

Apple Fermented Products: An Overview of Technology, Properties and Health Effects

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Abstract:

As an easily adapted culture, with overloaded production in some parts of the globe, apples and their by-products are being redirected to pharmaceutical, canning and beverages industries, both alcoholic and non-alcoholic. Fermentation is generally considered to increase the bioavailability of bioactive compounds found in apple, by impacting, through a high degree of changes, the product's properties, including composition and health-promoting attributes, as well as their sensory profile. Probiotic apple beverages and apple vinegar are generally considered as safe and healthy products by the consumers. Recently, contributions to human health, both in vivo and in vitro studies, of non-alcoholic fermented apple-based products have been described. This review highlighted the advances in the process optimization of apple-based products considering vinegar, cider, pomace, probiotic beverages and spirits' technologies. The different processing impacts on physical-chemical, nutritional and sensory profiles of these products are also presented. Additionally, the harmful effects of toxic compounds and strategies to limit their content in cider and apple spirits are illustrated. New trends of fermented apple-based products applicability in tangential industries are summarized.

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Review

Apple Fermented Products: An Overview of Technology, Properties and Health Effects

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Abstract: As an easily adapted culture, with overloaded production in some parts of the globe, apples and their by-products are being redirected to pharmaceutical, canning and beverages industries, both alcoholic and non-alcoholic. Fermentation is generally considered to increase the bioavailability of bioactive compounds found in apple, by impacting, through a high degree of changes, the product's properties, including composition and health-promoting attributes, as well as their sensory profile. Probiotic apple beverages and apple vinegar are generally considered as safe and healthy products by the consumers. Recently, contributions to human health, both in vivo and in vitro studies, of non-alcoholic fermented apple-based products have been described. This review highlighted the advances in the process optimization of apple-based products considering vinegar, cider, pomace, probiotic beverages and spirits' technologies. The different processing impacts on physical-chemical, nutritional and sensory profiles of these products are also presented. Additionally, the harmful effects of toxic compounds and strategies to limit their content in cider and apple spirits are illustrated. New trends of fermented apple-based products applicability in tangential industries are summarized.

Keywords: apple pomace; cider; vinegar; probiotic beverage; spirit; alcoholic fermentation; malolactic fermentation; acetic fermentation



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1. Introduction

Apple species belong to the genus *Malus* of the Rosaceae family, and thousands of cultivars are grown all around the world. This is in fact one of the most important economic fruit species, according to the Food Agriculture Organization (FAO). The last available statistics from FAO are for the year 2018 and they report that at worldwide level the area for apple cultivation was 4904 thousand ha with a total production of 86,142 thousand tones, representing a trade value of around 8 billion US\$ [1–3].

Apples are extensively consumed in all countries around the world, being very popular because of their appreciated taste, juiciness, color, texture and nutritional contribution. Additionally, they have a good preservation capacity, they are available year-round in markets, at relatively low prices and they are seen as a healthy food [4–7]. Besides being consumed fresh, apples can also be transformed into many different kinds of apple products, according to the processing technology used [1]. Some of these apple products

include juices [8,9], dehydrated [10–13], canned [1,14] or purées [15,16]. Additionally, other apple products are obtained through fermentation processes, such as probiotic fermented apple juices [17–19] and cider [20–22], or fermented products obtained from apple pomace generated as industrial by-products [23–26].

Fermentation is a process of transforming one substance into another, carried out by microorganisms, such as bacteria and fungi, under certain circumstances, and which can occur under aerobic and/or anaerobic conditions. The specific product resulting from a certain fermentation process is determined by the type of microorganism, the processing conditions, and the substance in which the fermentation takes place [27,28]. A successful fermentation process depends on four basic points: the microorganism or microorganisms used, the culture medium, the way of conducting the process and the stages of product recovery [29–31]. Care must be taken to ensure good compatibility between the microorganism used and the culture medium, in order to promote the conditions for the microorganism to perform the necessary metabolic functions and thus obtain the desired result. If all these factors are adequately controlled, the growth of the microorganisms will be highly effective and in this way the product synthesized will be of good quality and the production yield will be satisfactory. Among the processing conditions that must be controlled for effective fermentation to take place are the pH, temperature, humidity, aeration (in the case of aerobic fermentation), medium bed thickness and agitation speed [32–35].

Apples are recognized as providing a high amount of bioactive compounds with health promoting benefits. They are major dietary sources of flavonoids, being particularly rich in the flavonol quercetin and its derivatives [36], which are bioactive compounds object of several studies that confirm their antioxidant [37], anti-inflammatory [38] and antimicrobial [39] properties, as well as antidepressive [40] and anticarcinogenic [41,42] effects. Additionally, these compounds also protect against arteriosclerosis [43], diabetes [44], and neurodegenerative [45], cardiovascular [46] and oral [47] diseases [48]. Other studies have demonstrated that apple compounds like phenolic acids [49], flavonols, flavones and anthocyanins [50], triterpenoids [51], pectin and pectic oligosaccharides [52] and apple polysaccharides [53] have a beneficial effect on colorectal cancer and intestinal inflammation [5]. However, the concentrations and type of bioactive molecules present in apples can vary noticeably according to species and cultivar, and depends on the climatic, agronomic, harvest and postharvest conditions as well as food processing operations and storage [36,54].

The operation of fermentation has a strong impact on the product's properties, promoting a high degree of changes, some of them related with composition and nutritional value, others with organoleptic properties and others related with the effects on the human body, namely by impacting the bioactive compounds or by adding probiotic bacteria. A great deal of fermented food products acquire, through the action of the bacteria present, characteristics that improve their health effects. The study by Peng et al. [17] analyzed the properties of fermented cloudy juices obtained from different apple cultivars, and they observed important changes in the chemical composition, sensory profiles as well as bacterial counts, according to the cultivar. In the work by Roberts et al. [18] it was postulated that fermented apple juice could be successfully used as a functional food, which is particularly suitable for consumers who seek for non-dairy probiotic beverages.

The objective of this review was to highlight the most recent developments in the field of fermented apple products, including products such as, for example, vinegar, cider and pomace. For this, different fermented apple products were selected to focus from different points of view, namely, the technological aspects related with their preparation, including the fermentation process, but also focusing on the properties of the products, like for example physical-chemical properties or sensorial properties, and finally the health effects of fermented apple products.

2. Methodology

The methodology that was followed in the elaboration of this review included, in a first step, the selection of the topic to be addressed. For this, a previous search was conducted on the literature to evaluate whether such a review had already been undertaken, which demonstrated that this was a field in which it would be suitable to gather in a review paper the information available scattered around the scientific literature. After establishing the study subjects, a search was conducted on the following scientific databases: Web of Science, Science Direct, Scopus, DOAJ, Medline and Pubmed, by selecting appropriate keywords. Some criteria of inclusion were established for each of the read articles based on the relevance for the particular aspects focused on in this review, with the publication date being as recent as possible.

The bibliographic sources used for this review were analyzed using the software VOSviewer, resulting in the diagram presented in Figure 1, which resulted from the analysis of co-occurrence links between keywords, considering those keywords that appeared at least twice. In Figure 1, the size of the circles and the corresponding label represent the number of keyword occurrences, while the relations between the keywords are given by the proximity of circles/labels, and were established according to the number of sources in which those keywords occurred jointly [55]. The results in Figure 1 indicate that the most relevant keywords were cider (24 occurrences), fermentation (20), volatile compounds (14), polyphenols (13), quercetin (13), humans (13), apple pomace (11) and lactic acid bacteria (10).

