

# Techno-economic Assessment of Optimised Vacuum Swing Adsorption for Post-Combustion CO<sub>2</sub> capture from Steam-Methane Reformer Flue Gas

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## Abstract:

This study focuses on the techno-economic assessment integrated with detailed optimisation of a four step vacuum swing adsorption (VSA) process for post-combustion CO<sub>2</sub> capture and storage (CCS) from steam-methane reformer dried flue gas containing 20 mol% CO<sub>2</sub>. The comprehensive techno-economic optimisation model developed herein takes into account VSA process model, peripheral component models, vacuum pump performance, scale-up, process scheduling and a thorough cost model. Three adsorbents, namely, Zeolite 13X and two metal-organic frameworks, UTSA-16 and IISERP MOF2 are optimised to minimise the CO<sub>2</sub> capture cost. Monoethanolamine (MEA)-based absorption technology serves as a baseline case to assess and compare optimal techno-economic performances of VSA technology for three adsorbents. The results show that the four step VSA process with IISERP MOF2 outperforms other two adsorbents with a lowest CO<sub>2</sub> capture cost (including flue gas pre-treatment) of 33.6 € per tonne of CO<sub>2</sub> avoided and an associated CO<sub>2</sub> avoided cost of 73.0 € per tonne of CO<sub>2</sub> avoided. Zeolite 13X and UTSA-16 resulted in CO<sub>2</sub> avoided costs of 90.9 and 104.9 € per tonne of CO<sub>2</sub> avoided, respectively. The CO<sub>2</sub> avoided costs obtained for the VSA technology remain higher than that of the baseline MEA-based absorption process which was found to be 66.6 € per tonne of CO<sub>2</sub> avoided. The study also demonstrates the importance of using cost as means of evaluating the separation technique compared to the use of process performance indicators. Accounting for the efficiency of vacuum pumps and the cost of novel materials such as metal-organic frameworks is highlighted.

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# Techno-Economic Assessment of Optimised Vacuum Swing Adsorption for Post-Combustion CO<sub>2</sub> Capture from Steam-Methane Reformer Flue Gas

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## Abstract

This study focuses on the techno-economic assessment integrated with detailed optimisation of a four step vacuum swing adsorption (VSA) process for post-combustion CO<sub>2</sub> capture and storage (CCS) from steam-methane reformer dried flue gas containing 20 mol% CO<sub>2</sub>. The comprehensive techno-economic optimisation model developed herein takes into account VSA process model, peripheral component models, vacuum pump performance, scale-up, process scheduling and a thorough cost model. Three adsorbents, namely, Zeolite 13X and two metal-organic frameworks, UTSA-16 and IISERP MOF2 are optimised to minimise the CO<sub>2</sub> capture cost. Monoethanolamine (MEA)-based absorption technology serves as a baseline case to assess and compare optimal techno-economic performances of VSA technology for three adsorbents. The results show that the four step VSA process with IISERP MOF2 outperforms other two adsorbents with a lowest CO<sub>2</sub> capture cost (including flue gas pre-treatment) of 33.6 € per tonne of CO<sub>2</sub> avoided and an associated CO<sub>2</sub> avoided cost of 73.0 € per tonne of CO<sub>2</sub> avoided. Zeolite 13X and UTSA-16 resulted in CO<sub>2</sub> avoided costs of 90.9 and 104.9 € per tonne of CO<sub>2</sub> avoided, respectively. The CO<sub>2</sub> avoided costs obtained for

the VSA technology remain higher than that of the baseline MEA-based absorption process which was found to be 66.6 € per tonne of CO<sub>2</sub> avoided. The study also demonstrates the importance of using cost as means of evaluating the separation technique compared to the use of process performance indicators. Accounting for the efficiency of vacuum pumps and the cost of novel materials such as metal-organic frameworks is highlighted.

*Keywords:* carbon dioxide capture and storage, vacuum swing adsorption, techno-economic analysis, steam-methane reformer, optimisation, metal-organic frameworks

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## 1. Introduction

Hydrogen is a clean fuel that plays an important role in transition towards a low-carbon sustainable energy future. With growing demands in various sectors such as, power, heating, industry, transportation, global hydrogen production is expected to substantially increase in the next few decades [1]. Although hydrogen can be produced through renewable sources, over 95% of the global hydrogen production relies on fossil fuels [1, 2]. Since this production route involves high CO<sub>2</sub> emissions, CO<sub>2</sub> capture and storage (CCS) is a path forward to enable large scale hydrogen production with low-carbon emissions in hydrogen plants to meet growing demands. Steam-methane reforming (SMR) of natural gas continues to be the leading technology for large-scale hydrogen production [3] which accounts for almost 50% of the hydrogen produced globally [4]. In SMR-based hydrogen plants, natural gas undergoes steam-reforming followed by water-gas shift to obtain shifted syngas. Owing to the highly endothermic reforming reactions, combustion of natural gas in the reformer remains inevitable [3]. Pressure swing adsorption (PSA) then purifies the shifted syngas to produce ultrapure hydrogen. Based on aforementioned process steps, CO<sub>2</sub> sources can be associated to SMR furnace flue gas and shifted syngas from reforming and water-gas shift reactions. While up to 60% CO<sub>2</sub> can be captured from shifted syngas [1], post-combustion CO<sub>2</sub> capture from SMR furnace flue gas must be deployed to achieve higher overall capture rates from SMR-based hydrogen plants [3] and thus enabling low-carbon footprint hydrogen. The current state-of-the-art separation technology for post-combustion CO<sub>2</sub> capture in SMR plants involves monoethanolamine (MEA) based absorption [3].



Alternative post-combustion CO<sub>2</sub> capture technologies such as, membrane separation and vacuum swing adsorption (VSA) have emerged as promising technologies that are currently in the R&D stage [5]. The VSA technology was also commercially demonstrated for CO<sub>2</sub> capture from syngas in a SMR-based plant at Valero Port Arthur Refinery (Texas, USA) [6]. With growing interest to develop adsorption technology for CO<sub>2</sub> capture, major improvements have been made to develop new adsorbents and processes [5]. However, techno-economic studies highlighting the industrial feasibility of VSA technology for CO<sub>2</sub> capture are minimal. Among them, Ho et al. carried out a preliminary analysis of CO<sub>2</sub> capture using PSA based on a basic Skarstorm cycle and a short cut model [7]. Hasan et al. simulated and optimised basic four step PSA and VSA processes using Zeolite 13X for post-combustion CO<sub>2</sub> capture and compared their performances with absorption and membrane technologies based on total annualized costs [8]. Susarla et al. studied the techno-economic performance of a four step PVSA cycle with light product pressurisation based on Zeolite 13X for post-combustion CO<sub>2</sub> capture in a 500 MW coal-fired power plant [9]. The paper reported US\$ 31.8 per tonne of CO<sub>2</sub> avoided based on a dry flue gas. Leperi et al. estimated the cost of CO<sub>2</sub> captured in a 30 MW coal-fired power plant based on a two-stage modified Skarstrom cycle and four different adsorbents which included both zeolites and metal-organic frameworks (MOFs) [10]. The study reported a cost of CO<sub>2</sub> capture of ~US\$ 32 per ton of CO<sub>2</sub> captured with the best performing material, Zeolite 13X, under dry flue gas conditions while a cost of ~US\$ 34 per ton of CO<sub>2</sub> captured for wet flue gas conditions with both Zeolite 13X and Zeolite 5A. Moreover, the column scheduling was not considered in their work. Recently, Khurana and Farooq performed scale-up design, process scheduling

and costing for four step VSA cycle with light product pressurisation and six step VSA cycle with dual reflux based on an integrated adsorbent process optimisation framework [11]. The study focuses on post-combustion CO<sub>2</sub> capture from a dry flue gas in a 500 MW coal-fired power plant. They report a cost of CO<sub>2</sub> avoided of  $\sim$ US\$ 26 per tonne of CO<sub>2</sub> avoided for a six step VSA cycle excluding the drying and compression costs. Danaci et al. performed the screening of MOFs based on capture cost for CO<sub>2</sub>/N<sub>2</sub> separation in four different CO<sub>2</sub> capture scenarios, namely, natural gas combined cycle, coal-fired power plant, cement and integrated steel mill plants. The study was performed based on a simplified VSA process model that does not take into account the full complexity of a VSA process [12].

Literature studies have focused on coal-fired power plants and there are no studies reported for post-combustion CO<sub>2</sub> capture in hydrogen plants using VSA technology. Previous research also did not take into account the scale-up and proper column scheduling in their optimal cost estimations with notable exception being the work of Khurana and Farooq [11]. One more limitation relates to the adsorbent cost where several existing costing models, although account for the adsorbent purchase cost, did not consider the costs associated with transport and installation of adsorbents. Another shortcoming is that many previous studies assumed a high vacuum pump efficiency to calculate the VSA energy consumption, albeit, practical considerations on vacuum pump performance were omitted in cost estimations. This is a critical aspect since the production of high purity CO<sub>2</sub> at high recovery requires very low vacuum pressures at which pump efficiencies are known to drop dramatically [13, 14]. Further, a wide range of financial parameters were used for cost estimations which makes the comparison of VSA performance with other technologies challenging. Therefore,

a detailed cost model based on established financial guidelines together with the full complexity of VSA remains essential in order to compare VSA with other capture technologies. In order to enable cost-efficient designs, integrating the detailed cost model with the design and optimisation is essential [15].

In the present work, an integrated techno-economic optimisation model is developed that takes into account detailed VSA process model, peripheral component models, vacuum pump performance and a comprehensive costing model. This model is used to assess the techno-economic performance of an optimised VSA process for post-combustion CO<sub>2</sub> capture in SMR-based hydrogen plants. Three different adsorbents are evaluated for their technical and cost performances based on a four step VSA cycle with light product pressurisation and tested for their competitiveness by comparing with state-of-the-art MEA-based absorption. In addition, different optimisation cases are considered to highlight (1) the critical choice of process design objectives, (2) the importance of incorporating vacuum pump performance into the techno-economic optimisation model, (3) the effect of adsorption column sizing and (4) the influence of adsorbent costs.

## **2. Case Study**

The SMR process for hydrogen production without CO<sub>2</sub> capture considered in this study is based on a single steam-methane reforming train with a production capacity of 450 tonnes of hydrogen per day. A simplified process flow diagram of the SMR-based hydrogen production system is shown in Fig. 1. First, natural gas is converted to syngas through a pre-reformer and a reformer. After the reformer, the CO in the syngas is converted to CO<sub>2</sub>

through a high-temperature and a low-temperature water-gas shift. It is also worth noting that the water-gas shift enables the production of HP steam used to generate electricity. A PSA unit then separates  $H_2$  from the rest of converted syngas to produce high purity hydrogen (main product of the plant). The PSA tail gas is sent to a furnace to burn with natural gas and deliver heat for the reforming process. Without  $CO_2$  capture, the hydrogen plant results in an exhaust flue gas of 233.9 kg/s at 1.02 bar and 353.15 K and the following molar composition: 16.23%  $CO_2$ , 63.31%  $N_2$ , 17.87%  $H_2O$ , 1.84%  $O_2$ , 0.75% Ar [16]. The scope of this work is identified in Fig. 1.

To benchmark the adsorption-based process, a standard monoethanol amine-based (MEA)  $CO_2$  capture is considered as the reference technology as illustrated in Fig. S1 in the Supporting Information. After  $CO_2$  capture, the  $CO_2$  is pressurised to 200 bar before being transported to an offshore saline aquifer located 140 km away. While a summary of the performances of the hydrogen plant with and without MEA-based CCS is presented in Table S1 in the Supporting Information, more details can be found elsewhere [16].

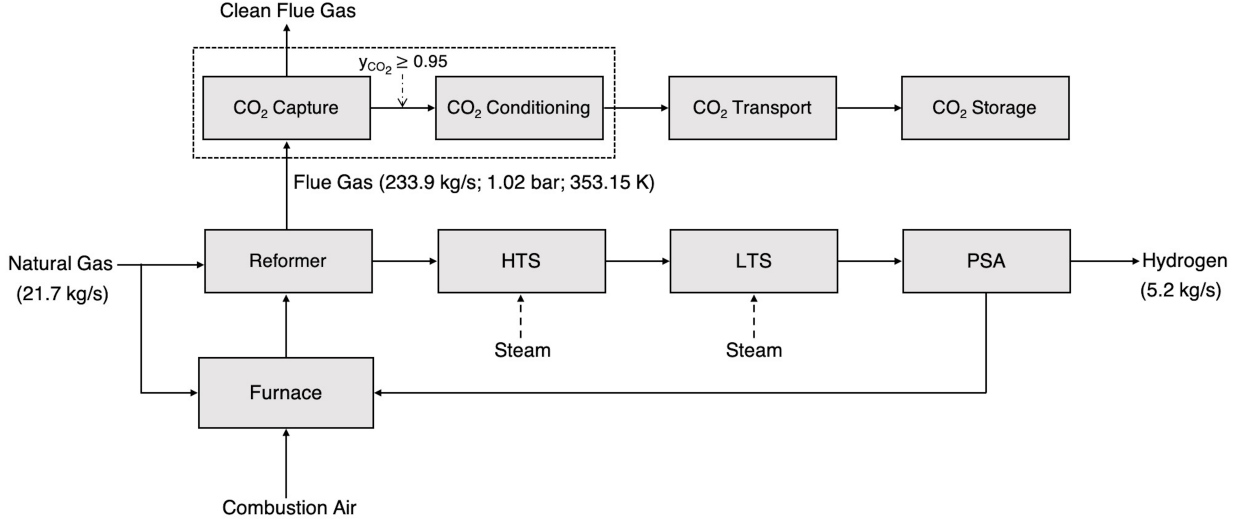


Figure 1: Process flow diagram of the hydrogen production plant and the overview of CCS chain. The dotted box represents the scope of the study.

