-rutinoside, and the vitamin riboflavin (Table 7). Organic acids were the main class of compounds identified in the phenolic-rich extract, follow by phenolic compounds, saccharides, peptides and amino acids, and vitamins (Tables 6 and 7).

Table 6. Phenolic-rich extract characterization in negative ionization mode (From MetaboScape[®], ordered by decrease order of signal intensity).

Rt (min)	m/z	Negative Mode Ions	Name	Molecular Formula	mSigma	MS/MS Score	Class
7.04	204.029	[M-H] [−]	Xanthurenic acid	C ₁₀ H ₇ NO ₄	4.5	986.2	Organic acid
7.67	188.034	[M-H] [−]	Kynurenic acid	C ₁₀ H ₇ NO ₃	4.1	992.8	Organic acid
11.13	165.054	[M-H] [−] , [M+Cl] [−]	Phenyllactic acid	C ₉ H ₁₀ O ₃	0.5	984.6	Organic acid
9.75	131.070	[M-H] [−]	Leucinic acid	C ₆ H ₁₂ O ₃	0.6	908.6	Organic acid
6.33	117.054	[M-H] [−]	2-Hydroxy-2-methylbutyric acid	C ₅ H ₁₀ O ₃	1.0	982.5	Organic acid
11.14	195.065	[M-H] [−]	Homoveratric acid	C ₁₀ H ₁₂ O ₄	0.7	920.1	Organic acid
10.56	563.140	[M-H] [−] , [M-H-H ₂ O] [−]	Schaftoside	C ₂₆ H ₂₈ O ₁₄	3.7	910.2	Phenolic
8.42	181.049	[M-H] [−]	3,4-Dihydroxyhydrocinnamic acid (Dihydrocaffeic acid)	C ₉ H ₁₀ O ₄	0.7	937.1	Phenolic
7.76	137.022	[M-H] [−]	4-Hydroxybenzoic acid	C ₇ H ₆ O ₃	0.2	999.7	Phenolic
7.82	175.060	[M-H] [−]	2-Isopropylmalic acid	C ₇ H ₁₂ O ₅	0.8	901.6	Organic acid
6.96	181.049	[M-H] [−]	Hydroxyphenyllactic acid isomer I	C ₉ H ₁₀ O ₄	0.6	857.1	Organic acid
11.56	206.081	[M-H] [−]	N-Acetyl-D-phenylalanine	C ₁₁ H ₁₃ NO ₃	3.1	842.9	AA
12.40	245.092	[M-H] [−]	N-Acetyl-DL-tryptophan	C ₁₃ H ₁₄ N ₂ O ₃	2.5	920.0	AA
1.94	117.018	[M-H] [−]	Succinic acid	C ₄ H ₆ O ₄	4.1	942.3	Organic acid
9.01	153.018	[M-H] [−]	Dihydroxybenzoic acid derivative	C ₇ H ₆ O ₄	0.5	737.5	Phenolic
1.74	89.023	[M-H] [−]	Lactic acid	C ₃ H ₆ O ₃	6.3	979.4	Organic acid
7.18	109.028	[M-H] [−]	Pyrocatechol	C ₆ H ₆ O ₂	7.0	939.6	Phenolic
9.28	197.043	[M-H] [−]	Syringic acid	C ₉ H ₁₀ O ₅	4.7	972.6	Phenolic