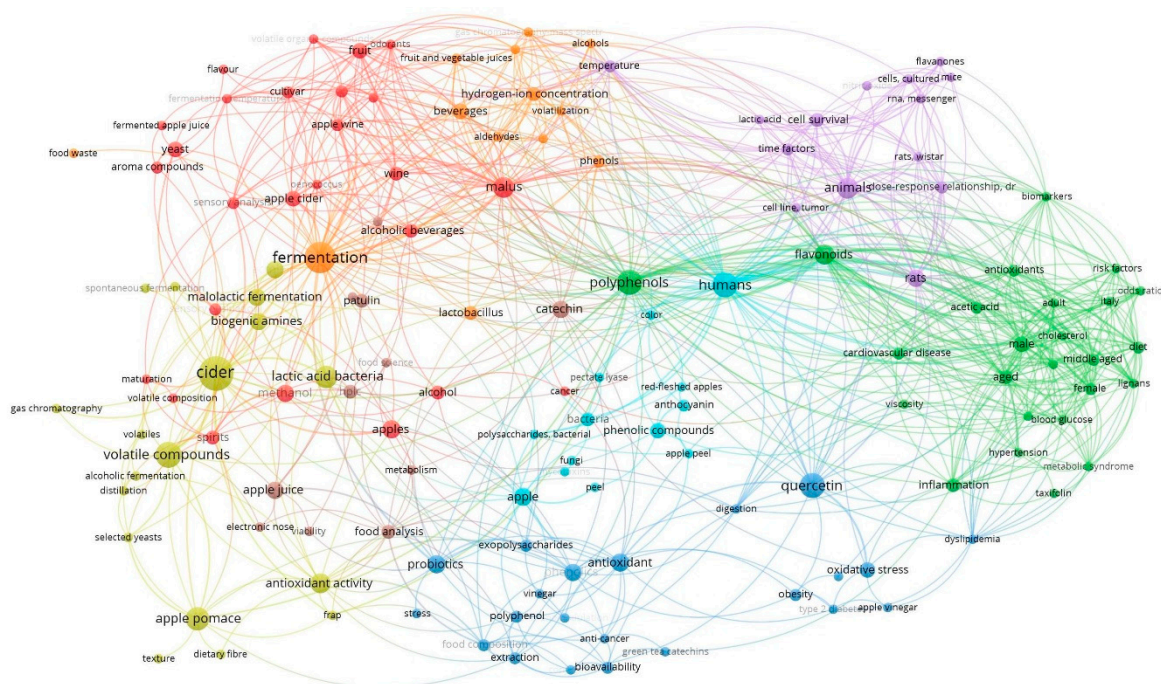


Figure 1. Analysis of co-occurrence links between keywords, selecting those that occurred at least twice.

3. Fermentation Technology Applied to Apple Products

Fermented apple beverages are very common in different countries around the world with some specificities. According to Figure 2, it is possible to produce many different products from apple using a fermentation process. Many of the products can be obtained directly from the fruit or the juice, while others are produced from apple pomace, which is the solid residue obtained after juice, cider, jam and vinegar production. This byproduct can be used as a raw material in many other food products, improving their commercial value and health benefits [56].

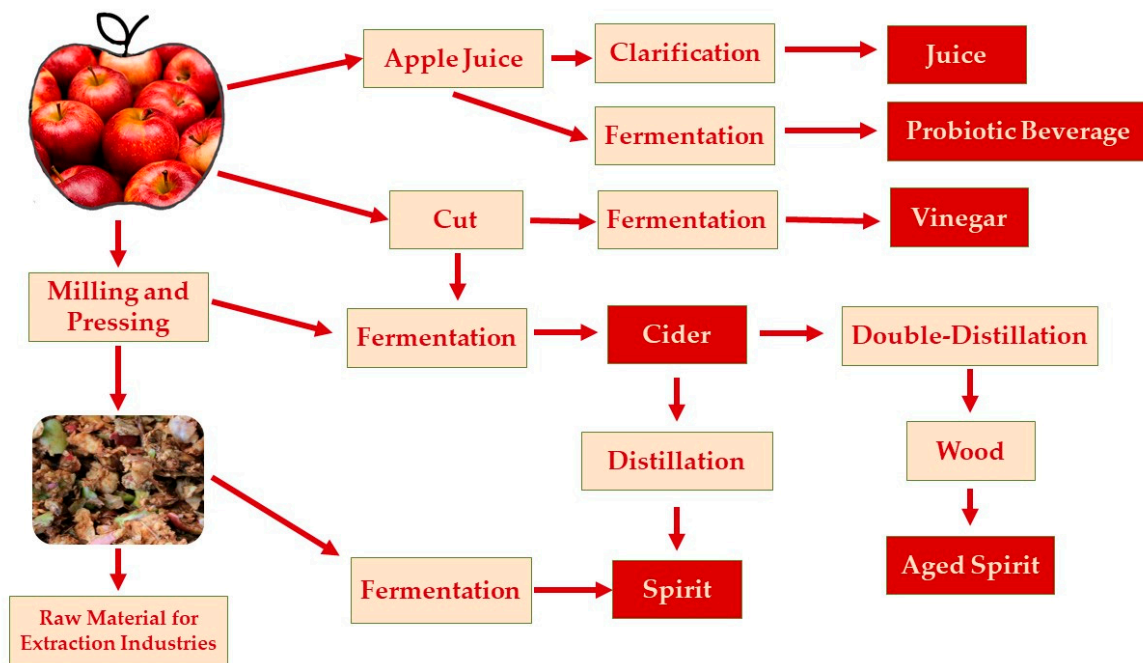


Figure 2. Fermented apple products.

3.1. Apple Pomace

Fruits that do not present good quality for consumption *in natura* generate large amounts of residues, composed of peel and pulp (95%), seeds (2% to 4%) and stems (1%) [57]. Apple pomace can be used as raw material in other food products, like for example apple pomace spirits [58], or used as a source from which to extract valuable components, such as pectin [59], aroma compounds [60], edible fibers [61,62], and antioxidant polyphenols [63], or to obtain protein-enriched feeds [64], to synthesize pectolytic enzymes [65], or to produce natural aroma compounds by fermentation [66].

The nutritional composition of apple pomace differs according to the fruit variety used in the industrial process and the juice extraction processes applied. Despite the above-mentioned differences, this residue presents high moisture content, high amount of carbohydrates such as cellulose, hemicellulose, lignin and simple sugars (glucose, fructose, and sucrose), small amounts of minerals, proteins, vitamins, besides being a natural source of pectic substances [67–69].

Given its nutritional value, apple pomace has been used for fermentation, mainly solid-state fermentation, because it contains all the nutrients necessary for the microorganisms to grow.

Apple pomace extract was used as a carbon source in an aerobic-fed batch process [70] for the production of baker's yeast. The results of this work showed that the dough-raising capacity of the baker's yeast grown on the apple pomace extract was the same as that of commercial yeast, so, apple pomace extract could be used as a substrate providing carbon for baker's yeast production.

Wang et al. [71] studied the applicability of apple pomace as a natural stabilizer to increase the consistency and cohesiveness in yoghurt fermented with a mixture of *Streptococcus thermophilus* and *Lactobacillus delbrueckii* subsp. *bulgaricus*.