### 3. Systematic Design of VSA Systems

An integrated techno-economic optimisation framework is proposed for the design of VSA processes. Most VSA studies for CO<sub>2</sub> capture deal with process optimisations either involving energy reduction or productivity maximization or both. While these are good proxies for operating and capital costs, the true estimate of a technology should be based on a thorough techno-economic study that accurately incorporates the trade-offs involved. In this work, a techno-economic analysis coupled with a rigorous process optimisation approach is used. The key features of this approach are following:

1. The use of a process cycle that has been demonstrated at a pilot plant facility to produce high CO<sub>2</sub> purity and recovery.
2. Rigorous modelling of the adsorption process that explicitly accounts for full transient column dynamics and cyclic-steady state performance of the process.

3. Rational scale-up approach that determines the number of columns and parallel trains to ensure continuous operation.
4. A costing framework that is consistent with best practices in order to improve the reliability of the cost values.

The framework used for this study is illustrated in Fig. 2. The inputs to this framework are technical and economic design basis, VSA cycle and physiochemical properties such as adsorption isotherms. Based on the inputs provided, integrated simulation and costing framework coupled with stochastic optimisation outputs the cost optimal design of the VSA process. More details of these components are presented below.

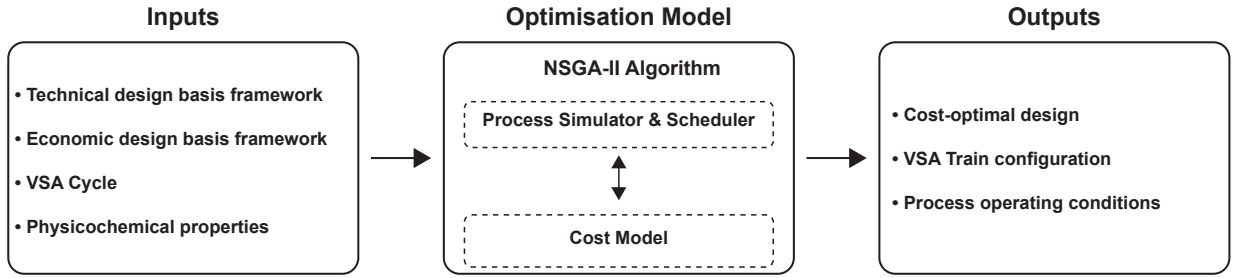


Figure 2: Integrated techno-economic optimisation methodology.

### 3.1. VSA Capture System

#### 3.1.1. Process Layout

The process layout of adsorption-based CO<sub>2</sub> capture is illustrated in Fig. 3. Given the detrimental nature of water on many adsorbents, the wet flue gas of the hydrogen plant is first cooled to 313.15 K by a direct contact cooler and then dehydrated using a molecular sieve to remove the water [15]. The dry flue gas requires compression to overcome the

pressure drop in VSA columns. Two identical single-stage compressors are employed to compress the entire dry flue gas to the desired pressures. Coolers follow each compression unit to cool the feed mixture to 298.15 K.

A feed header splits the dry flue gas as feed into  $M$  identical VSA units [9]. The feed mixture to VSA units is considered to contain 20% CO<sub>2</sub>, 77% N<sub>2</sub>, 2% O<sub>2</sub>, 1% Ar. For simplicity, a binary mixture of 20% CO<sub>2</sub> and 80% N<sub>2</sub> was used to simulate the VSA process. This can be justified by the fact that both O<sub>2</sub> and Ar adsorb weaker than N<sub>2</sub> on most adsorbents, specifically those considered in this study and hence, can be considered to be adequately represented by N<sub>2</sub>. Each VSA unit consists of  $N$  identical columns operating out of phase to implement the cycle operation. Several switching valves, dedicated vacuum pumps are employed to remove N<sub>2</sub> and collect CO<sub>2</sub> separately. The CO<sub>2</sub> after capture undergoes a multi-stage compression with intercooling from 1 bar and 298.15 K to the target conditions prior offshore pipeline transport (200 bar and 318.15 K). More details on technical modelling of various peripheral components are summarized in the Supporting Information.

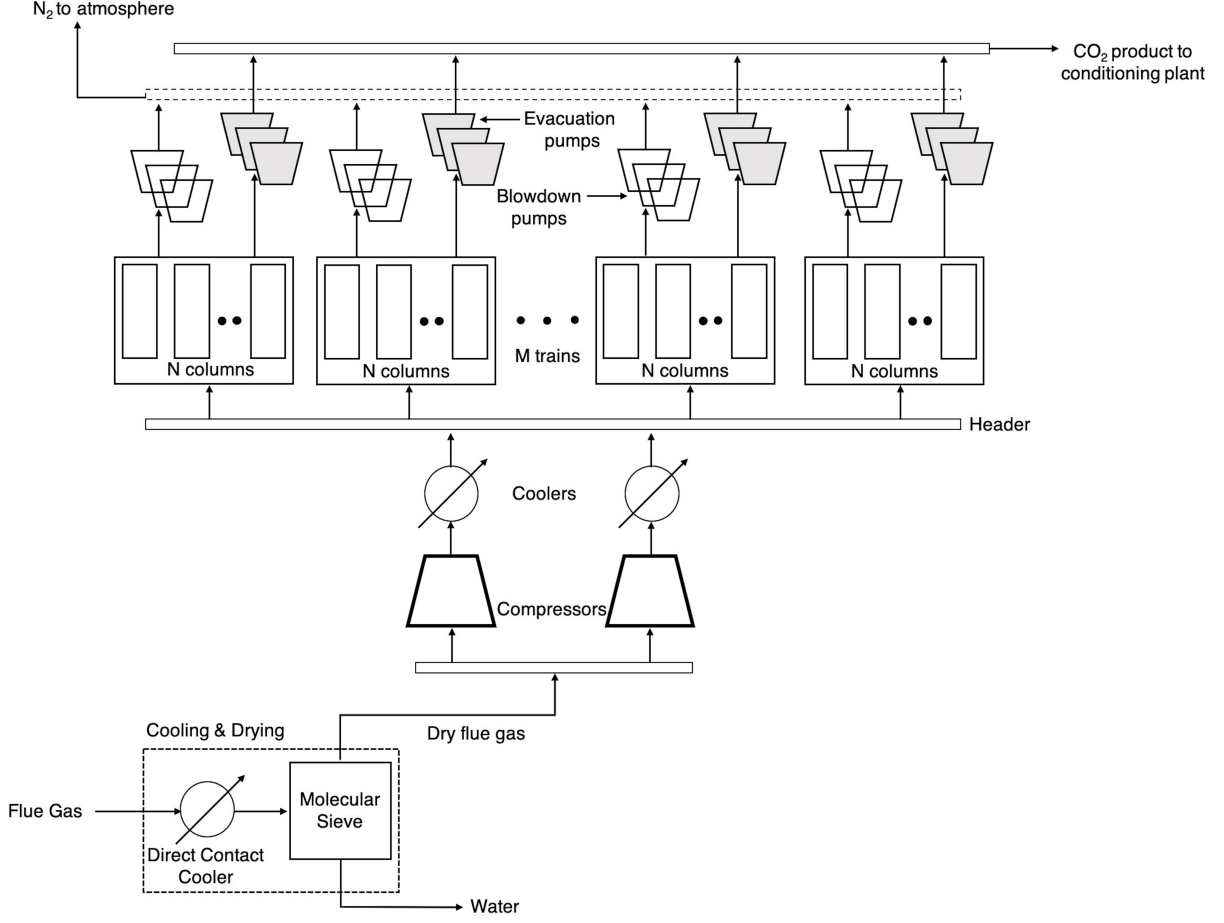


Figure 3: Process layout for CO<sub>2</sub> capture using vacuum swing adsorption.

### 3.1.2. Four Step VSA Cycle

The cycle configuration considered in this work is illustrated in Fig. 4. It is worth noting that this process is widely used in the research community as a benchmark cycle and has been successfully demonstrated at a pilot-scale [11, 13, 17, 18, 19]. Naturally, more complex cycles can be synthesized resulting in better performance. However, it is anticipated that for a process of this scale, simple cycles would be preferred. The cycle consists of adsorption, co-current blowdown, counter-current evacuation and light product pressurisation steps. The separation of feed mixture occurs in adsorption step at atmospheric pressure where the heavy



product  $\text{CO}_2$  adsorbs in the column and  $\text{N}_2$  leaves the column as a light product. Although adsorption step occurs at atmospheric pressure, the feed mixture needs to be compressed to a higher pressure ( $P_F$ ) in order to overcome the pressure drop across the column. In the co-current blowdown step, the column pressure is reduced to an intermediate vacuum ( $P_I$ ) in order to remove  $\text{N}_2$  present in the column. The column pressure is further reduced to a low vacuum ( $P_L$ ) in the counter-current evacuation step to collect the heavy product  $\text{CO}_2$  at the feed end of the column. The light product from the adsorption step is used to pressurise the column back to atmospheric pressure.

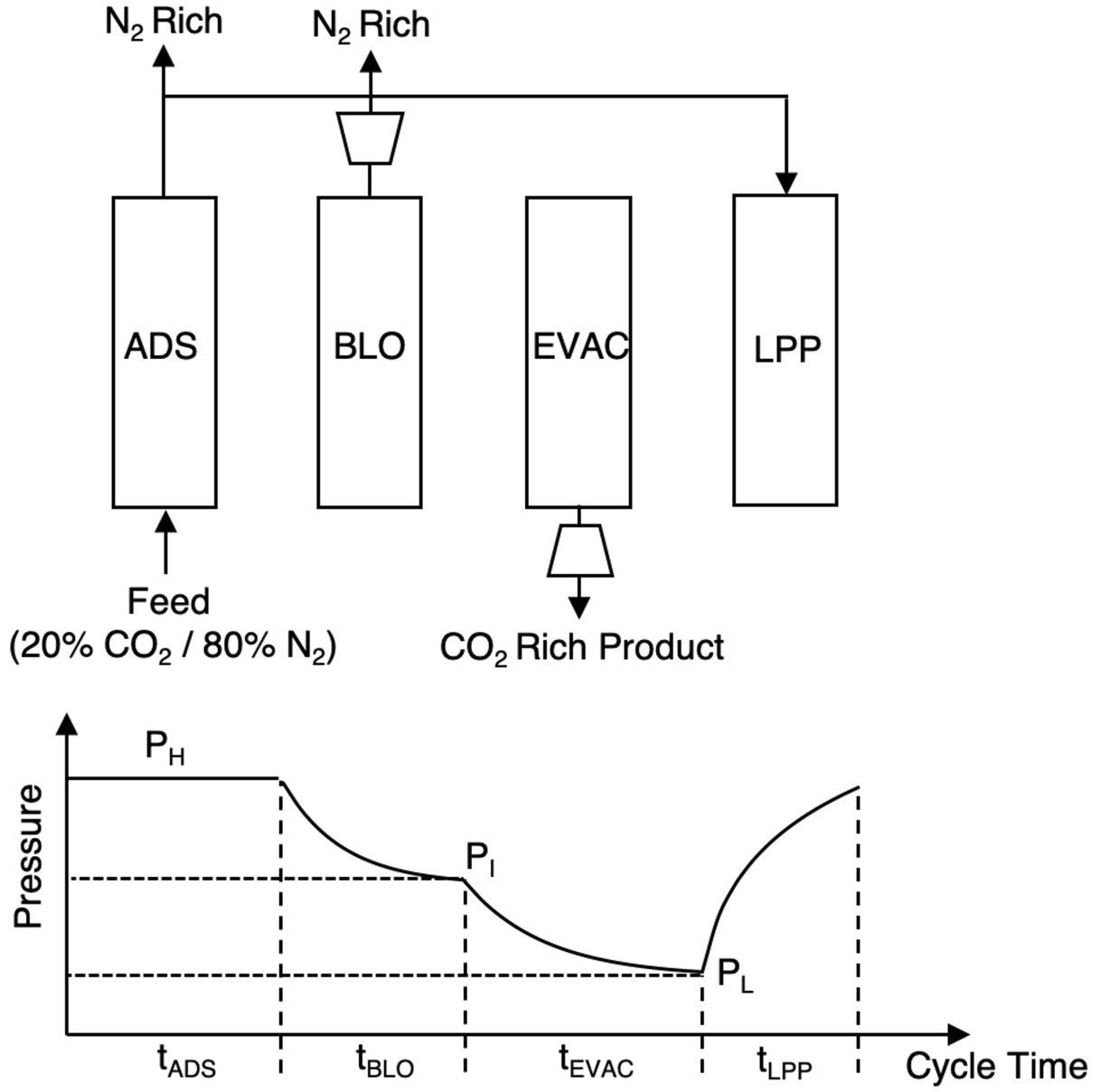


Figure 4: Four step VSA cycle schematic.