1.57	191.054	[M-H] [−]	Quinic acid isomer I	C ₇ H ₁₂ O ₆	8.7	884.2	Organic acid
4.68	195.065	[M-H] [−]	Acetosyringone	C ₁₀ H ₁₂ O ₄	2.2	636.8	Phenolic
1.53	179.054	[M-H] [−]	β-D-Galactopyranose	C ₆ H ₁₂ O ₆	8.5	913.4	Saccharide
8.53	179.034	[M-H] [−]	Acetylsalicylic acid	C ₉ H ₈ O ₄	7.6	870.5	Organic acid
3.84	147.065	[M-H] [−]	Mevalonic acid isomer I	C ₆ H ₁₂ O ₄	2.4	992.8	Organic acid
7.78	153.017	[M-H] [−]	Gentisic acid	C ₇ H ₆ O ₄	1.0	996.8	Phenolic
8.81	167.033	[M-H] [−]	Vanillic acid	C ₈ H ₈ O ₄	6.8	963.9	Phenolic
9.15	137.023	[M-H] [−]	p-Salicylic acid isomer I	C ₇ H ₆ O ₃	7.3	982.6	Organic acid
13.76	137.023	[M-H] [−]	Salicylic acid	C ₇ H ₆ O ₃	4.8	998.6	Organic acid
1.78	179.052	[M-H] [−]	D-Tagatose	C ₆ H ₁₂ O ₆	13.3	727.3	Saccharide
7.30	222.076	[M-H] [−]	Acetyl-L-tyrosine isomer I	C ₁₁ H ₁₃ NO ₄	2.0	793.5	AA
6.03	153.017	[M-H] [−]	Protocatechuic acid	C ₇ H ₆ O ₄	0.4	979.6	Phenolic
11.80	613.214	[M-H] [−]	Galα1-4Galβ1-4GlcNacβ-Sp	C ₂₂ H ₃₈ N ₄ O ₁₆	16.5	673.0	Saccharide
11.71	577.156	[M-H] [−]	Vitexin-2''-O-rhamnoside	C ₂₇ H ₃₀ O ₁₄	3.4	980.2	Phenolic
8.78	159.065	[M-H] [−]	Pimelic acid	C ₇ H ₁₂ O ₄	8.4	842.0	Organic acid
13.90	187.096	[M-H] [−]	Nonanedioic acid	C ₉ H ₁₆ O ₄	1.0	818.5	Organic acid
12.14	204.065	[M-H] [−]	Indolelactic acid	C ₁₁ H ₁₁ NO ₃	7.8	793.8	Organic acid
11.68	431.098	[M-H] [−]	Vitexin	C ₂₁ H ₂₀ O ₁₀	15.1	947.4	Phenolic
7.62	194.045	[M-H] [−]	N-Acetyl-5-aminosalicylic acid	C ₉ H ₉ NO ₄	7.9	875.2	Organic acid
10.43	172.097	[M-H] [−]	Acetyl-DL-Leucine isomer I	C ₈ H ₁₅ NO ₃	4.4	922.8	AA
8.75	177.018	[M-H] [−] , [M+Cl] [−]	Esculetin	C ₉ H ₆ O ₄	7.3	802.5	Phenolic
17.82	271.060	[M-H] [−]	Naringenin	C ₁₅ H ₁₂ O ₅	6.4	899.8	Phenolic
17.94	357.133	[M-H] [−]	Matairesinol	C ₂₀ H ₂₂ O ₆	10.0	934.9	Lignan
1.82	103.002	[M-H] [−] , [M+Cl] [−]	β-Hydroxypyruvic acid	C ₃ H ₄ O ₄	5.0	901.5	Organic acid