Given that apple pomace contains approximately 84.7% carbohydrate, 5.6% starch, and 54.2% total sugar [72], it could also be used in the production of alcoholic beverages or flavorings. Ricci et al. [73] in their studies concluded that the fermented apple pomace could be used as beer flavoring. In this case, the applicability of fermented apple pomace induced a more complex aroma profile, making it a viable option to aromatize alcoholic beverages, such as beer. Cider is mainly produced directly from the apple fermentation, as

mentioned above; however, according to Li et al. [74], apple pomace can be added to this beverage as a fruity flavoring. Additionally, Madrera et al. [75] in their study concluded that fermented apple pomace can be used as a natural flavoring in beverages.

Apple pomace is also used to produce alcoholic beverages. The pomace spirits could be produced by fermentation of apple pomace with their indigenous microflora followed by a subsequent distillation [76], or by adding commercial yeasts. Madrera et al. [58] made apple spirits from dry apple pomace and the yeast strains used in this process were *Saccharomyces cerevisiae*, *Hanseniaspora uvarum* and wine dry yeast with β -glucosidase enzyme [58]. The spirits were distilled twice, until an alcohol strength of 60% (v/v). In this case, it is very important to pay attention to the methanol content in the final beverages given the higher amount of pectins in the raw material [77].

3.2. Cider

The specific definition of cider is country dependent. In some countries where the cider production dates back centuries, cider definition and labelling is well defined, while in other countries, such as Eastern European countries, where the latest statistics place cider as the fastest growing market [78], the regulation is still adapting. According to European Cider and Fruit Wine Association, cider may be defined as an alcoholic beverage obtained exclusively by the complete or partial fermentation of fresh or concentrate apple juice. Apple cider alcohol content may vary within the range of 1.2–8.5% of alcohol by volume (ABV), and should maintain the character of the fermented apple juice. On the market there are also reduced-alcohol ciders, such as alcohol-free cider, containing less than 0.5% ABV, and low-alcohol cider, with alcohol content between 0.5 and 1.2% ABV. Among modern ciders, there are flavored ciders (that besides the apple base contain juices of other fruits, extracts and flavorings) and ice ciders (made without the addition of water, sugar or alcohol).

With the worldwide increase in the production of apples, processing the fruit into cider is becoming an important and a promising trend. The processing of apple cider consists of few steps: apple washing, apple sorting, crushing (small pieces of 4–5 mm thickness) and pressing in order to separate the apple juice, followed by clarification and depectinization, yeast inoculation, alcoholic fermentation, nutrient addition for the lactic acid bacteria (LAB), malolactic fermentation, stabilization and maturation (wood ageing, optional). The aroma composition of apple cider differs based on geographical provenance, apple cultivars, apple native bacterial diversity or types of microorganisms used for fermentation, as well as the processing methods applied.

Even though the consecrated cider assortments are the English and French ones, the cider market is continuously changing, and new variants are being developed. Recent studies have tested the use of unconventional apple cultivars such as dessert apples with good results in terms of quality and consumer preference [79,80]. Sparkling cider, a specialty product obtained by the secondary fermentation of ciders in bottles (“Champenoise method”) has been the subject of several studies [81–83].

Given the seasonality of apples, as a mainly cost-efficient alternative to fresh apple juice, the concentrated apple juice may be successfully used in cider making. When using concentrated apple juice, better results are obtained when the fermentation medium is supplemented with nutrients [84].

The pre-fermentation treatments made to apple juice prior to fermentation can significantly influence the quality of apple cider [85]. While centrifugation has only a minor effect on the phenolic content of apple juice, the oxygenation of juice strongly contributes to a decrease in all classes of native polyphenols [86], especially of catechins and procyanidins.

A key aspect during the alcoholic fermentation is the optimal consumption of nutrients by the yeast. By defining the optimal moment for biomass removal [87] or by applying the ultrasound-assisted fermentation [88], the degree of nutrient consumption for a high quality apple cider was determined.

Several fermentation yeasts have been tested to evaluate the cider volatile compounds: *S. cerevisiae*, *Saccharomyces uvarum*, *Torulaspota delbrueckii*, *Hanseniaspora osmophila*, *H. uvarum*, *Starmerella bacillaris* and *Zygosaccharomyces bailii* [89]. Ciders' discrimination suggested possible strain-specific effects on the aroma fraction. Still, a recent study concluded that fermentation yeast might have little influence on the volatile profile of cider, but phenolic compositions of the juices and ciders might contribute to significant differences in astringency and bitterness sensory perceptions [90].

Apples and pears tend to have lower nutrient levels compared to grapes, which are also consumed by the *S. cerevisiae* yeasts during the alcoholic fermentation. Nutrient supplementation during malolactic fermentation is required to obtain a balanced apple cider, and consists of inactivating yeast mixtures rich in amino acids, mineral cofactors and vitamins. Those mainly responsible for malolactic fermentation in apple cider are the lactic acid bacteria (LAB)—*Lactobacillus* spp., *Leuconostoc* spp., *Oenococcus* spp. and *Pediococcus* spp. [91]. Many papers have studied the malolactic fermentation of wine [92–96], while few data are available regarding the LAB performances in cider processing [97–99]. Considering the performances of the dominant species involved in cider malolactic processes in the spontaneous cider production, the most adequate for use as a malolactic starter culture in cider production has been proved to be *Oenococcus oeni*, when compared to *Lactobacillus collinoides* and *Pediococcus parvulus* [98]. Good results were also obtained by using *O. oeni* for the deacidification of hard apple cider via the malolactic fermentation [99] or by the simultaneous malolactic fermentation using *O. oeni* with *S. cerevisiae* in the red-fleshed apple cider processing [100].

When aged in wood contact, alcoholic beverages get an improved quality and sensory profile. A study established an optimal dosage of medium toasted French and American oak chips of 4 g/L for 30 days of wood contact [101]. Still, even though it is a common practice, the wood aging of cider is not an intensively studied topic.

3.3. Vinegar

In ancient times, fermentation, namely acetic acid fermentation, was a practice used for food preservation. As apple harvesting regions are extremely large, apple being an easily adapted culture, apple production is overloaded in some parts of the globe. Apple-based processed products typically belong to the canning industry and the beverages industries, both alcoholic and non-alcoholic.

Considering the overproduction of apple cider, this product is often redirected to vinegar processing. Apple cider vinegar is consumed in Western European countries as a functional alcohol-free beverage. Apple vinegar, known as cider vinegar, is made with apple juice or concentrated apple juice via a double fermentation process—alcoholic fermentation followed by acetic fermentation.

Vinegar is highly consumed in the daily diet as a food flavoring agent, as a food preservative and with therapeutic objectives. Recent studies have found that acetic fermentation might improve the nutrients content and functionality. The alcoholic fermentation followed by the acetic acid fermentation change the nutritional profile of a beverage into a more complex one [102,103], even though the bio-accessibility of phenolic antioxidants from vinegar is a matter of great interest being subject of previous studies [104].

The composition is regulated by each state producers, considering mainly acidity, for which the minimum legal limit is 4% (*w/v*) acetic acid. Based on a traditional procedure, apple cider vinegar is made from fresh, crushed apples, then fermented, and matured in wooden barrels. Both fermentations—alcoholic and acetic—take place in the same barrel, with the spontaneous microflora (yeasts and acetic acid bacteria) contribution. The naturally occurring fermentation takes about 5–6 months to complete the entire fermentation process. The disadvantage in this case is the long process duration, incomplete or interrupted fermentation, and low acetic fermentation yield [105].