### 3.1.3. Adsorbent Materials

Three adsorbents were considered: Zeolite 13X [20], the current benchmark material for CO<sub>2</sub> capture [13, 21]; Metal-organic frameworks, UTSA-16 [22], a widely studied metal-organic framework for CO<sub>2</sub> capture [11, 12, 17, 23], and IISERP MOF2 [24], which showed a

better performance than Zeolite 13X and other MOFs in terms energy consumption and productivity in a recent screening study [25]. The adsorption equilibria for all these adsorbents were described using a dual-site Langmuir (DSL) model (for each component  $i$ ):

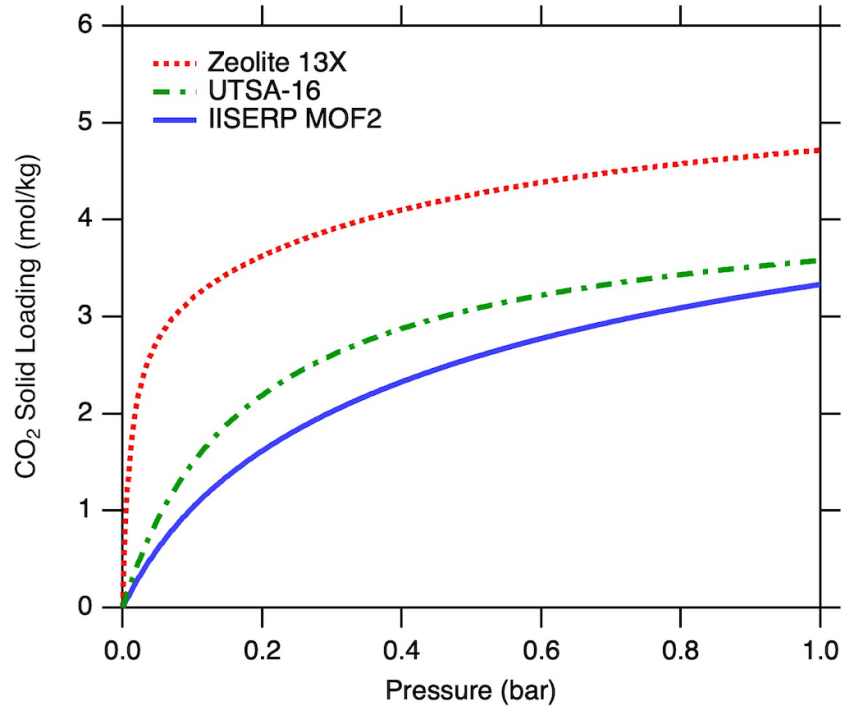
$$q_i^* = \frac{q_{sb,i} b_i c_i}{1 + \sum_i b_i c_i} + \frac{q_{sd,i} d_i c_i}{1 + \sum_i d_i c_i} \quad (1)$$

where  $q_{sb,i}$  and  $q_{sd,i}$  are the saturation loadings for the two sites and,  $b_i$  and  $d_i$  are the adsorption equilibrium constants with Arrhenius temperature dependence as follows:

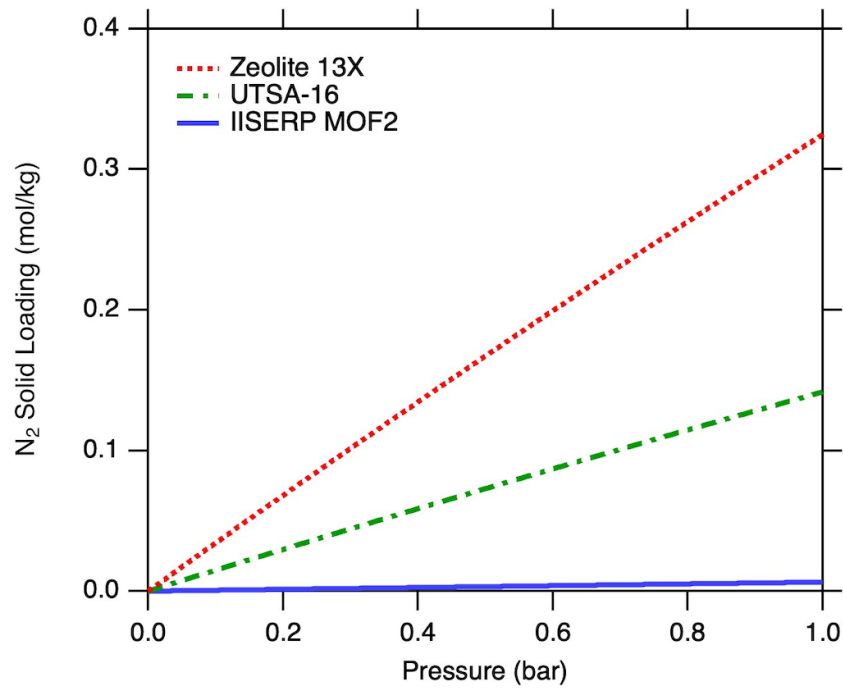
$$b_i = b_0 e^{\left(-\frac{\Delta U_{b,i}}{RT}\right)} \quad (2a)$$

$$d_i = d_0 e^{\left(-\frac{\Delta U_{d,i}}{RT}\right)} \quad (2b)$$

$\Delta U_{b,i}$  and  $\Delta U_{d,i}$  are the internal energies of the two sites. The DSL isotherm parameters for Zeolite 13X pellets were obtained based on previously performed experiments [20]. The CO<sub>2</sub> and N<sub>2</sub> isotherm parameters for UTSA-16 extrudates and IISERP MOF2 were obtained from the literature [23, 25]. Note that the IISERP MOF2 was assumed to form uniform particles of 1.5 mm using a structuring agent (binder). Figure 5 shows the CO<sub>2</sub> and N<sub>2</sub> single component isotherms for all three adsorbents and related isotherm parameters are provided in Table S2 in the Supporting Information. In this work, the equal energy site (EES) form of the DSL isotherm is used [18]. In this formalism, the saturation capacity of each site is kept identical for both components and the enthalpy of adsorption for N<sub>2</sub> is kept identical for both sites. Experimental evidence supports this for the case of Zeolite 13X [26].



(a)



(b)

Figure 5: Single component (a) CO<sub>2</sub> and (b) N<sub>2</sub> isotherms on the three adsorbents at 298.15 K.

### *3.2. Technical Modelling*

#### *3.2.1. VSA Process Model*

A non-isothermal, one-dimensional mathematical model obtained by solving mass, momentum and energy balances was used to simulate the VSA process [20]. Both lab-scale and pilot-scale experiments have been reported in the literature to validate the model [13, 26]. The model assumes that the gas behaves ideally and an axially dispersed plug flow model represents the bulk flow. No radial gradients exist for composition, pressure and temperature across the column. Adsorbent properties and bed porosity remain uniform throughout the column. There also exists an instantaneous thermal equilibrium between the gas and the solid. Linear driving force model describes the solid phase mass transfer and Ergun's equation accounts for the pressure drop across the column. Adiabatic operation, i.e. no heat transfer across the walls, remains valid given the large column sizes considered. The resulting governing equations are listed in the Supporting Information.

Appropriate boundary conditions were defined to solve each cycle step (provided in the Supporting Information). Unlike previous studies [20, 27], volumetric flow rate of vacuum pumps were assigned as boundary conditions at the exit of the column to simulate blow-down and evacuation steps instead of using predefined exponential pressure profiles. This modification allows for reliable estimations of cycle times and the vacuum pump size/cost. Note that most of the vacuum pump costs are based on volumetric flow rates [11]. In addition, recent studies also show that incorporating vacuum pump volumetric flow rate based boundary conditions improve the overall accuracy of the model to predict process performance indicators [11, 28]. Therefore, volumetric flow rate of vacuum pumps were given as

inputs and the times of blowdown and evacuation were calculated by the model.

The partial differential equations (PDEs) were numerically solved by discretizing the spatial terms using the finite volume method with a weighted essentially non-oscillatory (WENO) scheme as a flux limiter [20]. The PDEs were discretized into 30 finite volumes and the resulting ordinary differential equations were integrated using a stiff ode solver, *ode23tb*, in MATLAB. All simulations were initialized with a feed mixture at  $P_L$  and were performed based on a unibed approach, i.e. a single bed undergoes all cycle steps in a sequence, a standard technique used in P/VSA simulations. The coupled cycle steps were modeled by using data buffers to store the stream information. The blowdown and evacuation steps were terminated once the column pressure reaches the desired pressure. The criterion for cyclic steady state (CSS) was when the mass balance error equal to 1% or less was observed for five consecutive cycles. Simulations were run for a large number of cycles to confirm that this criterion was adequate. If the system fails to attain the CSS criterion, simulations were performed until a maximum of 500 cycles after which it was assumed that the CSS was attained. At CSS, the model provided detailed composition, temperature and pressure profiles that were essential to calculate key performance indicators. The simulation parameters are provided in Table S4 in the Supporting Information.

### 3.2.2. Column Scheduling

Owing to the transient nature of VSA system, scheduling the cycle with the minimum number of columns is required to make the operation continuous. The scheduling procedure proposed by Khurana and Farooq [11] was adopted. The main considerations are sum-

marized here: (1) Continuous feed with constant throughput. (2) Separate blowdown and evacuation vacuum pumps to avoid contamination of effluents from respective steps and to maintain the modular nature of the process. (3) Coupled steps must occur simultaneously in two columns in order to avoid storage. (4) At any given time, one vacuum pump serves only one column. More details on calculating the number of columns per unit train ( $N$ ), the number of vacuum pumps per unit train ( $N_v$ ) and the number of parallel trains ( $M$ ) are provided in the Supporting Information.

### 3.2.3. Vacuum Pumps

Vacuum pumps were assumed to deliver constant volumetric flow rates over wide vacuum ranges. Although, in practice, vacuum pump flow rates obey specific performance curves, a constant volumetric flow rate assumption allows for a more generic design framework employed herein. It is worth mentioning that the vacuum pump efficiency was considered to be dependent on the vacuum level, instead of a fixed value. Based on earlier studies, it was found that the vacuum pump performance significantly drops at deep vacuum levels ( $<0.1$  bar) while it remains constant for moderate vacuum ( $>0.1$  bar) [13, 14]. Although the true vacuum pump efficiency depends on the specific vacuum pump, a generalized vacuum pump efficiency function regressed based on vacuum levels between 0.01 bar and 1 bar after analyzing the several vacuum pump performance curves in a previous study [14] was used. The relation for vacuum pump efficiency is defined as follows:

$$\eta_v = \frac{15.84P}{1 + 19.80P} \quad (3)$$

where  $P$ , in bar, is the suction pressure. Note that unless otherwise stated, Eq. 3 was used to quantify the vacuum pump performance in this study. The efficiency,  $\eta_V$ , includes that of the driver.

### 3.3. Cost Assessment

The cost assessment was performed on the basis of an Nth Of A Kind (NOAK) approach wherein it was assumed that the VSA technology was mature for CO<sub>2</sub> capture and demonstrated on a commercial scale [29]. The cost methodology for VSA technology involves estimation of both capital costs (CAPEX) and operating costs (OPEX). All costs are provided in €<sub>2016</sub> price levels. Costs based on older estimates than 2016 were updated using Chemical Engineering Plant Cost Index (CEPCI) and inflation.

#### 3.3.1. Capital Costs

A bottom-up approach was adopted to estimate the capital costs and is illustrated in Fig. 6 [30]. First, the direct cost of process equipment was estimated using Aspen Process Economic Analyzer<sup>®</sup>. The direct cost of each equipment represents both equipment and installation costs. The estimation was carried out based on key design characteristics of each equipment, such as pressure, diameter, flow rate, etc. For easier implementation within the optimisation framework, cost functions were regressed for each type of equipment and were directly used to assess the direct cost of each equipment of the process. Multiple economic evaluations were performed based on a wide range of relevant key design characteristics for each equipment so that the accuracy of cost functions remain valid for different operating conditions evaluated in the optimisation (see Appendix for more details). In addition to



process equipment, initial adsorbent purchase, transport and installation costs were also accounted for in the total direct cost (TDC).

A process contingency factor of 15% of total direct cost without contingencies, which is in line with NETL guidelines was added to the total direct cost to calculate the total direct cost with process contingency (TDCPC) [31]. Then, indirect costs and project contingencies were added to total direct cost with process contingencies to obtain the total plant cost (TPC). Indirect costs, which include, engineering costs, consultancies, service facilities, yard improvement, building and sundries were set to 14% of TDCPC, while project contingencies were set to 20% of TDCPC in accordance with NETL guidelines [31]. Finally, the owner costs and interest over construction are added to the TPC to calculate the total capital requirement (TCR). The owner costs are considered to represent 7% of TDCPC [32] and the interest over construction are calculated assuming that the construction costs are shared over a three-year construction period following a 40/30/30 allocation.

Due to its specificity, it is worth noting that the direct cost of each adsorbent was estimated differently than presented above. While the cost of an adsorbent is key for the design and evaluation of adsorption-based CO<sub>2</sub> capture processes, estimating the cost of an adsorbent can be challenging in practice, especially if the material has not been commercialized. Amongst the adsorbents considered in this work, Zeolite 13X is the only one that has been deployed industrially and its purchase cost was estimated to 1500 € per tonne [12]. However, UTSA-16 and IISERP MOF2, and more generally MOFs, are still in early development stages with no information on large-scale production and thus with no well-established cost. While the cost of synthesizing MOFs are currently very high, as these

are mainly grams-level quantities synthesized at the lab-scale. The potential for scale-up through application like CCS would be expected to significantly reduce the production cost for MOFs reaching the commercial stage [12]. Under such circumstances, the cost of raw materials could be expected to represent a similar level of the MOF cost than the synthesis cost. Therefore, in this work, the purchase cost of MOFs were assumed to be twice the cost of metals used to synthesize them as the metals of these MOFs can be expected to be the main material cost as they typically are orders of magnitude more expensive than the organic components. For both UTSA-16 and IISERP MOF2, the costs of their underlying metals, cobalt and nickel respectively, were determined based on bulk prices per tonne from the United States Geological Survey website [33]. Based on the aforementioned assumptions, the potential purchase costs at larger production scale was estimated in terms of relative metal content and calculated to be 16640 and 4440 € per tonne for UTSA-16 and IISERP MOF2, respectively. Finally, in addition to adsorbent purchase costs, transport and installation costs associated with adsorbents was set to 1500 € per tonne for all adsorbents to reach the adsorbent direct cost, irrespective of the adsorbent considered. For commercial adsorbents, transport and installation costs are usually in the same range as the adsorbent purchase cost. This was set as the cost of Zeolite 13X.