Table 6. Cont.

Rt (min)	m/z	Negative Mode Ions	Name	Molecular Formula	mSigma	MS/MS Score	Class
8.95	179.033	[M-H] [−]	Caffeic acid	C ₉ H ₈ O ₄	2.0	964.2	Phenolic
18.13	299.055	[M-H] [−]	Diosmetin	C ₁₆ H ₁₂ O ₆	19.2	923.2	Phenolic
5.66	212.001	[M-H] [−]	Indoxylsulfuric acid	C ₈ H ₇ NO ₄ S	1.6	938.0	Organic acid
3.95	161.044	[M-H] [−]	3-Hydroxymethylglutaric acid	C ₆ H ₁₀ O ₅	9.2	964.1	Organic acid
5.74	218.102	[M-H] [−]	Pantothenic acid	C ₉ H ₁₇ NO ₅	1.5	880.1	Vitamin
1.69	341.106	[M-H] [−] · [M-H-H ₂ O] [−]	Sucrose	C ₁₂ H ₂₂ O ₁₁	5.4	981.6	Saccharide
9.30	121.028	[M-H] [−]	4-hydroxybenzaldehyde	C ₇ H ₆ O ₂	14.5	968.9	Phenolic
7.47	137.023	[M-H] [−]	3,4-Dihydrobenzaldehyde	C ₇ H ₆ O ₃	0.3	676.8	Phenolic
1.77	133.012	[M-H] [−]	L-Malic acid	C ₄ H ₆ O ₅	6.4	948.6	Organic acid
10.31	367.103	[M-H] [−]	Feruloylquinic acid isomer II	C ₁₇ H ₂₀ O ₉	15.4	956.4	Phenolic
10.86	447.093	[M-H] [−] · [M+Cl] [−]	Orientin	C ₂₁ H ₂₀ O ₁₁	9.4	970.2	Phenolic
9.67	375.130	[M-H] [−]	Riboflavin	C ₁₇ H ₂₀ N ₄ O ₆	9.7	748.7	Vitamin
9.90	172.097	[M-H] [−]	Acetyl-DL-Leucine isomer II	C ₈ H ₁₅ NO ₃	5.8	852.0	AA
1.71	177.039	[M-H] [−]	L-Gulonic γ-lactone	C ₆ H ₁₀ O ₆	9.8	727.0	Lactone
8.10	223.061	[M-H] [−]	Sinapic acid	C ₁₁ H ₁₂ O ₅	9.9	615.6	Phenolic
8.94	181.050	[M-H] [−]	Hydroxyphenyllactic acid isomer II	C ₉ H ₁₀ O ₄	7.6	718.0	Organic acid
10.77	447.093	[M-H] [−]	Isoorientin	C ₂₁ H ₂₀ O ₁₁	10.2	981.2	Phenolic
16.74	285.040	[M-H] [−]	Luteolin	C ₁₅ H ₁₀ O ₆	10.3	831.0	Phenolic
10.85	163.038	[M-H] [−] · [M+Cl] [−]	<i>p</i> -Coumaric acid	C ₉ H ₈ O ₃	6.1	994.5	Phenolic
8.52	367.103	[M-H] [−] · [M-H-H ₂ O] [−]	Feruloylquinic acid isomer I	C ₁₇ H ₂₀ O ₉	3.3	850.5	Phenolic
16.71	201.111	[M-H] [−]	Sebacic acid	C ₁₀ H ₁₈ O ₄	8.0	709.0	Organic acid
11.73	193.049	[M-H] [−]	Ferulic acid	C ₁₀ H ₁₀ O ₄	8.0	946.7	Phenolic
5.54	167.034	[M-H] [−]	Homogentisic acid	C ₈ H ₈ O ₄	7.2	734.7	Phenolic
19.30	211.133	[M-H] [−] · [M+Cl] [−]	Dihydrojasmonic acid	C ₁₂ H ₂₀ O ₃	12.4	961.9	Lipid
5.19	129.018	[M-H] [−]	Citraconic acid	C ₅ H ₆ O ₄	5.8	625.4	Organic acid
17.91	269.045	[M-H] [−]	Apigenin	C ₁₅ H ₁₀ O ₅	13.3	751.6	Phenolic
13.98	174.055	[M-H] [−]	3-Indoleacetic acid	C ₁₀ H ₉ NO ₂	17.6	888.6	Organic acid
1.75	503.159	[M-H] [−]	1-Kestose	C ₁₈ H ₃₂ O ₁₆	10.0	627.8	Saccharide
12.82	144.044	[M-H] [−]	Indole-4-carboxaldehyde	C ₉ H ₇ NO	22.2	648.8	Alkaloid
7.56	152.034	[M-H] [−]	3-Hydroxyanthranilic acid	C ₇ H ₇ NO ₃	12.0	839.1	Organic acid
9.65	337.093	[M-H] [−]	<i>cis</i> -5- <i>O-p</i> -Coumaroylquinic acid	C ₁₆ H ₁₈ O ₈	11.5	845.2	Phenolic
8.46	353.088	[M-H] [−]	Cryptochlorogenic acid	C ₁₆ H ₁₈ O ₉	7.2	860.4	Phenolic
1.80	191.017	[M-H] [−]	Isocitric acid	C ₆ H ₈ O ₇	10.6	987.8	Organic acid
16.88	359.149	[M-H] [−]	Lariciresinol	C ₂₀ H ₂₄ O ₆	19.7	638.8	Lignan
4.40	169.013	[M-H] [−]	Gallic acid	C ₇ H ₆ O ₅	14.0	982.1	Phenolic
6.73	353.088	[M-H] [−]	Neochlorogenic	C ₁₆ H ₁₈ O ₉	30.7	768.5	Phenolic
5.58	222.076	[M-H] [−]	Acetyl-L-tyrosine isomer II	C ₁₁ H ₁₃ NO ₄	12.2	734.2	AA
2.39	173.007	[M-H] [−]	Trans-Aconitic acid	C ₆ H ₆ O ₆	0.9	997.1	Organic acid
16.16	181.069	[M-H] [−]	Sorbitol isomer I	C ₆ H ₁₄ O ₆	18.4	847.7	Saccharide
3.22	147.028	[M-H] [−] · [M+Cl] [−]	2-Hydroxy-2-methylbutanedioic acid	C ₅ H ₈ O ₅	7.9	613.6	Organic acid
16.47	181.069	[M-H] [−]	Sorbitol isomer II	C ₆ H ₁₄ O ₆	13.0	837.1	Saccharide
8.21	191.054	[M-H] [−]	Quinic acid isomer II	C ₇ H ₁₂ O ₆	6.6	601.6	Organic acid

Table 7. Phenolic-rich extract characterization in positive ionization mode (From MetaboScape[®], ordered by decrease order of signal intensity).