The chemical composition of apple cider, the raw material for apple vinegar production, may vary according to cultivar and harvesting areas. The European apple cider

cultivars (at the optimal ripened stage) have total soluble solids ranging between 10 and 15 °Brix (average 9–11 °Brix), a titratable acidity of 0.12–0.31% malic acid, tannin content (responsible for the apples astringency) of 37 to 233 mg/100 mL, pectin content of 0.25–0.75% (the main responsible for the body or viscosity of apple juice), pH ranges 3.0–3.8. The optimal ripening stage is extremely important for the apple juice quality because unripe fruits will originate juices having lower total soluble solids and less aroma, a higher content of starch and acids and a bitter or astringent flavor, while overly mature apples will give lower yields due to the difficulty in extraction procedure, and exhibit a sweeter, but flatter flavor [105].

One solution for the increase of apple juice yield could be the use of the pectolytic enzymes [106]. In traditional cider processing, a system with hydraulic, roller or pneumatic pressure is used to rupture and compress the cells until the recoverable juice is separated from cellular solids. This procedure presents some difficulties, such as increase of non-sugar solids that might cause haze and color formation. The prolonged process, compared to industrial one, along with the impossibility of total avoidance of air exposure, facilitates the microbial (yeasts, molds and acid tolerant bacteria) growth, causing the shortening of apple juice shelf-life. To avoid these disadvantages, the concentrated apple juice might be used instead [84]. When preparing fermentable juice, the apple concentrate is diluted with water and the mixture is supplemented with nutrients (ammonium phosphate and thiamine) prior to the alcoholic fermentation to support the vitality of yeast. Still, when using freshly pressed apples, different blending procedures can serve to define a specific flavor profile and chemical composition of the apple juice.

Alcoholic fermentation of apple juice is mainly carried out using *S. cerevisiae* yeast (pure culture inoculation or indigenous yeasts) and other indigenous yeast species such as *H. uvarum* (anamorph *Kloeckera apiculata*) that predominate at the beginning of fermentation process and are followed by *S. cerevisiae* at the end of fermentation, and *Dekkera* (anamorph *Brettanomyces*) species during the maturation phase. The final alcohol content varies depending on the initial apple sugar content, fermentation procedure or producer (5–10% ABV). The resulting apple cider is clarified and prepared for acetic fermentation. When cider vinegar is used to inoculate the new batch, one part of the ‘mother vinegar’ is added to five parts of apple cider. Increased acetic fermentation yields are recorded when pure culture of acetic acid bacteria is used. There are many processing methods for vinegar production, but only two are commercially used: the Orléans process—the traditional method for vinegar making, known as the “surface method”, and “submerged culture”, where oxygen is supplied in fermentation. The first method mentioned is recognized to make the best flavored apple cider vinegars, while the second is used to increase the acetic acid production and to decrease the duration of fermentation [107]. Cider vinegar might be stored and matured in wooden barrels in which case the product is impacted with respect to color and wood-derived flavor compounds [105]. Other treatments to assure the products’ stability are applied, such as ultrafiltration, pasteurization and use of chemical stabilization agents (sulphur dioxide, pectin, arabic gum, citric acid, potassium ferrocyanide).

3.4. Apple Spirit

According to the European Regulation EC 110/2008 [108], fruit marc spirits is defined as a “drink with an alcoholic strength higher than 37.5% (v/v), and a quantity of volatile substances higher than 200 g per hectoliter of pure alcohol, that could be produced by the distillation of fermented fruit mash, juice or pomace”.

The final quality and aroma of the apple spirit, as well as the methanol quantity, is related to the fruit used in the fermentation process, which could be influenced by the fruit variety, their geographical origin, ripening index and storage conditions until further processing [109–111].

To make apple spirit, firstly, it is necessary to extract the juice from the fruit, which is then fermented to produce cider. After that, it is necessary to produce a distillation (or double distillation) of the fermented juice. Although it is more usual on the market

to find apple spirit without ageing in wood barrels, this process can be considered to improve the final quality of the beverage. Coldea et al. [112] in their study showed that apple spirit ageing for 60 days in wood impacted phenolic and volatile profiles regardless of the final beverage. The same authors concluded that the major volatile compounds were not affected by the ageing process except for 2-butanol, which increased over time. Wood ageing also accentuated some flavor compounds associated with the apple, such as isobutyl-acetate, hexyl-2-methylbutanoate and ethyl-nonanoate.

The most popular apple spirit is “Calvados”, which is produced by a combination of selected fruits with an appropriate sweet, tart and bitter flavor, that are fermented to produce cider. After that, the cider is double-distilled, followed by an ageing process in wood [113].

The aroma profile of apple spirit is highly influenced by the cider [114]. When this process is longer, the apple distillate develops an aroma with more sweet and spicy characters, higher levels of ethyl acetate, ethyl succinate, ethyl lactate, and volatile compounds derived from bacterial metabolism, such as 2-butanol, 4-ethylguaiacol, eugenol, and 2-propen-1-ol. Additionally, the yeast species influence the production and aroma of spirits namely in the aromatic composition [58].

To intensify the juice extraction before the fermentation process of apple spirit, some enzymes like pectinase can be added. However, this treatment could increase the methanol content in the beverage [115]. Additionally, if apple pomace was used in the spirit production, the methanol content could increase, given the higher quantities of pectin resulting from the apple seeds and peel. Concerning the legal limits of methanol and the problems with this compound for health, this must be very carefully monitored in the production process [77,116]. The selection of the yeast used in the fermentation processes could be also an important step. Some studies conclude that use of indigenous yeasts could be favorable for a low concentration in methanol in the final product [58]. Another process that could be used to reduce the methanol content of the apple spirit is to perform a pasteurization of fruit prior to alcoholic fermentation [110].

3.5. Probiotic Fermented Apples

Fermentation food technology is mainly used to change the flavor, odor and texture of the vegetables and fruits to increase their nutritional value, preservation capacity and decrease the needs of refrigeration and freezing [117]. Additionally, fermentation of foods could reduce some toxic compounds and produce antimicrobial substances, which increases the safety of the final product [118]. These fermented food products, with beneficial effects on human health, are usually called probiotics.

The aforementioned changes in aroma, flavor and texture are associated with the LAB that promote acidic taste, and are associated with proteolytic and lipolytic activities [119,120].

Ellendersen et al. [121] studied the best conditions in which to develop an apple juice (Gala variety) fermented with *Lactobacillus casei*. According to the same authors, the developed beverage was characterized by a typical apple aroma from the raw material, a caramel color, and an acidic apple taste.

Dimitrovski et al. [122] developed a probiotic beverage made with apple juice and lactic acid bacterium *Lactobacillus plantarum* PCS 26 as fermentation agent. In this study, free and Ca-alginate-embedded bacteria were studied and authors concluded that apple juice is an appropriate raw material for the preparation of a functional drink with good sensory acceptance and appropriate shelf life.

Like for other food products, the final quality of probiotic fermented apples depends on fruits cultivars, which could influence mainly the taste and aroma of the final beverage [17]. This variation is explained by the fact that different varieties of apple have differing aroma and flavor characteristics due to their different compositions, namely total soluble sugars, organic acids and volatile compounds [123].