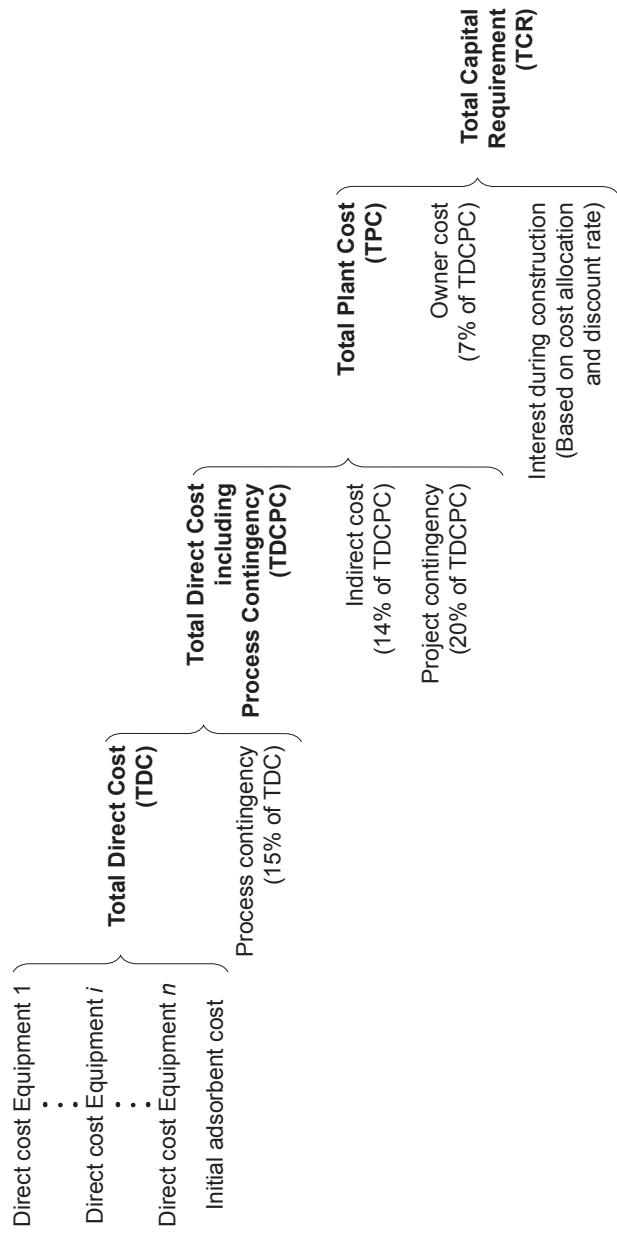


Figure 6: Illustration of the adopted bottom-up approach for calculating investment costs [30].

### 3.3.2. Operating Costs

Operating costs consists of fixed and variable operating costs. The annual fixed operating costs include maintenance, labor, insurance and administrative costs. The annual maintenance cost was calculated as 2.5% of TPC of which the maintenance labor cost accounts for 40%. The annual insurance and location taxes which include overhead and miscellaneous regulatory fees were set to 2% of TPC. The labor costs were calculated based on the assumption that the CO<sub>2</sub> capture unit requires 5 operators (5 shift pattern with 1 operator per shift as adsorption processes are highly automated) with an annual salary of 60000 € per person. Administrative costs were set to 30% of the operating and maintenance labor cost.

One important operating cost can be that associated with adsorbent replacement over time due thermal or mechanical degradation. While some commercial adsorbents can be operated up to 20 years without replacement by careful design and proper control strategies [34], the lifetime of MOFs is still unknown. Hence, to be conservative, the replacement time for all adsorbents was set to 5 years [10, 12]. The adsorbent replacement costs, which include purchase, transport and installation costs, are incurred every 5 years after the start of the plant to replace the adsorbent.

Variable operating costs include utilities like electricity and cooling water, as well as adsorbent replacement. The annual cost for utilities was calculated based on estimated consumption from process simulations. The unit costs of utilities are provided in Table 1.

Table 1: Unit costs of utilities

Utility	Price
Electricity ( $\text{€} \cdot \text{MW}^{-1} \text{h}^{-1}$ )	58.1 [36]
Specific Direct Emissions ( $\text{kg CO}_2 \cdot \text{MW}^{-1} \text{h}^{-1}$ )	38 [16]
Cooling Water ( $\text{€} \cdot \text{m}^{-3}$ )	0.039 [36]

### 3.4. Key Performance Indicators

#### 3.4.1. Key Technical Performance Indicators

The  $\text{CO}_2$  purity,  $\text{CO}_2$  recovery, overall power consumption, specific energy consumption and productivity were defined as follows:

$$\text{CO}_2 \text{ Purity (\%)} = \frac{\text{total moles of CO}_2 \text{ in the product step}}{\text{total moles of gas in the product step}} \cdot 100 \quad (4a)$$

$$\text{CO}_2 \text{ Recovery (\%)} = \frac{\text{total moles of CO}_2 \text{ in the product step}}{\text{total moles of CO}_2 \text{ in the feed step}} \cdot 100 \quad (4b)$$

Overall power consumption ( $P_{\text{el}}$ ) was defined as the product of the power consumption in each unit train and the number of parallel trains ( $M$ ) [11].

$$\text{Overall Power Consumption, } P_{\text{el}} \text{ (MW}_e\text{)} = M \cdot \sum_{i=\text{steps}} N_i \cdot \frac{E_i(\text{J}_e)}{t_i(\text{s}) \cdot 10^6} \quad (4c)$$

where  $i$  is the step under consideration. The power consumption in the unit train was calculated by averaging the energy consumed in each step of the VSA cycle,  $E_i$  ( $\text{J}_e$ ), over the duration of each step  $t_i$ . In other words, it represents the integral average of the power demand in each step of the VSA cycle.  $N_i$  denotes the number of rotary equipment associated

with each step in a unit train. For instance,  $N_{\text{ADS}}=1$  in the adsorption step;  $N_{\text{BLO}}$  represents the number of dedicated vacuum pumps per unit train for the blowdown step and;  $N_{\text{EVAC}}$  represents the number of dedicated vacuum pumps per unit train for the evacuation step.

It is worth noting that the power demand calculated based on Eq. 4c assumes that all movers operate continuously throughout the cycle duration. This always holds true for the compressor in the adsorption step due to continuous feed consideration in the column scheduling. On the contrary, the number of blowdown and evacuation vacuum pumps that are active will be less than or equal to  $N_{\text{BLO}}$  and  $N_{\text{EVAC}}$ , respectively, at any given time of the cycle duration. To elaborate, consider, for instance, evacuation vacuum pumps. There would a certain portion of the cycle schedule which operates with exactly  $N_{\text{EVAC}}$  vacuum pumps, yet, there also exists other portions of the cycle schedule which would operate with fewer than  $N_{\text{EVAC}}$  vacuum pumps. Under such circumstances, the power demand from the evacuation step will be based on vacuum pumps, less than  $N_{\text{EVAC}}$ , operating actively to implement the cycle. Moreover, there will be no power consumption from the remaining evacuation vacuum pumps that are inactive. Accounting for such variations in the power consumption for blowdown and evacuation steps may provide a slightly lower estimate for the overall power consumption, albeit, the assumption that all vacuum pumps operate continuously performing same work throughout the cycle duration will conservatively estimate the overall power consumption and will also be in compliance with the realistic operation. In addition

to overall power consumption, specific energy consumption was also defined as follows:

$$\text{Specific energy consumption (kWh}_e \text{ t}_{\text{CO}_2}^{-1}) = \frac{\text{Overall Power Consumption (kW}_e) \cdot \text{Operating hours (h year}^{-1})}{\text{CO}_2 \text{ captured (tonne year}^{-1})} \quad (4d)$$

Productivity was defined by considering the entire VSA capture unit as shown below:

$$\text{Productivity, } Pr \text{ (mol m}^{-3} \text{ s}^{-1}) = \frac{\text{CO}_2 \text{ capture rate for the plant (mol s}^{-1})}{\text{total adsorbent volume used in the plant (m}^3)} \quad (4e)$$

Note that  $\text{CO}_2$  capture rate ( $\text{mol s}^{-1}$ ) was defined as the product of  $\text{CO}_2$  recovery (-) and  $\text{CO}_2$  molar flow rate in the flue gas ( $\text{mol s}^{-1}$ ).

### 3.4.2. Key Economic Performance Indicators

The  $\text{CO}_2$  avoided cost was considered as the key economic performance indicator to compare the cost performance of adsorption-based  $\text{CO}_2$  capture technology with MEA-based CCS. It approximates the average discounted  $\text{CO}_2$  tax or quota over the duration of the project that would be required as income to match the net present value of additional capital and operating costs due to CCS infrastructure [29]. Since the implementation of CCS does not impact the hydrogen production of the plant (key product),  $\text{CO}_2$  avoided cost was calculated through a net present value approach [35]. The  $\text{CO}_2$  avoided cost, in  $\text{€}/\text{t}_{\text{CO}_2 \text{ avoided}}$  (where  $\text{t}_{\text{CO}_2 \text{ avoided}}$  is metric tonnes of  $\text{CO}_2$  avoided) is defined as shown below:

$$\text{CO}_2 \text{ Avoided Cost} = \frac{\text{Net Present Value of CCS implementation cost}}{\text{Net Present Value of CO}_2 \text{ avoided}} \quad (5)$$

Or more specifically,

$$\text{CO}_2 \text{ Avoided Cost} = \frac{\sum_i \frac{\text{TCR}_{\text{CCS implementation}}(i) + \text{Annual OPEX}_{\text{CCS implementation}}(i)}{(1+d)^i}}{\sum_i \frac{\text{Annual amount of CO}_2 \text{ emissions avoided by CCS implementation}(i)}{(1+d)^i}} \quad (6)$$

where  $i$  is the year index (-).

The amount of CO<sub>2</sub> emissions avoided by CCS implementation was defined as the difference of annual amount of CO<sub>2</sub> captured by CCS implementation and direct emissions due to heat and electricity associated with CCS implementation. Direct emissions due to electricity can be calculated using the following equation:

$$\text{Direct emissions} = e_{\text{el}} \cdot P_{\text{el}} (\text{MW}_e) \cdot \text{Operating hours} (\text{h year}^{-1}) \quad (7)$$

where  $e_{\text{el}}$  is the specific CO<sub>2</sub> emissions associated with each unit of electric power consumed (kg CO<sub>2</sub> MW<sub>e</sub><sup>-1</sup> h<sup>-1</sup>). By taking into account direct emissions, the equivalent CO<sub>2</sub> avoided indicates the true overall reduction in CO<sub>2</sub> emissions of the SMR plant when adsorption capture technology is implemented and allows for a fair comparison with different capture technologies [36]. The financial parameters used to calculate CO<sub>2</sub> avoided cost are listed in Table 2.

The CO<sub>2</sub> capture cost was also considered to optimise the VSA process and to compare the cost performances of different adsorbents. The CO<sub>2</sub> capture cost, in €/t<sub>CO<sub>2</sub> avoided</sub> is



defined as follows:

$$\text{CO}_2 \text{ Capture Cost} = \frac{\sum_i \frac{\text{TCR}_{\text{VSA capture plant}}(i) + \text{Annual OPEX}_{\text{VSA capture plant}}(i)}{(1+d)^i}}{\sum_i \frac{\text{Annual amount of CO}_2 \text{ emissions avoided by VSA capture plant}(i)}{(1+d)^i}} \quad (8)$$

It is worth noting that the CO<sub>2</sub> capture cost corresponds to the CO<sub>2</sub> avoided cost in Eq. 6 without the cost of flue gas cooling and drying, CO<sub>2</sub> conditioning, CO<sub>2</sub> transport and storage, since these costs are expected to be identical for all adsorbents.

Table 2: Financial parameters used for calculating CO<sub>2</sub> avoided and capture costs [16].

Parameter	Value
Economic lifetime (years)	25
Capacity factor (%)	91.3
CO <sub>2</sub> capture plant construction time (years)	3
Allocation of CO <sub>2</sub> capture construction costs by year (%)	40/30/30
Discount Rate (%)	8

### 3.5. Techno-economic Optimisation Model

The VSA process design was approached as an integrated techno-economic optimisation framework by identifying optimal design and operating variables of the process as illustrated in Fig. 2. The optimisation methodology integrates process and material aspects together with cost models in order to minimize CO<sub>2</sub> capture cost while ensuring a minimum of 90% CO<sub>2</sub> recovery and 95% CO<sub>2</sub> purity. The set of variables include, adsorption step duration ( $t_{\text{ADS}}$ ), blowdown step interstitial velocity ( $v_{\text{B}}$ ), evacuation step interstitial velocity ( $v_{\text{E}}$ ), intermediate vacuum ( $P_{\text{I}}$ ), evacuation vacuum ( $P_{\text{L}}$ ), interstitial feed velocity ( $v_0$ ) and column length ( $L$ ).

The choice of design and operating variables depend on the VSA cycle and the adsorbent used. For the four step VSA cycle considered,  $t_{\text{ADS}}$  and  $v_0$  can be tuned in the adsorption step to control the feed flow rate and also the  $\text{CO}_2$  front propagation along the column. Since this step operates at atmospheric pressure, the feed pressure can be calculated based on Ergun's equation. For blowdown and evacuation steps, vacuum levels,  $P_{\text{I}}$  and  $P_{\text{L}}$ , respectively are variables. In addition, volumetric flow rates of blowdown ( $S_{\text{B}}$ ) and evacuation vacuum pumps ( $S_{\text{E}}$ ) can be also varied for respective steps. To this end,  $S_{\text{B}}$  and  $S_{\text{E}}$  were implicitly varied in terms of the interstitial velocities,  $v_{\text{B}}$  and  $v_{\text{E}}$ , respectively. This was done to provide an appropriate vacuum pump sizing range for the columns and also, a limit of  $20000 \text{ m}^3 \text{ h}^{-1}$  was implicitly enforced on the maximum vacuum pump size. It is worth mentioning that the durations of blowdown and evacuation steps are calculated by the model based on  $S_{\text{B}}$  and  $S_{\text{E}}$ , respectively. Finally, the duration of light product pressurisation depends on  $t_{\text{ADS}}$  and is not considered as the variable in the optimisation. Owing to the scale-up design, the column length,  $L$  was considered as a variable. As both column length and column diameter can be varied simultaneously, the column length-to-diameter ( $L/D$ ) ratio was, however, kept constant in the optimisations. The lower and upper bounds defined for the variables are provided in Table 3.