Rt (min)	m/z	Negative Mode Ions	Name	Molecular Formula	mSigma	MS/MS Score	Class
5.25	653.164	[M+H] ⁺	Tricin 7-rhamnosyl-(1->2)-galacturonide	C ₂₉ H ₃₂ O ₁₇	8.8	733.3	Phenolic
3.97	377.142	[M+H] ⁺ , [M+Na] ⁺	Riboflavin	C ₁₇ H ₂₀ N ₄ O ₆	6.9	976.6	Vitamin
5.37	639.184	[M+H] ⁺	6-Hydroxyluteolin 6,4'-dimethyl ether 7-rutinoside isomer II	C ₂₉ H ₃₄ O ₁₆	9.8	891.3	Phenolic
5.24	639.185	[M+H] ⁺	6-Hydroxyluteolin 6,4'-dimethyl ether 7-rutinoside isomer I	C ₂₉ H ₃₄ O ₁₆	19.9	931.2	Phenolic
14.42	282.277	[M+H] ⁺	Elaidamide	C ₁₈ H ₃₅ NO	2.5	909.4	Lipid
7.15	331.077	[M+H] ⁺	Malvidin	C ₁₇ H ₁₄ O ₇	15.2	695.3	Phenolic
1.22	527.152	[M+Na] ⁺ , [M+K] ⁺	Levan	C ₁₈ H ₃₂ O ₁₆	18.3	725.4	Saccharide
5.31	403.098	[M+H] ⁺	4-O-Demethyl-13-dihydroadriamycinone	C ₂₀ H ₁₈ O ₉	19.7	878.0	Phenolic
5.22	376.219	[M+H] ⁺	Ile Pro Phe	C ₂₀ H ₂₉ N ₃ O ₄	17.2	693.9	Peptide
1.21	365.101	[M+Na] ⁺ , [M+K] ⁺	Lactulose	C ₁₂ H ₂₂ O ₁₁	13.9	838.6	Saccharide
4.22	355.113	[M+H] ⁺	Asp-Leu-OH	C ₁₅ H ₁₈ N ₂ O ₈	19.7	890.8	Peptide
3.60	371.130	[M+H] ⁺	Perilloside E	C ₁₇ H ₂₂ O ₉	20.6	635.2	Phenolic
6.54	309.084	[M+H] ⁺	Flazine	C ₁₇ H ₁₂ N ₂ O ₄	15.0	632.0	Alkaloid

3.3.2. Antioxidant Capacity

The resultant phenolic-rich extract's antioxidant capacity was 914.1 ± 51.6 μmol Trolox equivalents/g-dw (dried weight) and 2764.5 ± 142.8 μmol Trolox equivalents/g-dw for the ABTS and ORAC methodologies, respectively. These values are very high compared to references ORAC USDA-ARS [38] only compared with high-tannin sorghum bran (2400 μmol Trolox equivalents/g), ground cloves (2902.83 μmol Trolox equivalents/g), or sumac raw bran (3124 μmol Trolox equivalents/g).

Besides the high antioxidant activity of the final extract, antimicrobial activity could have also possibly been attained by the presence of specific organic acids such as phenyl-lactic and leucinic acids [36,37]. In the same way, the amino acids and peptides present play important roles in action as antimicrobial, antithrombotic, antihypertensive, opioid, immunomodulatory, antioxidant, and mineral binding agents [39]. Thus, the attained phenolic-rich extract has bioactive properties that may be interesting in food, cosmetics, and pharmaceutical applications [4,40].

4. Conclusions

The HP-20 resin using ethanol as the desorption solution was selected as the most appropriate process for the recovery of phenolic compounds from the spent broth.

The process consisted of absorption/desorption cycles, comprising: (1) an absorption step, in which 15 BV of spent broth were eluted through the resin, followed by (2) a desorption/regeneration step, in which 15 BV of ethanol were eluted to recover the phenolic compounds and simultaneously regenerate the resin, and finally (3) a rinse step, in which 1 BV of water was eluted.

The total phenolic compound recovery yield was stable at about 48% during at least 4 cycles, in which 1 BV of resin allowed the treatment of 60 BV of spent broth without decreasing efficiency.

Organic acids were the main class of compounds identified in the phenolic-rich extract, followed by phenolic compounds, saccharides, and peptides, and the extract presented high antioxidant capacity.

This work demonstrated a potential practical valorization for developing bio-derived products of increased value from the spent Biofene[®] fermentation broth stream. The prod-

uct characterization revealed interesting nutrients and bioactivities, suggesting potential for use in food, cosmetics, and pharmaceutical applications.

Author Contributions: Conceptualization, C.M.O. and C.S.S.O.; methodology, C.M.O. and C.S.S.O.; validation, C.M.O. and C.S.S.O.; formal analysis, C.M.O.; investigation, C.M.O., B.H. and T.L.; data curation, C.M.O. and B.H.; writing—original draft preparation, C.M.O. and C.S.S.O.; writing—review and editing, C.M.O. and C.S.S.O.; visualization, C.M.O. and C.S.S.O.; supervision, C.S.S.O.; project administration, C.S.S.O. and M.P.; funding acquisition, M.P. All authors have read and agreed to the published version of the manuscript.

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