4. Properties of Fermented Apple Products

Fruit juices have been proposed as an alternative vehicle for LAB fermentation due to their high nutritive value with respect to vitamins, minerals, dietary fiber and antioxidant compounds. Therefore, fermented fruits with LAB can be a good and healthy alternative functional food, containing probiotics and giving better nutritional, physical-chemical and sensorial properties [124,125].

The major sugars of apple juice (fructose, glucose and sucrose) can be used by LAB during fermentation for cellular growth and bioconversion into lactic acid, promoting a decrease of all the sugars after the process, particularly fructose [126]. However, the carbohydrates' metabolism in cloudy apple juice (CAJ) by a mixture of *Lactobacillus* spp. varies according to cultivar. In the study of Peng et al. (2021), which used nine apple cultivars, the highest total sugar consumption (fructose, glucose and sucrose) was observed in the Golden Delicious cultivar, and fructose showed a major sugar decrease during the fermentation process. Moreover, Wu et al. [127] observed that the contents of total sugars in Fuji apple juice could decrease by up to 23% during fermentation with commercial LAB strains.

Throughout the fermentation process, the LAB strains exhibit various aroma-forming activities to metabolize diverse substrates and produce different aroma compounds and organic acids that impact the balance of flavor, color, taste, chemical stability, storage quality and acceptability of final products [128]. Hence, LAB strains can exert a distinct effect on the flavor compounds produced during apple juice fermentation. The use of *L. casei* in Gala apple juice fermentation promoted the reduction of malic acid by 87%, while the lactic acid content increased by 31% [121]. The fermentation of apple juice with four strains of LAB (*Lactobacillus acidophilus*, *Lactobacillus rhamnosus*, *Lactobacillus casei* and *Lactobacillus plantarum*) produced larger concentrations of total acids, with the corresponding decrease in pH, but the common feature of the formation of lactic acid at the expense of decline in malic acid was most evident in the apple juice containing *L. acidophilus* [19]. The sharp increase of lactic acid throughout apple juice fermentation and the parallel decline of malic acid highlight that malolactic fermentation occurs during the fermentation of apple juice by LAB [127]. Lactic acid, acetic acid, and tartaric acid were the dominant acids identified in fermented CAJ from nine cultivars with a mixture of *Lactobacillus* spp. [17]. Tartaric acid was found in the original juice, whereas lactic acid and acetic acid were formed during fermentation. Additionally, pyruvic acid decreased over the entire storage of fermented apple juice [19]. The higher content of lactic acid at the expense of the lower values of acetic acid enhances the flavor of the fermented CAJs [129]. The changes observed during fermentation for other acids in fermented CAJs, such as succinic, quinic, oxalic, and tartaric acid contents are dependent on the apple varieties [130].

Fermentation by LAB could significantly affect the volatile profile of fruit products and, consequently, impact their aroma. In fermented clear and CAJ, around 50 volatile compounds were identified, including esters, alcohols, aldehydes, ketones and acids, among others [17,19,127]. Any differences in the aromatic compounds of fermented apple juice can be attributed to the apple cultivar and to the different metabolic patterns of LAB in the fermentation process, thus resulting in different concentrations of organic and volatile compounds that lead to differences in the global flavor profile of fermented juice.

Esters were one of the major aromatic components in fermented clear or CAJ that contain key contributors to fruity and sweet odor, such as hexyl acetate, ethyl butyrate, ethyl hexanoate, butyl acetate, and ethyl 2-methylbutyrate [17,19,127].

Alcohols are another large group of volatiles detected in fermented apple juice, with total concentrations at least ten times greater than the apple juice [19]. The most predominant alcohols in fermented apple juice are 1-butanol and 1-hexanol that contribute to the sensation of sweetness; 2-methyl-1-butanol, which is associated with onion aroma, malt and wine; and 2-ethylhexanol, which has floral and fruity attributes. However, the high odor-detection thresholds of these alcohols resulted in a lower contribution to the flavor, except (E)-2-hexen-1-ol, which has an odor activity value (OAV) higher than one and is

responsible for leafy, green and walnut aromas [17,19,131,132]. New alcohols, including 2-methyl-1-propanol, isobutenylcarbinol, trans-2-hexen-1-ol, benzyl alcohol, 1-octanol, β -citronellol and geraniol, can appear after LAB fermentation and enrich the background flavors of fermented apple juice [127].

The predominant aldehydes detected in fermented apple juice are hexanal and (E)-2-hexenal that can confer fatty and green leaf flavor notes [127,130,132,133]. Other aldehydes, namely octanal, decanal, 2-nonanal, and (Z)-2-heptenal, are present in low amounts in the fermented CAJ, but contribute to the aroma because of their very low olfactory thresholds [17].

Acids are an important group of volatile compounds that contribute to the complexity and the fruity aromatic equilibrium of fermented apple juice. Acetic acid is the dominant and characteristic flavor compound in fermented CAJ, and can help create a pungent, sharp and vinegary odor, conferring a characteristic flavor [17,134].

Ketones, characterized by intense flavors, are present in low concentrations but could synergistically contribute to the aroma profile of fermented apple juice. The major volatile ketones identified were 6-methyl-5-hepten-2-one and β -Damascenone (usually present in apple juice) and 2-undecanone, 2-heptanone and 2-nonanone, 4-heptanone, 4-cyclopentene-1,3-dione, only produced after fermentation by the microbial oxidation of fatty acids or by decarboxylation pathways [17,19,135].

Other compounds, such as D-limonene and eugenol, may play important roles in the unique aroma profile of fermented CAJ. D-limonene gives citrus, orange, lemon and sweet aroma, whereas eugenol provides a spicy odor [134,136].

Most of the volatile compounds associated with the typical aroma of apple juice are retained or enriched after fermentation and storage, but some new compounds like alcohols, esters, aldehydes and ketones are generated from LAB fermentation, suggesting the improvement of aroma complexity in fermented apple juice [19,127]. Table 1 summarizes the predominant compounds present in LAB fermented apple products.

Table 1. Predominant compounds present in LAB fermented apple products [17,19,121,127,131–133,135,136].

Classes	Compounds
Esters	hexyl acetate, ethyl butyrate, ethyl hexanoate, butyl acetate, ethyl 2-methylbutyrate, hexyl butyrate, ethyl acetate, methyl isovalerate, isoamyl isovalerate, methyl salicylate
Alcohols	1-butanol, 1-hexanol, 2-methyl-1-butanol, 2-ethylhexanol, (E)-2-hexen-1-ol, 2-methyl-1-propanol, isobutenylcarbinol, trans-2-hexen-1-ol, benzyl alcohol, 1-octanol, β -citronellol, geraniol, 2-methyl-1-pentanol, 3-hexen-1-ol (Z) and 2-hexen-1-ol (E)
Aldehydes	hexanal, (E)-2-hexenal, octanal, decanal, 2-nonanal, (Z)-2-hexenal, acetaldehyde
Acids	acetic acid, butanoic acid, lactic acid, isovaleric acid, tartaric acid
Ketones	6-methyl-5-hepten-2-one, β -Damascenone, 2-undecanone, 2-heptanone, 2-nonanone, 4-heptanone, 4-cyclopentene-1,3-dione
Others	D-limonene, eugenol, linalool

Apple juice is also the raw material of alcoholic fermented drinks, like cider (also called apple wine in some areas), by inoculation with different species of yeasts. Cider has several sensory attributes, such as acidity, color, turbidity, odor, sweetness, astringency and foam that can vary between producers. Cider taste depends primarily on apple cultivar, whereas aroma composition of volatiles depends on fermentation conditions, yeast strains, maturation, storage conditions and variety, ripeness and concentration of aromatic compounds derived from apples [123,137–142]. Many of the aroma compounds are lost in their processing and most of the aroma compounds in cider are synthesized during fermentation, forming a specific flavor that can differentiate one cider from another [140].