Table 3: Decision variable bounds used in the optimisation.

	$t_{\text{ADS}}$ (s)	$v_{\text{B}}$ ( $\text{m s}^{-1}$ )	$v_{\text{E}}$ ( $\text{m s}^{-1}$ )	$P_{\text{I}}$ (bar)	$P_{\text{L}}$ (bar)	$v_0$ ( $\text{m s}^{-1}$ )	$L$ (m)
Lower bound	50	0.2	0.2	$P_{\text{L}} + 0.01$	0.01	0.1	3
Upper bound	400	3	3	0.9	0.05	1.2	9

The constrained optimisation problem was transformed into an unconstrained problem by adding penalty terms to the objective function which impose high costs when constraints are violated and was solved using a non-dominated sorting genetic algorithm II (NSGA-II), a global search method that converges towards optimal solution(s) by mimicking the process of evolution. In other words, the algorithm initializes a unique set of decision variables chosen within the bounds using Latin hypercube sampling and evaluates for objective functional values based on integrated VSA process and cost models. This set of decision variables represents a generation. NSGA-II improves the objective functional values by utilizing some additional operations such as mutation and crossover over multiple generations. Global optimisation and parallelization toolboxes in MATLAB 2018b were employed to implement the optimisation. The population size was set to 24 times the number of variables and the stopping criterion for the optimisation was 50 generations.

## 4. Results and Discussion

### *4.1. Design and cost of the optimal adsorption-based CO<sub>2</sub> capture processes*

The VSA process for each adsorbent was optimised for the minimum CO<sub>2</sub> capture cost with requirements of 95% CO<sub>2</sub> purity and 90% CO<sub>2</sub> recovery. Table 4 shows the process variables optimised for the minimum capture cost together with technical performances and other design details of the VSA process. In these optimisations, for the base-case scenario, the length-to-diameter ratio of adsorption columns was fixed at 3. For the cycle considered, all three adsorbents met the purity-recovery requirements by demanding deep vacuum, i.e.  $\leq 0.036$  bar, to evacuate the CO<sub>2</sub> product out of the column. It can be observed that the

size requirement of the vacuum pump depends on the intermediate vacuum ( $P_I$ ) and low vacuum ( $P_L$ ) levels. Owing to the larger swing between  $P_I=0.31$  bar and  $P_L=0.036$  bar for IISERP MOF2 in the evacuation step, the optimiser chose very large evacuation vacuum pump ( $>16000 \text{ m}^3 \text{ h}^{-1}$ ) to avoid long durations of the evacuation step, thereby, limiting the number of columns and evacuation vacuum pumps needed for scheduling. For Zeolite 13X and UTSA-16, vacuum pumps of capacity  $\approx 8500\text{-}13000 \text{ m}^3 \text{ h}^{-1}$  seemed to be sufficient to reduce the vacuum levels from  $P_I$  of 0.11 bar to  $P_L$  of 0.022 and 0.026 bar, respectively, in the evacuation steps. Although larger vacuum pumps might perhaps be used for these cases, it can be inferred that the optimiser found the trade-off between the size requirement and the vacuum pump power consumption as the optimal flow rates have not approached the limits of the specified ranges in the optimisation. The non-linear nature of the  $\text{CO}_2$  isotherms on Zeolite 13X and UTSA-16 compared to linear  $\text{CO}_2$  isotherm on IISERP MOF2 could have also contributed to this choice. Similar observations can be made for optimiser's choice of blowdown vacuum pumps based on intermediate vacuum ( $P_I$ ). As can be seen from the table, longer columns ( $>5 \text{ m}$ ) are needed to reduce the total number of columns. Also, interstitial feed velocities for all cases are either close to or at the upper bound in order to facilitate the reduction in the number of parallel trains. Due to long columns and high interstitial velocities, the flue gas is compressed ( $>1.5 \text{ bar}$ ) to overcome the pressure drops across the column. Though the upper limit for the column length was kept at 9 m, power losses due to large pressure drops and very long evacuation times might have discouraged the optimiser to choose column lengths in close proximity to the upper limit.

Table 4: Process performances of the four step adsorption cycle for different materials that were optimised for the minimum capture cost.

	<b>Base Case</b>		
Adsorbent	Zeolite 13X	UTSA-16	IISERP MOF2
Vacuum pump efficiency	Variable	Variable	Variable
Objective function	Min. Cost	Min. Cost	Min. Cost
<b>Operating Conditions</b>			
Adsorption time (s)	212	159	118
Blowdown pump flow rate ( $\text{m}^3 \text{h}^{-1}$ )	7784	6086	5434
Evacuation pump flow rate ( $\text{m}^3 \text{h}^{-1}$ )	12682	8631	16341
Maximum feed pressure (bar)	1.92	1.53	2.03
Intermediate pressure (bar)	0.11	0.11	0.31
Low pressure (bar)	0.022	0.026	0.036
Feed velocity ( $\text{m s}^{-1}$ )	1.14	1.20	1.20
Column Length (m)	8.0	5.4	8.8
Length-to-diameter ratio (-)	3.0	3.0	3.0
<b>Train Configuration</b>			
Number of columns per train (-)	7	4	4
Number of blowdown pumps per train (-)	1	1	1
Number of evacuation pumps per train(-)	5	3	3
Number of parallel trains (-)	42	97	29
<b>Process Performance</b>			
Purity (%)	94.9	95.0	95.1
Recovery (%)	91.0	90.0	91.4
Productivity ( $\text{mol m}^{-3} \text{s}^{-1}$ )	1.89	4.45	3.61
Compressor power ( $\text{MW}_e$ )	12.00	7.91	14.10
Blowdown power ( $\text{MW}_e$ )	4.39	8.78	1.24
Evacuation power ( $\text{MW}_e$ )	41.77	39.22	22.45
Overall power consumption ( $\text{MW}_e$ )	58.15	55.90	37.79
Specific energy consumption ( $\text{kWh}_e/\text{t}_{\text{CO}_2}$ )	307.86	299.53	199.33

Based on the optimised process variables, the VSA cycle schedule is illustrated in Fig. S3 in the Supporting Information. Idle times are included wherever deemed necessary. To treat the entire dry flue gas in a continuous manner, a total of 42 parallel trains with seven columns per train, 97 parallel trains with four columns per train and 29 parallel trains with four columns per train are necessary for Zeolite 13X, UTSA-16 and IISERP MOF2 cases, respectively. Clearly, the MOFs achieved higher productivities, i.e. less adsorbent volume as compared to Zeolite 13X. For this scale of capture unit, the total power consumption

based on compressors, all blowdown and evacuation vacuum pumps pertaining to all parallel trains is shown in Table 4. As expected, evacuation step consumes most of the total power. UTSA-16 and Zeolite 13X require high power consumption while IISERP MOF2 has the lowest power consumption. This observation is consistent with previous studies have shown that this can be explained by the low  $N_2$  affinity of an adsorbent [25, 37].

The cost breakdowns for all adsorbents corresponding to the lowest capture cost are provided in Table 5. In addition, other costs pertaining to flue gas pre-treatment (i.e. cooling and drying),  $CO_2$  conditioning, transport and storage costs are also reported for all adsorbents. It is worth noting that all cost breakdowns are reported in  $\text{€}/t_{CO_2, \text{ avoided}}$ . Since the overall framework remains the same for all adsorbents, capture costs are compared to assess the performance of each of the adsorbents. As can be seen from the table, IISERP MOF2 is the best performing adsorbent for the VSA process considered. For IISERP MOF2, the capital costs constitute about 36% where the contributions from columns ( $\approx 6\%$ ), compressors ( $\approx 5\%$ ) and vacuum pumps ( $\approx 6\%$ ) have similar magnitudes. On the other hand, the operating costs for IISERP MOF2 sum up to 64%, including both fixed and variable operating costs. As expected, the major contribution arises from the power consumption ( $\approx 38\%$  of the capture cost). It is worth noting that the fixed operating costs are dependent on the total capital requirement. UTSA-16 has the highest capture cost as compared to the other two adsorbents. This is primarily due to exorbitant adsorbent costs. Adsorbent costs constitute 20% of the total capture costs. This is strikingly high compared to IISERP MOF2 ( $\approx 13\%$ ) and Zeolite 13X (9%) due to the presence of expensive metal source cobalt. The high adsorbent costs prohibited the optimiser to increase the column volume, thereby increasing

the number of parallel trains. This observation was corroborated by a case study in a later section where the influence of MOF prices on the capture cost was considered. In addition, high power consumption also remains a significant contributor. Zeolite 13X requires more number of columns per unit train as compared to MOFs because of the non-linear nature of the CO<sub>2</sub> isotherm. For this reason, huge capital is needed while relatively higher N<sub>2</sub> affinity increased the electricity costs.

Table 5: CO<sub>2</sub> avoided costs breakdown of the four step adsorption cycle for different materials that were optimised for the minimum capture cost. A value of 0.0 indicates that the contribution was less than 0.1 €/t<sub>CO<sub>2</sub>, avoided</sub>.

	<b>Base Case</b>		
	Zeolite 13X	UTSA-16	IISERP MOF2
	Variable	Variable	Variable
	Min. Cost	Min. Cost	Min. Cost
	€/t <sub>CO<sub>2</sub>, avoided</sub>	€/t <sub>CO<sub>2</sub>, avoided</sub>	€/t <sub>CO<sub>2</sub>, avoided</sub>
<b>Cooling &amp; Drying</b>	<b>2.8</b>	<b>2.8</b>	<b>2.8</b>
CAPEX Cooling & Drying	2.2	2.2	2.2
Fixed OPEX Cooling & Drying	0.3	0.3	0.3
Variable OPEX Cooling & Drying	0.3	0.3	0.3
<b>VSA Capture</b>	<b>48.6</b>	<b>62.1</b>	<b>30.8</b>
CAPEX	18.6	25.1	11.1
Total Direct Cost	11.5	15.5	6.9
Column Cost	3.8	3.6	1.7
Compressor Cost	1.5	1.5	1.5
Vacuum Pump Cost	3.8	4.8	1.9
Heat Exchanger Cost	0.0	0.0	0.0
Valves Cost	0.4	0.5	0.1
Initial Adsorbent Cost	1.9	5.1	1.6
Process Contingency	1.7	2.3	1.0
Indirect Cost	1.9	2.5	1.1
Project Contingency	2.6	3.6	1.6
Owner Cost	0.9	1.2	0.5
OPEX	30.0	37.0	19.7
Fixed OPEX	9.0	12.1	5.5
Electricity Cost	18.1	17.6	11.7
Adsorbent Cost	2.7	7.2	2.3
Cooling Water Cost	0.2	0.1	0.2
<b>CO<sub>2</sub> Conditioning</b>	<b>8.7</b>	<b>8.8</b>	<b>8.7</b>
CAPEX Conditioning	2.4	2.4	2.4
Fixed OPEX Conditioning	0.4	0.4	0.4
Electricity Cost Conditioning	5.9	6.0	5.9
<b>CO<sub>2</sub> Pipeline</b>	<b>12.2</b>	<b>12.4</b>	<b>12.2</b>
CAPEX Pipeline	10.9	11.0	10.8
Fixed OPEX Pipeline	1.3	1.4	1.4
<b>CO<sub>2</sub> Storage</b>	<b>18.6</b>	<b>18.8</b>	<b>18.5</b>
CAPEX Storage	15.2	15.3	15.1
Fixed OPEX Storage	2.5	2.5	2.5
Variable OPEX Storage	0.9	0.9	0.9
<b>CO<sub>2</sub> Avoided Cost</b>	<b>90.9</b>	<b>104.9</b>	<b>73.0</b>

#### 4.2. Importance of process design objectives

The most common choice of process design objectives while designing or comparing optimal VSA processes for post-combustion CO<sub>2</sub> capture has been either energy consumption



linked to the VSA or productivity or both [14, 17, 19, 20]. Besides, few studies also considered lowering total costs in their process designs [8, 9, 10, 11]. Generally, the rationale behind the choice of design objectives is that the energy consumption approximates the operating costs and productivity gives a rough estimation of capital costs as well as operating costs related to the adsorbent. Although this might hold true when the adsorbents under consideration have similar costs and designing a single unit VSA train. The CO<sub>2</sub> capture problem, on the other hand, requires several number of VSA trains in order to treat the entire flue gas. Thus, choosing an appropriate design objective remains critical, especially when optimising or comparing VSA with different CO<sub>2</sub> capture technologies. To this end, different process design objectives were examined through an optimisation study to comprehend the influence of each design objective towards achieving lower cost of CO<sub>2</sub> capture. Three optimisation problems that are commonly used in the literature were considered in addition to the minimization of CO<sub>2</sub> capture cost and are described below.

- Problem 1: Minimization of overall power consumption
- Problem 2: Maximization of productivity
- Problem 3: Minimization of overall power consumption and maximization of productivity

Optimisation runs were performed for each of these cases based on both IISERP MOF2 and Zeolite 13X as adsorbents. The reason for choosing IISERP MOF2 and Zeolite 13X for the case study is that the IISERP MOF2 provides a representative case for VSA because of

its superior performance as compared to other adsorbents and Zeolite 13X represents the case for commercial adsorbents. The base results pertaining to the minimization of the capture cost are shown in Table 5. Note that unless otherwise stated, the optimal techno-economic performance obtained from the minimization of capture cost is referred herein as the minimum capture cost case. After unique optimisation runs, the techno-economic performances corresponding to the minimum overall power consumption and maximum productivity were evaluated and reported in Table 6 as Case I and Case II, respectively. Consider, for instance, the minimization of overall power consumption. For IISERP MOF2, the lowest power consumption obtained was 25.56 MW<sub>e</sub>, notably, a 34% difference when compared to the power consumption linked to the minimum capture cost case. The optimiser selected smaller columns and small-sized vacuum pumps in order to minimize the pressure drop losses and power consumption associated with the vacuum pumps. However, the capture cost of the four-step adsorption cycle optimised based on the minimum overall power consumption was almost 184% higher than that of the reference minimum capture cost. This strikingly high capture cost comes from the enormous capital expenditure and related footprint required to treat the entire flue gas based on smaller columns. The total number of columns required was 1593, owing to which the column cost increased by  $\approx 760\%$  as compared to the minimum capture cost case. Considering the scheduling, the total number of vacuum pumps needed has also increased from 116 to 1416. While the total power consumed by all of these vacuum pumps is minimal, the capital expenditure related to these vacuum pumps has increased by  $\approx 3.5\times$  that of the minimum capture cost case. In addition, adsorbent, valves and fixed operating costs associated to the capital expenditure have also increased. On the

other hand, the electricity costs are almost 32% lower compared to the minimum capture cost case. Similar observations can be made for Zeolite 13X. The capture cost related to the minimum overall power consumption is 244% more than the minimum capture cost. The power consumption lowered to  $\approx 35 \text{ MW}_e$ , i.e. a difference of  $\approx 23 \text{ MW}_e$ , at the expense of 432% more capital expenditure compared to the minimum capture cost case. Owing to process behaviour of achieving lower overall power consumption at lower productivity, the productivity obtained in these cases will be lower than the minimum capture cost scenario. This clearly explains that the process design must not solely focus on minimization of overall power consumption, but must also take into account the associated capital and operating costs.

Case II in Table 6 shows techno-economic performances corresponding to the maximum productivity. Again, the capture cost related to the maximum productivity remains 124% higher than the minimum capture cost for IISERP MOF2. Interestingly, the optimised column length has reached the lower bound in the optimisation. This is because the optimiser selected the lowest possible adsorbent volume to increase the productivity of the process. Further, the cycle duration has also shortened, thereby facilitating the increase in productivity by reducing the number of columns per train. The overall power consumption rose to  $\approx 53 \text{ MW}_e$  as compared to  $\approx 38 \text{ MW}_e$  in the minimum capture cost case, resulting in  $\approx 44\%$  more electricity costs. For Zeolite 13X, capture cost corresponding to the maximum productivity was  $\approx 124\%$  higher than the minimum capture cost.

Table 6: Techno-economic performances for case studies relating to the choice of objective function, i.e., overall power consumption ( $P_{el}$ ) and productivity ( $Pr$ ). Note that \* indicates the change made with respect to reference cases in Tables 4 and 5.

	Case I	Case I	Case II	Case II
Adsorbent	Zeolite 13X	IISERP MOF2	Zeolite 13X	IISERP MOF2
Vacuum pump efficiency	Variable	Variable	Variable	Variable
Objective function	Min. $P_{el}$ *	Min. $P_{el}$ *	Max. $Pr$ *	Max. $Pr$ *
<b>Operating Conditions</b>				
Adsorption time (s)	265	188	213	107
Blowdown pump flow rate ( $m^3 h^{-1}$ )	355	707	3195	3040
Evacuation pump flow rate ( $m^3 h^{-1}$ )	439	1045	2241	2584
Maximum feed pressure (bar)	1.30	1.24	1.17	1.22
Intermediate pressure (bar)	0.22	0.35	0.10	0.35
Low pressure (bar)	0.023	0.032	0.014	0.018
Feed velocity ( $m s^{-1}$ )	1.08	0.79	0.88	1.10
Column Length (m)	3.7	5.4	3.0	3.0
Length-to-diameter ratio (-)	3.0	3.0	3.0	3.0
<b>Train Configuration</b>				
Number of columns per train (-)	18	9	4	3
Blowdown pumps per train (-)	1	1	1	1
Evacuation pumps per train (-)	16	7	3	2
Number of parallel trains (-)	263	177	533	420
<b>Process Performance</b>				
Purity (%)	95.0	94.9	95.0	95.0
Recovery (%)	90.2	90.0	90.8	89.9
Productivity ( $mol m^{-3} s^{-1}$ )	1.13	1.10	4.68	8.01
Compressor power ( $MW_e$ )	4.63	3.86	2.63	3.39
Blowdown power ( $MW_e$ )	1.19	1.33	27.12	15.85
Evacuation power ( $MW_e$ )	28.77	20.37	57.29	34.20
Overall power consumption ( $MW_e$ )	34.59	25.56	87.04	53.44
Specific energy consumption ( $kWh_e/t_{CO_2}$ )	184.93	136.98	461.98	286.52
<b>Cost Performance</b>				
CAPEX ( $€/t_{CO_2, avoided}$ )	99.0	48.7	54.7	34.5
Total Direct Cost ( $€/t_{CO_2, avoided}$ )	61.0	30.1	33.7	21.3
Column Cost ( $€/t_{CO_2, avoided}$ )	34.4	14.6	14.1	8.4
Compressor Cost ( $€/t_{CO_2, avoided}$ )	1.5	1.5	1.5	1.5
Vacuum Pump Cost ( $€/t_{CO_2, avoided}$ )	16.1	6.7	14.6	9.1
Heat Exchanger Cost ( $€/t_{CO_2, avoided}$ )	0.0	0.0	0.0	0.0
Valves Cost ( $€/t_{CO_2, avoided}$ )	5.8	2.0	2.6	1.6
Initial Adsorbent Cost ( $€/t_{CO_2, avoided}$ )	3.2	5.3	0.8	0.7
Process Contingency ( $€/t_{CO_2, avoided}$ )	9.2	4.5	5.1	3.2
Indirect Cost ( $€/t_{CO_2, avoided}$ )	9.8	4.8	5.4	3.4
Project Contingency ( $€/t_{CO_2, avoided}$ )	14.0	6.9	7.8	4.9
Owner Cost ( $€/t_{CO_2, avoided}$ )	4.9	2.4	2.7	1.7
OPEX ( $€/t_{CO_2, avoided}$ )	62.2	38.8	54.4	34.4
Fixed OPEX ( $€/t_{CO_2, avoided}$ )	46.8	23.2	25.9	16.5
Electricity Cost ( $€/t_{CO_2, avoided}$ )	10.8	8.0	27.3	16.8
Adsorbent Cost ( $€/t_{CO_2, avoided}$ )	4.5	7.5	1.1	1.0
Cooling Water Cost ( $€/t_{CO_2, avoided}$ )	0.1	0.1	0.1	0.1
<b>VSA Capture Cost (<math>€/t_{CO_2, avoided}</math>)</b>	<b>161.2</b>	<b>87.5</b>	<b>109.1</b>	<b>68.9</b>

Figure 7(a) shows the Pareto solutions obtained from the multi-objective optimisation where overall power consumption was minimized simultaneously by maximizing the productivity. For comparison, results obtained from minimization of CO<sub>2</sub> capture cost, minimization of overall power consumption, i.e. Case I, and maximization of productivity (Case II) are also shown. Generally, the Pareto solutions represent the best trade off between the overall power consumption and the productivity and provide approximations for the best cost performances. Any point below the Pareto curve remains infeasible while any point that lies above the curve corresponds to suboptimal point. As can be observed from the figure, the minimum capture cost lies in the suboptimal region of the Pareto plot, indicating that the multi-objective optimisation formulations involving productivity and energy consumption do not provide complete information about costs. This can be clearly seen in Fig. 7(b). It is therefore clear that the best objective for optimising VSA processes related to CO<sub>2</sub> capture problem is the capture cost [9].

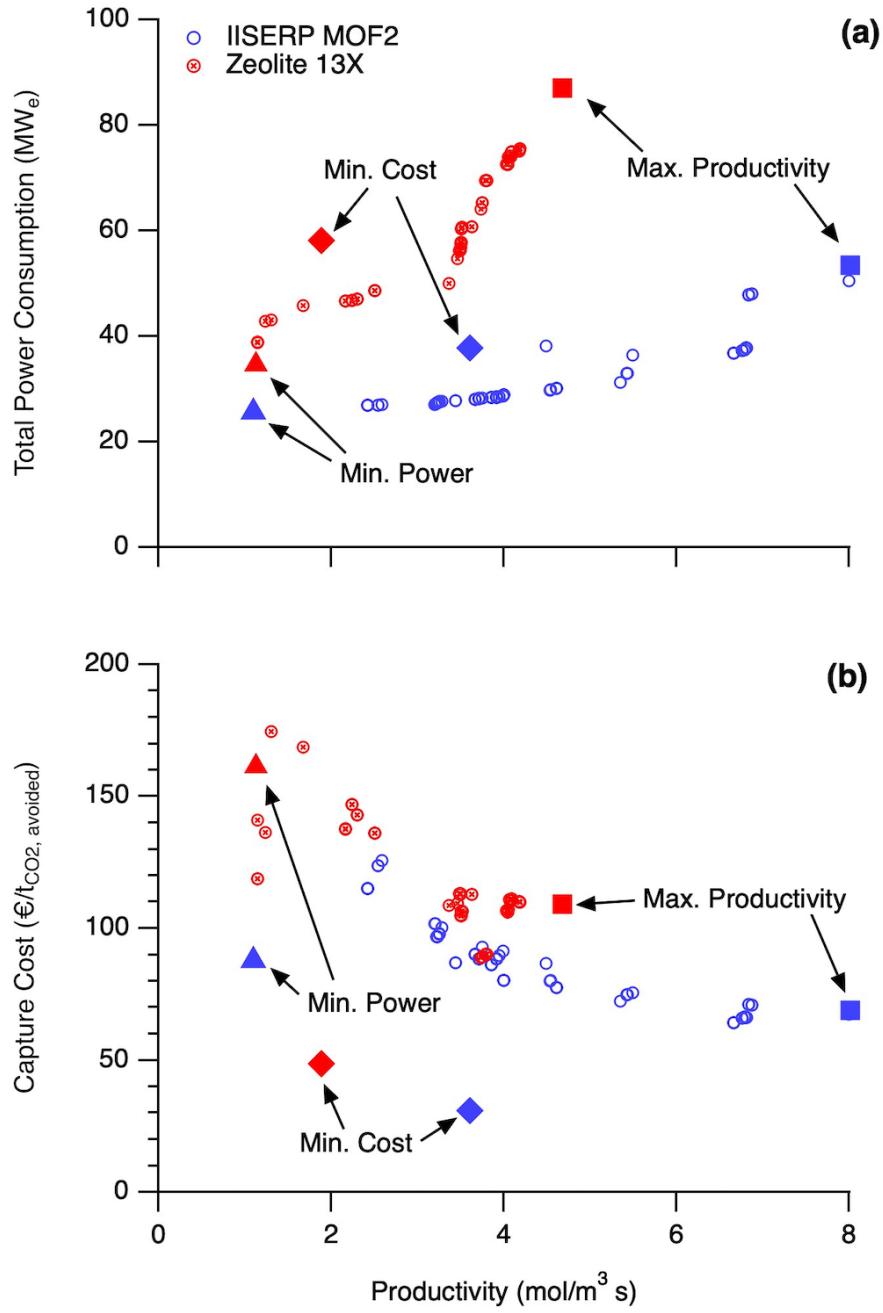


Figure 7: (a) Pareto solutions obtained from the multi-objective optimisation and (b) the corresponding capture costs for IISERP MOF2 (blue circles) and Zeolite 13X (red circles). For comparison, optimisation results pertaining to minimum capture cost (diamonds), minimum overall power consumption (triangles), maximum productivity (squares) are also illustrated.

#### 4.3. Effect of overestimating the vacuum pump efficiency

Clearly, the costs linked to the electricity consumption influence the minimum overall capture cost. As the vacuum pump is a major power consumer, quantifying the realistic vacuum pump performance in terms of efficiency ( $\eta_V$ ) is crucial. Most literature studies assume a constant theoretical  $\eta_V \approx 70-80\%$  for VSA energy calculations, which, in practice, holds true for low to moderate vacuum levels ( $\geq 0.1$  bar). However, the production of high purity  $\text{CO}_2$ , at high recovery, based on VSA processes require deep vacuum pressures. To achieve deep vacuum ( $< 0.1$  bar), it was found in an earlier study that the vacuum pump performance significantly drops to a lower value [14]. This is also consistent with observations from pilot plant experiments [13]. The dependence of  $\eta_V$  on vacuum was regressed to formulate an efficiency function [14]. The same efficiency function was used in the present work, although not rigorous, captures the essential features of most of the vacuum pumps at deep vacuum. To understand the impact of  $\eta_V$  on the VSA process design and minimum capture cost, two optimisation cases are compared. The two cases considered are a fixed efficiency case where  $\eta_V=72\%$  and a variable efficiency case  $\eta_V$  is a function of the pressure. The reference cases reported in Table 5 for IISERP MOF2 and Zeolite 13X are representative of variable  $\eta_V$  case. Independent optimisation runs were performed for both IISERP MOF2 and Zeolite 13X with  $\eta_V=72\%$ .