The most important volatile compounds identified in juice are esters, whereas in apple ciders, a wider variety of different chemical classes was found, including higher alcohols and esters, corresponding, respectively, to 56–68% and 30–42% [141], followed by fatty acids, and to a lesser extent acids, aldehydes and ketones (Figure 3). These compounds, mainly

produced in the alcoholic fermentation as secondary metabolites, showed an important contribution to cider aroma that could be related with sensory analysis [132,143–145].

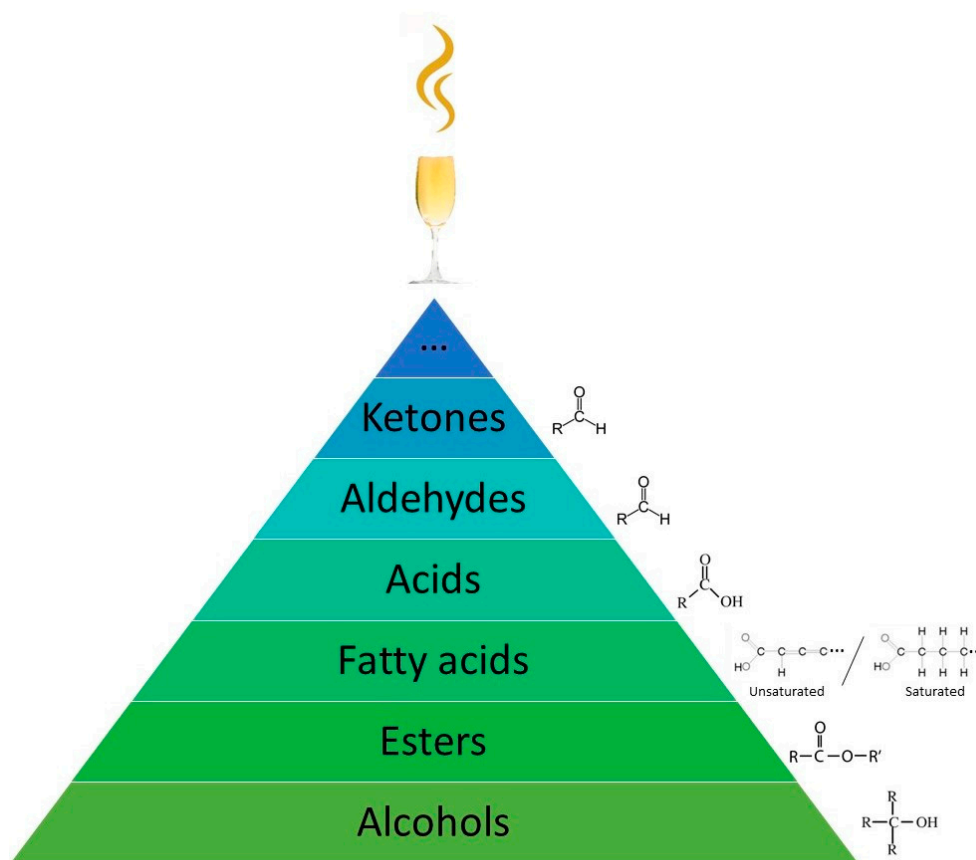


Figure 3. Major volatile compounds preset in apple cider.

The key aroma components present in ten ciders from Shaanxi (China) Fuji apple (average parameters: alcohol: 11.3 vol.%; residual sugar: 3.5 g/L; total acidity: 5.7 g/L; volatile acidity: 0.7 g/L; pH: 3.5), processed with different yeasts, technological methods and apple orchards, were ethyl acetate, acetic acid isobutylester, isopentylalcohol acetate, ethyl caprylate, ethyl 4-hydroxybutanoate, isopentylalcohol, 3,4,5-trimethyl-4-heptanol, nonyl alcohol, 3-methylthio-1-propanol (four esters, four alcohols, and one acid), contributing for 85.61% of the total variance in cider aroma components [140].

Rita et al. [146] identified 16 volatile compounds in yeast fermented juice aroma obtained from two apple varieties ('Lietuvas Pepins' and 'Auksis'), but the main compounds were 2-hydroxyethylhydrazine, 3-methyl-1-butanol, hexanoic acid ethyl ester and acetic acid hexyl ester. In fermented apple juice of the variety 'Lietuvas Pepins' other main alcohols—hexan-1-ol and phenylethyl alcohol—and esters—hexyl acetate, ethyl octanoate, hexyl hexanoate, 2-methylbutyl acetate—were identified [141].

Qin et al. [132] characterized the flavor profiles of 14 commercial apple ciders (ethanol content ranged from 4.5 to 7.0% (*v/v*), pH values varied from 2.91 to 3.89, levels of titratable acidity between 2.23 and 6.39 g/L) from the United Kingdom and Scandinavian region. In apple ciders, it a total of 72 volatile compounds were identified, including 33 esters, 16 alcohols, 4 aldehydes, 3 ketones, 4 fatty acids, 4 terpenes, 3 phenols, 3 furans, 1 acetal, and 1 C13-norisoprenoid compound. Each cider was composed of 36 to 53 of these volatile compounds. Moreover, sweet, sour, apple, cooked apple and yeasty were the most predominant sensory attributes (taste and aroma) to describe the similarities and differences of apple cider. Ciders from the Scandinavian region had diverse sensory profiles, whereas most of the UK apple ciders were characterized by these complex odors and taste, notes of

sour, bitter and astringent. Moreover, apple cider characterized by cooked/fresh apple, citrus and tropical fruit odors had marked content of acetate esters. This group of compounds was more often present in sweet ciders from Normandy than those from Brittany, reflecting important differences in the yeasts acting during the fermentation [147]. Other volatile compounds, like 4-ethylcatechol, could be used to differentiate the maturation stage of Asturian and Basque ciders [148].

Acidity has a low impact on volatile profile but is considered to be an essential element in cider and must be enough to give a clean and refreshing impression to the final products [123,141,149]. The most abundant acids in cider are lactic acid and malic acid, followed by citric acid and succinic acid [132,150,151].

Phenolic compounds are also important compounds of cider that contribute to notable differences in sensory quality of the ciders, such as astringency, bitterness or color [152–155]. Moreover, interactions between the volatile compounds and polyphenol matrix of cider may influence the release of volatile compounds [152].

The most abundant classes found in cider are hydroxycinnamic acid derivatives (phenylpropanoid structures), followed by different groups of flavonoids: flavan-3-ols proanthocyanidins, flavonols, and dihydrochalcones. While phenolic acids play an important role in the development of astringency and bitterness, the flavonoids, especially flavan-3-ols, influence the color, aroma and oxidative browning of ciders. Flavonols and dihydrochalcones are mainly related to the antioxidant properties of cider [90,156–158].

Volatile phenols are generally considered to be major markers of organoleptic defects of many fermented alcoholic beverages. The most problematic phenolic off-flavors causing defective ciders (4-ethylphenol, 4-vinylphenol, 4-ethylguaiacol, 4-vinylguaiacol and 4-ethylcatechol) are produced by yeast, from caffeic, *p*-coumaric and ferulic acids [159,160].

Cider apple pomace is also a valuable source of polyphenols, as flavanols, dihydrochalcones (phloridzin and phloretin-2'-xyloglucoside), flavonols and cinnamic acids (chlorogenic and caffeic acids) [63].

Apple cider vinegar is another apple fermented product that has polyphenolic compounds like catechin, caffeic acid, gallic acid, chlorogenic acids and *p*-coumaric acid [161].

Besides phenolic compounds, apple cider vinegar characteristics and fermentation quality are optimized by applying a nutrient feeding strategy. For example, Qi et al. [162] reported that using four specific amino acids (aspartate, glutamate, proline and tryptophan) is pivotal for optimizing nutritional composition of cider. They observed an improvement in the conversion into acetic acid by reducing the final concentrations of oxalic acid, tartaric acid, malic acid, lactic acid, citric acid and succinic acid. Additionally, the concentrations of most of the esters and volatile organic acids were also improved, thus contributing to the aromatic profile of the cider, particularly with respect to eight compounds: ethyl acetate, γ -methylbutyl ethanoate, caprylic acid, capric acid, phenylethyl alcohol, hexanoic acid, acetate-ethyl-2-methylpropanoate, and 2-phenylethyl ethyl acetate [162].

5. Health Effects of Fermented Apple Products

In general, fermented foods and beverages are considered safer than their unfermented counterparts, with this improved food safety owing to a great extent to the presence of LAB, which is a predominant group of bacteria found in most fermented products [91]. The LAB act as probiotic bacteria, and they produce exopolysaccharides with several health benefits. Saadat et al. [163] present a comprehensive review regarding the health effects of these compounds, emphasizing the immunomodulatory effect and anti-cancer properties, high antioxidant activity, capacity to decrease blood glucose and cholesterol, anti-ulcer properties and antihypertensive activity [164–167].

Recent studies have shown the multiple health benefits brought with by apple vinegar consumption, such as attenuation of oxidative stress, reduction of obesity risk, balancing the cholesterol levels, antifungal activity [168,169]. Budak et al. [170] assessed the effect of consumption of apple cider vinegars obtained with different fermentation techniques (surface method with maceration, submersion method with maceration, surface method

and submersion method) on the blood lipids in high-cholesterol fed rats. The addition of 10% apple pomace was used in the maceration step to increase the polyphenolic contents. The vinegars obtained by the surface method with and without maceration had the lowest pH values and the highest total phenolic contents. Apple cider vinegar obtained by the submersion method significantly decreased steatosis. The study proved that apple cider vinegar administration has beneficial effects on blood lipid levels, liver functions, steatosis and body weight. Beneficial effects of apple cider vinegar were recently proven based on a clinical trial considering weight management, visceral adiposity index and lipid profile in overweight or obese subjects [171].

Apple vinegar is rich in polyphenols and acetic acid, which have proven to be beneficial allies to modulate plasma lipid profile, glycemic indices or blood pressure [172]. Additionally, they reduce inflammation and the prevalence of diabetes [173,174]. These beneficial effects are associated with vinegar components; for example, it has been reported that intake of acetic acid through beverages helped lowering blood pressure in hypertensive patients [175,176].

The review by Zhang et al. [177] highlights that fermented apple juice is rich in polyphenolic compounds, proven beneficial for the prevention of non-communicable diseases. The polyphenols profile and content in CAJ varies during the fermentation process and according to some variables, like apple variety, fermentation conditions or microorganisms' strains. Through enzymatic reaction, the long polyphenolic molecules are transformed into smaller compounds with stronger biological activity. These substances are described as being able to prevent cardiovascular diseases and type 2 diabetes by the different mechanisms described [177].

The study by Gheflati et al. [178] presented some confirmation that regular low dosage apple vinegar consumption can have beneficial effects on glycemic indices and oxidative stress in people suffering from diabetes and dyslipidemia. Studies have shown that the consumption of apple cider vinegar has positive effects on the management of diabetes [179]. Vinegar consumption can suppress postprandial hyperglycemia and can improve insulin resistance, in both healthy people as well as in people with diabetes [180–183].

The work by Asejeje et al. [184] suggests that apple cider vinegar has therapeutic potential by protecting against renal deficiency and connected malfunction, in an in vivo rat model.

Chiu et al. [185] carried out a double-blinded randomized clinical trial, aimed at comparing the performance of athletes who consumed apple cider vinegar with those who consumed a commercial sports drink [185]. Their results showed that apple cider vinegar had a similar effect to that of the commercial sports drink, both exhibiting ergogenic properties, enhanced blood glucose and improved non-esterified fatty acids, while suppressing the production of lactate. Both maintained normal respiratory exchange rate and heart beating throughout the endurance exercise.

Apple cider vinegar has been demonstrated to be a possible ally in weight management, helping in reducing appetite, anthropometric measures, visceral adiposity, blood triglycerides and total cholesterol levels, while increasing high-density lipoprotein cholesterol in overweight or obese patients [171,179]. Acetic acid has also been reported to be beneficial for intestinal health and fighting constipation [186].

The so-called hard cider is obtained from the fermentation of fresh unsterilized apples, and contains a variety of microorganism species, which are underexplored and constitute complex microbial populations. Ciders are naturally inoculated with different species, but these can change along the fermentation process. It is known that those rich microbial communities significantly impact the product characteristics but they can also benefit the consumer's health [91,187].

It is possible that apples or apple juice used as raw material for cider production can be contaminated with pathogens derived from the orchard soil, from the farm or processing equipment or even from human sources. These pathogens may include *Salmonella* spp., *Escherichia coli* and *Staphylococcus aureus*. Nevertheless, because fermented cider is an acid

product owing to the presence of organic acids (especially lactic acid), the low pH (ranging from 3.3 to 4.0) prevents the growth of these pathogens, which survive for a very short period and do not replicate [188].

Tyakht et al. [187] evaluated the bacterial and yeast microbiomes present in six samples of unpasteurized apple ciders resulting from non-industrialized artisanal processes. Since no bacteria are used as starter cultures for cider production, all bacterial detections were derived from spontaneous inoculation from the raw materials or the environment. The authors found a wide yeast diversity, accounting for many non-conventional species. Most abundant yeast species include not only *Dekkera* and *Saccharomyces*, but also other major taxa like *Issatchenkia orientalis*, *Candida ethanolica*, and *Pichia* spp. The most prevalent bacteria were LAB belonging to the *Leuconostocaceae* family, while the *Lactobacillus* were present in minor levels.

Cider, as with other alcoholic drinks, has to be consumed in moderation. Alcohol abuse is associated with increased occurrence of diseases, besides its negative social impact [189–192].

Cider ethanol content is very variable, commonly around 5–7% [193], but sometimes even higher than 10% [194], although there are also cases in which the technology is aimed at obtaining ciders with lower alcoholic content [195]. Another alcoholic drink made from fermented apple is brandy, produced traditionally in Romania, with an ethanol content of over 46% [112,196]. In the northern region of Sardinia, in Italy, distillates are produced from regional apples, producing apple brandy, [111]. “Calvados” is also distilled from cider in Normandy in northern France, and in the United Kingdom Somerset cider brandy is produced [197]. Besides ethanol, methanol can also be generated during the processing of these alcoholic beverages, under certain conditions and depending on the raw material used for their production [77]. Methanol content must be below a legal limit of 1.20 g% mL p.A. established by EC Reg. No. 1014/90 and subsequent amendments [198].