The minimum capture cost results pertaining to constant  $\eta_V=72\%$  case for both IISERP MOF2 and Zeolite 13X are presented as Case III in Table 7. For IISERP MOF2, the overall power consumption was estimated as  $29.02 \text{ MW}_e$  compared to  $37.79 \text{ MW}_e$  for the reference variable efficiency case. As expected, this difference primarily stems from the evacuation

step and translates to roughly 24% lower electricity costs. On the other hand, the overall power consumption for Zeolite 13X in the case of  $\eta_V=72\%$  was underestimated by  $\approx 20 \text{ MW}_e$  ( $\approx 35\%$ ) as compared to that of the variable efficiency case. The productivity remained fairly constant as compared to reference cases for both materials. When the variable efficiency is considered for the vacuum pumps, the electricity consumption for IISERP MOF2 remained almost the same as that of the MEA-based capture case (see Table S1 in the Supporting Information) while Zeolite 13X demanded almost  $1.5\times$  the electricity needed for MEA case. On the contrary, the electricity consumption based on constant  $\eta_V=72\%$  for IISERP MOF2 and Zeolite 13X remains  $\approx 25\%$  and  $\approx 3\%$ , respectively, lower than that of the MEA-based capture. This result corroborates the fact that the energy calculations based on theoretical vacuum pump efficiencies can optimistically lead to lower energy demands than that of MEA-based capture and moreover, can underestimate the realistic energy consumption [5].

As can be seen from Table 7, the vacuum pump efficiency directly influences the minimum capture cost. Using  $\eta_V=72\%$  reduced the overall minimum capture cost by almost 8% and 17% for IISERP MOF2 and Zeolite 13X, respectively. As expected these savings come mainly from the reduction in OPEX related to electricity cost. While most other costs remained the same for IISERP MOF2, for Zeolite 13X the improved efficiency leads to a change in the VSA process design. It is interesting to notice that in the case of Zeolite 13X, some of the energy savings with  $\eta_V=72\%$  goes towards increasing the column length leading to an increase in pressure drop. This leads reduction in number of parallel trains and the overall capital cost. Therefore, this study highlights the fact that an appropriate quantification of vacuum pump performance is important for calculating the realistic power



consumption, and thereby costs, of VSA processes.

Table 7: Techno-economic performances for case studies relating to the impact of the vacuum pump efficiency (Case III) and the length-to-diameter ratio (Case IV). Note that \* indicates the change made with respect to reference cases in Tables 4 and 5.

	Case III	Case III	Case IV	Case IV
Adsorbent	Zeolite 13X	IISERP MOF2	Zeolite 13X	IISERP MOF2
Vacuum pump efficiency	72%*	72%*	Variable	Variable
Objective function	Min. Cost	Min. Cost	Min. Cost	Min. Cost
<b>Operating Conditions</b>				
Adsorption time (s)	235	117	189	119
Blowdown pump flow rate ( $\text{m}^3 \text{h}^{-1}$ )	11922	4797	13549	17748
Evacuation pump flow rate ( $\text{m}^3 \text{h}^{-1}$ )	19291	16638	14641	18482
Maximum feed pressure (bar)	2.14	2.00	1.69	1.97
Intermediate pressure (bar)	0.10	0.30	0.10	0.28
Low pressure (bar)	0.023	0.034	0.026	0.045
Feed velocity ( $\text{m s}^{-1}$ )	1.15	1.19	1.13	1.19
Column Length (m)	8.9	8.7	6.8	8.5
Length-to-diameter ratio (-)	3.0	3.0	2.0*	2.0*
<b>Train Configuration</b>				
Number of columns per train (-)	7	4	7	5
Blowdown pumps per train (-)	1	1	1	1
Evacuation pumps per train (-)	5	3	5	4
Number of parallel trains (-)	31	30	28	14
<b>Process Performance</b>				
Purity (%)	94.9	95.1	95.0	95.2
Recovery (%)	90.5	91.6	89.9	90.2
Productivity ( $\text{mol m}^{-3} \text{s}^{-1}$ )	1.82	3.58	2.03	2.87
Compressor power ( $\text{MW}_e$ )	13.90	13.53	9.69	13.37
Blowdown power ( $\text{MW}_e$ )	4.47	1.13	5.36	2.35
Evacuation power ( $\text{MW}_e$ )	19.29	14.36	31.86	16.57
Overall power consumption ( $\text{MW}_e$ )	37.66	29.02	46.91	32.29
Specific energy consumption ( $\text{kWh}_e/\text{t}_{\text{CO}_2}$ )	200.67	152.75	251.55	172.51
<b>Cost Performance</b>				
CAPEX ( $\text{€}/\text{t}_{\text{CO}_2, \text{avoided}}$ )	17.3	11.3	15.8	10.9
Total Direct Cost ( $\text{€}/\text{t}_{\text{CO}_2, \text{avoided}}$ )	10.6	7.0	9.7	6.7
Column Cost ( $\text{€}/\text{t}_{\text{CO}_2, \text{avoided}}$ )	3.2	1.7	3.2	1.6
Compressor Cost ( $\text{€}/\text{t}_{\text{CO}_2, \text{avoided}}$ )	1.5	1.5	1.5	1.5
Vacuum Pump Cost ( $\text{€}/\text{t}_{\text{CO}_2, \text{avoided}}$ )	3.6	2.0	2.9	1.4
Heat Exchanger Cost ( $\text{€}/\text{t}_{\text{CO}_2, \text{avoided}}$ )	0.0	0.0	0.0	0.0
Valves Cost ( $\text{€}/\text{t}_{\text{CO}_2, \text{avoided}}$ )	0.3	0.1	0.3	0.1
Initial Adsorbent Cost ( $\text{€}/\text{t}_{\text{CO}_2, \text{avoided}}$ )	2.0	1.7	1.8	2.1
Process Contingency ( $\text{€}/\text{t}_{\text{CO}_2, \text{avoided}}$ )	1.6	1.0	1.5	1.0
Indirect Cost ( $\text{€}/\text{t}_{\text{CO}_2, \text{avoided}}$ )	1.7	1.1	1.6	1.1
Project Contingency ( $\text{€}/\text{t}_{\text{CO}_2, \text{avoided}}$ )	2.4	1.6	2.2	1.6
Owner Cost ( $\text{€}/\text{t}_{\text{CO}_2, \text{avoided}}$ )	0.9	0.6	0.8	0.5
OPEX ( $\text{€}/\text{t}_{\text{CO}_2, \text{avoided}}$ )	23.1	17.0	25.0	18.6
Fixed OPEX ( $\text{€}/\text{t}_{\text{CO}_2, \text{avoided}}$ )	8.4	5.6	7.7	5.4
Electricity Cost ( $\text{€}/\text{t}_{\text{CO}_2, \text{avoided}}$ )	11.7	8.9	14.7	10.1
Adsorbent Cost ( $\text{€}/\text{t}_{\text{CO}_2, \text{avoided}}$ )	2.8	2.3	2.5	2.9
Cooling Water Cost ( $\text{€}/\text{t}_{\text{CO}_2, \text{avoided}}$ )	0.2	0.2	0.1	0.2
<b>VSA Capture Cost (<math>\text{€}/\text{t}_{\text{CO}_2, \text{avoided}}</math>)</b>	<b>40.4</b>	<b>28.3</b>	<b>40.8</b>	<b>29.5</b>

#### 4.4. Impact of length-to-diameter ratio

In this section, the effect of  $L/D$  ratio of adsorption columns on minimum capture cost was investigated by considering IISERP MOF2 and Zeolite 13X as case studies. While higher  $L/D$  ( $\in \{4, 5\}$ ) could be considered for the analysis, previous simulations from the literature revealed that the high  $L/D$  may not favour the overall cost reduction [9]. Given the goal to reduce the overall CAPEX, lower  $L/D \in \{2, 3\}$  were examined based on rigorous optimisations. The results shown in Table 5 for IISERP MOF2 and Zeolite 13X were considered as reference for  $L/D=3$ . The minimum capture costs corresponding to  $L/D=2$ , obtained after unique optimisation runs, are reported as Case IV in Table 7. As can be seen from the table, the size requirements of vacuum pumps have increased due to large diameters. Reducing the  $L/D$  ratio has demonstrated to have a limited effect on the minimum capture cost when using IISERP MOF2. The total number of columns reduced from 116 to 70 while the column costs remained constant. It is interesting to notice that the vacuum pump costs have reduced by  $\approx 26\%$  owing to fewer number of vacuum pumps. Contrarily, increase in adsorbent volume has resulted in increase in adsorbent costs by 28%. Therefore, the CAPEX remained nearly constant. Note that the electricity costs decreased by 14% because of the reduction in the power consumption mainly from the evacuation step (since  $P_L$  increased to 0.045 bar from 0.034 bar in the reference  $L/D=3$  case). Overall, changing  $L/D$  from 3 to 2 has reduced the minimum capture cost from 30.8 to 29.5 € per tonne of CO<sub>2</sub> avoided.

The minimum capture cost was reduced to 40.8 € per tonne (lowered by  $\approx 16\%$ ) for Zeolite 13X when the  $L/D$  was modified from 3 to 2. Clearly, there are a number of factors

contributing to the decrease in minimum capture cost. By using wider columns, the number of parallel trains reduced from 42 to 28 which also reduced the total number of columns from 294 to 196. This resulted in  $\approx 16\%$  reduction in column costs. Consequently, the adsorbent and valves costs have also decreased. Another contribution comes from fewer number of vacuum pumps. The vacuum pump costs have been lowered by  $\approx 1.3\times$  owing to 33% reduction in the total number of vacuum pumps. As a result, the CAPEX requirements were lowered by  $\approx 15\%$ . It is interesting to note that the overall power consumption reduced by  $\approx 19\%$  and also a 17% reduction in OPEX. Most industrial VSA columns in operation have reasonably large diameters indicating that wider columns can indeed be used to reduce the number of parallel trains and thereby cost of capture. Naturally, there should be a caution in terms of challenges related to implementation when designing wider columns such as those pertaining to flow distribution.

#### *4.5. Comparative analysis with MEA-capture*

Although MEA- and adsorption-based  $\text{CO}_2$  capture technologies are on different levels of technological readiness levels, the key economic performance indicators were determined for both based on the assumption that the technologies are mature and ready for large-scale deployment. The cost performances of both technologies for post-combustion CCS implementation in SMR plants are illustrated in Fig. 8. The IISERP MOF2 cost performance reported in Table 5 was used as a representative case for VSA system in order to compare with reference MEA case. In addition, Zeolite 13X was also considered for the discussion as it represents the case for commercial adsorbents. Figure 8 shows the breakdown of  $\text{CO}_2$

avoided costs ( $\text{€}/\text{t}_{\text{CO}_2, \text{ avoided}}$ ) for CCS implementation of both technologies. As can be seen from the figure,  $\text{CO}_2$  avoided cost for VSA based on IISERP MOF2 is almost 10% higher than that of the MEA case. The VSA performance with Zeolite 13X deteriorates further with a  $\text{CO}_2$  avoided cost of 90.9  $\text{€}$  per tonne of  $\text{CO}_2$  avoided. It is worth noting that the  $\text{CO}_2$  capture remains the major contributor for CCS implementation in both technologies. For MEA-based absorption, the  $\text{CO}_2$  capture costs were determined to be 30.1  $\text{€}$  per tonne of  $\text{CO}_2$  avoided [16]. The capture costs for VSA system based on IISERP MOF2 are 12% higher than that of MEA-based capture whereas 71% higher when Zeolite 13X is used. Note that the capture costs for VSA also include flue gas cooling and drying costs. The  $\text{CO}_2$  conditioning, transport and storage costs are marginally higher (i.e.  $<10\%$ ) for VSA because of slightly higher flow rates as compared to that of the MEA case. Upon close examination of capture costs, the components responsible for higher capture costs for VSA system include CAPEX, fixed OPEX and costs linked to energy consumption, as illustrated in Fig. 8. The VSA-based capture based on IISERP MOF2 and Zeolite 13X results in 7% and 68% higher CAPEX, respectively, as compared to the MEA case, due to the large number of parallel trains and associated footprint together with flue gas pre-treatment. This shows that MOFs have ability to significantly reduce the huge capital costs. The fixed operating costs, which depend on the total capital requirement, are 21% higher in VSA system that is based on IISERP MOF2 when compared to reference MEA case. Finally, the costs linked to electricity consumption for capture are again 15% and 77% higher in the VSA cases for IISERP MOF2 and Zeolite 13X, respectively, which comes from the power consumption due to requirement of low vacuum levels and a large number of vacuum pumps. This is an interesting result be-

cause VSA-based processes are often reported as low energy intensive processes for capture. While most of the studies assume high vacuum pump efficiencies at deep vacuum, the practical limitations of vacuum pumps at deep vacuum are often overlooked. The analysis related to fixing the vacuum pump efficiency in the earlier section showed that the energy numbers for the VSA process are indeed lower than that of the MEA-based capture. Altogether, the MEA-based capture outperforms four-step VSA process based on the adsorbents considered in terms of the CO<sub>2</sub> avoided cost for post-combustion CO<sub>2</sub> capture in SMR plants.