Alcohol is absorbed when passing through the gastrointestinal system, moving to the liver and lungs to be metabolized. Methanol and its metabolites originate several health problems, besides direct toxicity, including oxidative stress as well as increased fatty acid ethyl ester accumulation. In the human body, methanol is metabolized into formaldehyde, which is toxic and responsible for carcinogenesis and neurologic complications [77,199–202]. Regarding ethanol, although it is not genotoxic or mutagenic, its metabolite acetaldehyde has been reported to be a dangerous carcinogen [189–192].

Some diseases associated with alcohol include steatosis (alcoholic fatty liver), alcoholic hepatitis, and fibrosis and/or liver cirrhosis [203]. In Europe, nearly 2% of all deaths occur as a consequence of liver disease. In fact, alcohol is the most important risk factor for alcoholic cirrhosis, and even light drinkers, i.e., those with a moderate consumption (corresponding to one to two drinks per day), have a higher risk of developing alcoholic cirrhosis when compared to abstainers [203,204].

In the study by Schutte et al. [205], alcoholic drinks such as cider were shown to be associated with increased risk for global mortality, cardiovascular problems, ischemic heart disease, cerebrovascular disease and cancer.

When the gut microbiota is altered due to the intake of alcoholic drinks, it contributes to the occurrence of diseases associated with alcohol. Nevertheless, this effect can be lightened by an adequate reposition of gut microbiome [189–191].

Apple cider and spirits can contain acrolein. The glycerol degradation in these and other apple-derived products can, by the action of lactic bacteria, lead to the formation of 3-hydroxypropanal, which is unstable and can spontaneously be transformed in acrolein [197,206]. Acrolein is an unsaturated aldehyde, with a high reactivity, which can frequently cause several diseases in humans [207–210].

There are other risks associated with apple cider, namely related with the possible presence of biogenic amines (BA). The malolactic fermentation has positive effects on the organoleptic properties of cider, but some LAB strains can also produce undesirable metabolites, like BA [211]. These BA can occur in foods or beverages involving fermentation, and are derived from the removal of the alpha carboxyl group from amino acids [212].

The most abundant BA found in cider include histamine, tyramine, putrescine and cadaverine (Figure 4), but their concentration is influenced by factors such as the type of microorganisms present, environmental conditions that include pH, ethanol concentration, sulfur trioxide levels, quality of the raw material, the fermentation process and its technological conditions [211–215]. The ingestion of high levels of BAs can have harmful effects on the human body, causing adverse reactions like rashes, headaches or alter blood pressure (hypertension/hypotension). These effects are more problematic in people with health conditions that diminish their body detoxification capacity [91]. While histamine and tyramine are regarded as highly toxic and are therefore of particular importance in terms of food safety, it is also true that putrescine and cadaverine, although not so problematic in nature, can have a synergetic effect potentiating the harmful effects of BAs [215]. Because there is not a microbiological stabilization after malolactic fermentation in cider, the indigenous LAB constitute the predominant vehicles to promote the production of BAs [214,216,217].

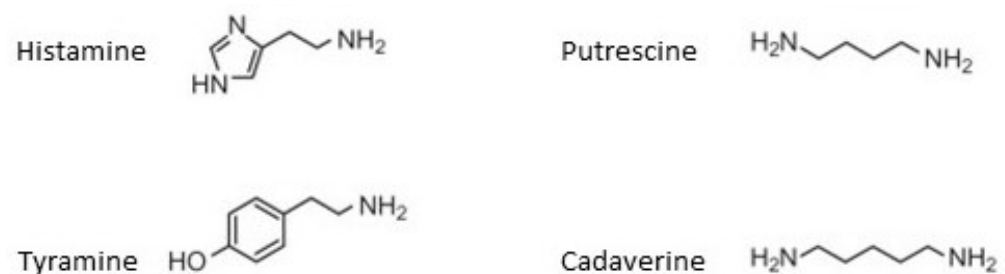


Figure 4. Most abundant biogenic amines found in cider.

Some apple-derived products can contain patulin, which is a toxic metabolite produced by certain yeast strains that bears several potential risks for human health [218–221]. Zhao et al. [222] studied a way to eliminate patulin from fermented apple puree by using specific fermentation conditions. They used for the fermentation a strain of *Byssoschlamys nivea* that had previously been proved to have a high capacity to degrade patulin [223]. Their results confirmed that Patulin was degraded after 5 days at 37 °C by the used yeast strain, providing that adequate temperature was maintained. Furthermore, they observed that pH practically did not influence patulin degradation. Hence, the fermented apple puree had improved health safety as compared with the non-fermented product.

Apple by-products, such as peels or pomace, constitute industrial wastes; these can, however, be used as sources of bioactive compounds, such as phenolic compounds with antioxidant activity [224]. Apple is rich in diverse bioactive phenolic compounds, most of them in higher concentrations in the peel, like, for example, epicatechin and quercetin glycosides [225–230]. Valorization processes can be used to obtain added value products, from which valuable compounds can be extracted. Gulsunoglu et al. [224] studied a method for the enhancement of polyphenolic compounds with antioxidant capacity in industrial apple waste by means of fermentation using several *Aspergillus* spp. Their results showed that *A. niger* and *A. tubingensis* produced eriodictyol, while *A. japonicus* and *A. aculeatus* produced taxifolin and catechin. These phenolic compounds have several health benefits: eriodictyol is a flavonoid with broad biological and pharmacological effects [231], including anti-inflammatory effect in osteoarthritis [232], cardioprotective effect [233], control of blood vessels permeability [234] and anti-allergic effect [235]; taxifolin is also a flavonoid with biological activities at different levels, showing antioxidant [236], antibacterial, anti-inflammatory [237] and antiviral [238] activities, improving microcirculation and regulating immunity, being used for treatment of atherosclerosis, dyslipidemia, cardiovascular diseases and other chronic diseases [239,240]; catechin, again in the class of flavonoids, has proven beneficial in cardiovascular health and showing several effects on the human body [241], like antioxidant [242], antihypertensive [243], anti-inflammatory [244], antiproliferative [245] and anti-hyperlipidemic [246].

6. Final Remarks

Apple is the basis for several fermented products, the most abundant being cider and vinegar, but also of relevance are spirits, particularly in some European countries, fermented juices, and probiotic beverages. Additionally, preferment apple pomace also makes it possible to produce added value products, while at the same time minimizing the impact of those residues that otherwise would be discarded. The fermentation technology and the yeast species used have a great impact on the final properties of the obtained fermented products, in terms of chemical composition, sensory profiles and effects on the human body, either beneficial or harmful. Some positive health effects of apple fermented products are associated with the presence of macro and micronutrients, as well as bioactive compounds (like phenolic compounds) and probiotic bacteria. On the other hand, some possible health problems can also arise due to the presence of methanol or biogenic amines. New developments in fermentation technology and studies on the use of different yeast species are continuously being made in order to improve profitability and the final qualities of the product, as well as to maximize their positive health effects. Future trends in this field will certainly encompass these developments, with the aim of increasing fermentation efficiency, optimizing the products obtained in terms of chemical composition, sensory properties and health benefits for humans, and also minimizing the potential risks associated with these fermented products. Additionally, fermentation can be expected to provide an alternative way to add value to residues from the food industry, and particularly with respect to apple, such as apple peels, seeds or low-quality raw materials. In this way, valorization of residues through fermentation can have positive economic and environmental impacts.

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