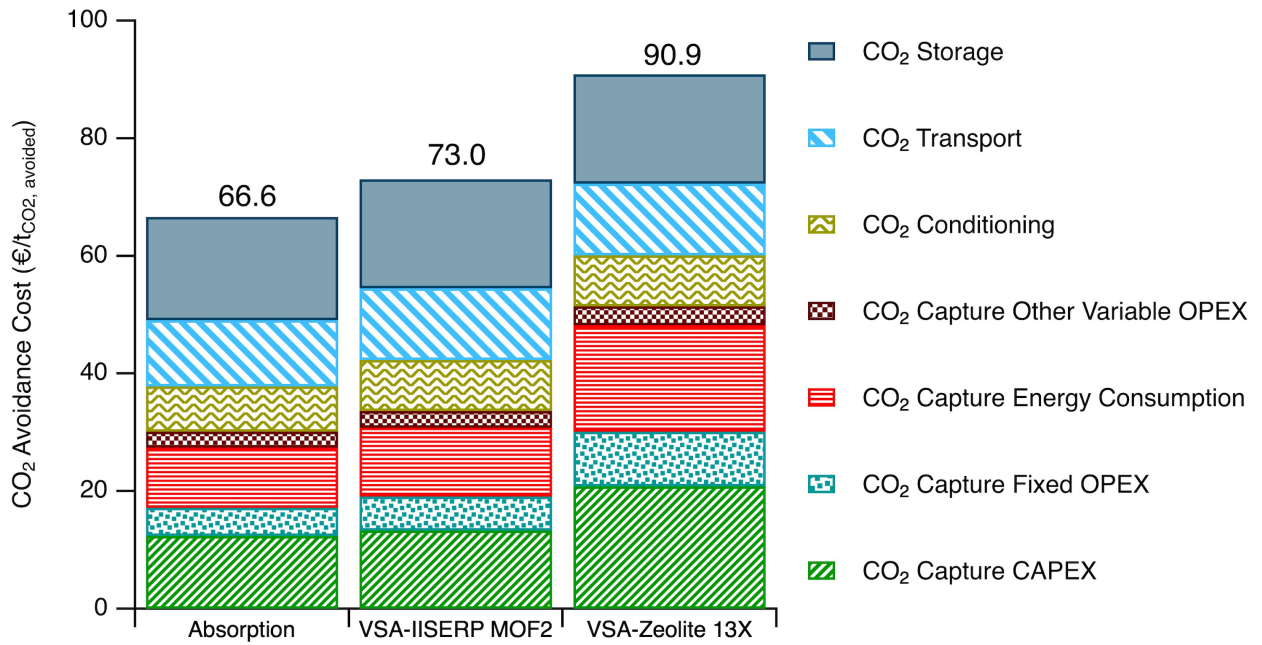


Figure 8: Cost performance of the VSA technology as compared to MEA solvent for CCS implementation. Note that VSA-based CO<sub>2</sub> capture also includes cooling and drying costs.

#### 4.6. Cost of Metal-Organic Frameworks

As mentioned earlier, given the wide uncertainties involved in the large-scale production of metal-organic frameworks, estimating an actual price remains a critical challenge while

evaluating the techno-economic feasibility of MOFs for CO<sub>2</sub> capture. Although cost estimations based on the raw materials provide a reasonable approximation for purchase costs, the scale-up for CCS application is expected to reduce the production costs for MOFs. Given such variability of MOF prices, it is important to understand the MOF price for which adsorption processes are attractive. To this end, the influence of MOF price on the CO<sub>2</sub> capture cost was examined through an optimisation study where the VSA process was optimised for the minimum capture cost for different MOF prices. Both UTSA-16 and IISERP MOF2 were considered for the analysis as each MOF represents a unique scenario. The synthesis of UTSA-16 involves an expensive metal source, cobalt, as the raw material while IISERP MOF2 composes of comparatively cheaper metal, nickel. The MOF price was varied over a broad range of 0 and 10 times the cost of relative metal content. In each case, unique optimisation runs were performed for both IISERP MOF2 and UTSA-16 and minimum capture costs were determined. The minimum cost performances of UTSA-16 and IISERP MOF2 presented in Table 5 represent a baseline case where the MOF price assumed was twice the cost of the relative metal content in the MOF structure.

Figure 9 shows the overall trend of MOF price on the minimum cost of capture. Every point on the figure represents a unique optimisation run. Note that optimisation runs corresponding to zero MOF price are shown at the left most portion of the plot. A MOF price of zero indicates that the MOF is available for use at no cost. Although, never encountered in practice, it provides the absolute lower bound for this case study. A factor of  $1\times$  represents a case where the production cost equals the cost of bulk purchase of metals and the cost of organic linkers, solvents and other production costs are negligible subject to the economics

of scale. In other words,  $1\times$  is the lowest possible estimate that can be practically achieved when the MOF price is same as the raw materials cost. On the other hand, a worst case situation involves MOF price amounting to 10 times the metal purchase cost which is a representative case of poor scale-up. For UTSA-16, the minimum capture cost increases as the MOF price increases. As can be seen from Fig. 9, the UTSA-16 prices vary between 0 € and 83200 € per tonne. Clearly, higher UTSA-16 costs discourage the practical implementation in a VSA process. Such exorbitant costs can be attributed to the presence of expensive metal source, cobalt. The advantages UTSA-16 offers in terms of process performance are limited by its expected price. As can be seen from Fig. 9, a slight reduction in the MOF price leads to a significant decrease in the overall capture cost. It is worth noting that the UTSA-16 performs better than commercial adsorbent like Zeolite 13X when the production costs are as close as zero, but still is expensive compared to MEA-capture. For this case of zero price, it is worth mentioning that both the column length and the interstitial feed velocity have approached the upper limit as the optimiser was seeking to reduce the number of parallel trains, which otherwise was impeded by exorbitant adsorbent costs. IISERP MOF2 prices, on the other hand, were varied between 0 € and € 22200 per tonne. As expected, higher IISERP MOF2 price resulted in gradual increase in minimum capture cost. As can be seen from Fig. 9, IISERP MOF2 always outperforms Zeolite 13X over this broad range. It is also interesting to notice that the IISERP MOF2 outperforms reference MEA-capture case when the price IISERP MOF2 price is less than  $1\times$ , i.e less than the raw materials cost. However, caution should be used in this regard as the MEA is the baseline case, although other solvents can lead to lower capture costs. The overall trend suggests that the MOF's



superior technical performance outperforms the cost characteristics when the metal sources are cheap and available in abundance. The deployment of MOFs, especially with expensive metals, seems to be prohibitive. It is therefore important to search for high performance adsorbents that are cheaply available.

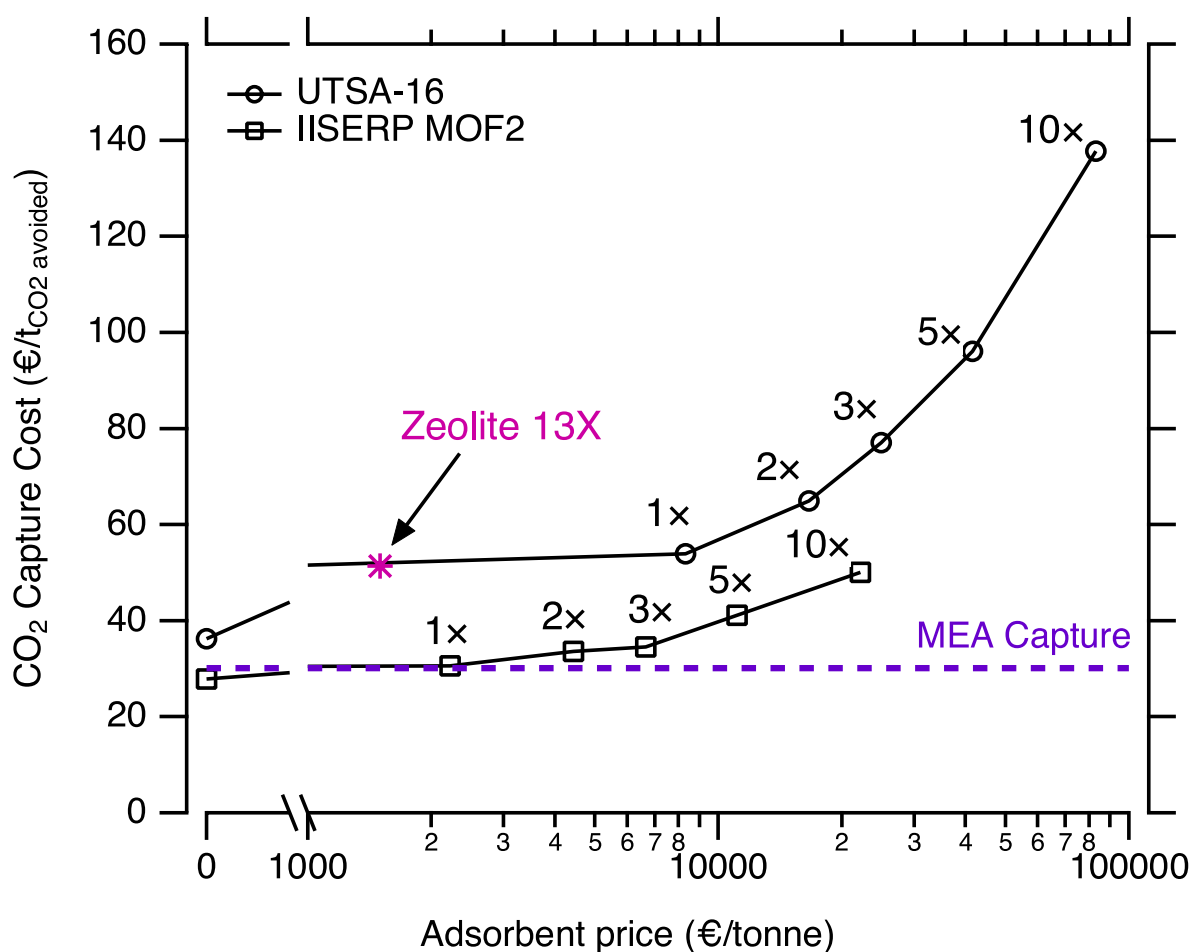


Figure 9: Influence of adsorbent prices on the minimum CO<sub>2</sub> capture cost (includes cooling and drying costs for VSA). Zeolite 13X and MEA-capture costs are shown for reference. The indicators, 1×, 2×, ..., 10×, refer to the multiplier applied to the cost of the metal in the metal-organic framework.

## 5. Conclusions and Perspectives

A systematic approach for the design and techno-economic assessment of vacuum swing adsorption (VSA) processes was developed. The methodology incorporates detailed VSA process model, peripheral component models, vacuum pump performance, scale-up, process scheduling and comprehensive costing model including cost of adsorbent. This methodology was applied to a case study on post-combustion CO<sub>2</sub> capture from a Steam Methane Reformer (SMR).

An integrated techno-economic methodology, consistent with best practices, combined with stochastic optimization, was used to calculate the minimum CO<sub>2</sub> capture cost and the corresponding process design and operating parameters. The key results of the work can be summarized as follows:

- Optimizing the VSA process for proxy objectives such as minimizing energy and maximizing productivity does not guarantee the minimum cost. The minimum cost configurations did not lie on the minimum-energy vs maximum-productivity Pareto curves. This arises because of the complexities that exist in the scale-up of VSA processes from single-column simulations, a technique commonly used in the literature.
- The study clearly shows that the realistic efficiencies for vacuum pumps, especially at low pressures, need to be accounted for in order to obtain better estimates of the capture cost. It was shown that efficiencies that are used in literature, typically  $\approx 72\%$ , can indeed underestimate the overall power consumption as much as 24- 35% resulting in 8 - 17% lower minimum capture cost for specific cases in this work.

- The choice of adsorbent and its cost has a major impact on the cost of CO<sub>2</sub> capture. Three different adsorbents that include, Zeolite 13X and metal-organic frameworks, UTSA-16 and IISERP MOF2, were compared based on minimum CO<sub>2</sub> capture costs and benchmarked against state-of-the-art MEA-based absorption process. IISERP MOF2 was found out to be the best performing adsorbent with a minimum CO<sub>2</sub> capture cost of 33.6 € per tonne of CO<sub>2</sub> avoided, inclusive of flue gas pre-treatment costs. The current benchmark adsorbent material, Zeolite 13X, ranked second with a minimum capture cost of 51.4 € per tonne of CO<sub>2</sub> avoided. A higher power consumption and a lower productivity resulted in higher overall costs for Zeolite 13X. UTSA-16 remains performing poorly with a minimum capture cost 64.9 € per tonne of CO<sub>2</sub> avoided, primarily, due to exorbitant adsorbent costs. The presence of expensive metal source, cobalt, inhibited the superior technical performance of UTSA-16.
- Adsorbents that were found to be better candidates based on their superior energy/productivity performance did not necessarily result in lower cost. For instance, UTSA-16, which has consistently been touted as an excellent candidate for CO<sub>2</sub> capture compared to the benchmark material Zeolite 13X, did not provide better cost performance. This was clearly shown to be a direct result of exorbitant adsorbent cost.
- The baseline MEA outperforms the best performing adsorbent IISERP MOF2 with 10% lower CO<sub>2</sub> avoided cost. The MOF prices were varied over a wide range, given the variability in MOF scale-up, to comprehend the potential production costs at

which MOF gives advantage over commercial adsorbent like Zeolite 13X and the baseline MEA process. It was shown that UTSA-16 outperforms Zeolite 13X only when its production costs are less than the costs of raw materials, a seemingly impossible proposition. On the other hand, capture costs for IISERP MOF2 are less than Zeolite 13X over the entire range considered and on par with reference MEA-capture when IISERP MOF2 prices are almost equal to the raw material costs.

The study provides some key perspectives when considering vacuum swing adsorption processes for CO<sub>2</sub> capture:

- When the system is to be operated in the vacuum swing adsorption mode, deep vacuum pressures, i.e.,  $< 0.1$  bar seem unavoidable. This seems to arise from the high purity and recovery constraints that are enforced on the separation.
- In the VSA mode, using beaded adsorbents, it is challenging to compete with MEA processes. The key limitation comes from maximum velocities that are employable in these systems. This results in the requirement of a large number of columns and multiple parallel trains. While certain level of robustness can be anticipated from the use of multiple modules, they present two major challenges. The first one is that of complexity. Integrating the number of modules and dealing with the associate piping and control systems can be challenging. The second one is that of cost. Since multiplexing seems to be unavoidable, it is difficult to anticipate savings from “economies of scale”.
- Naturally, the study should be viewed in terms of the design philosophy and physical

constraints, e.g.,  $L/D$  ratios, maximum velocities, vacuum pump efficiencies, before drawing sweeping conclusions. This means that future adsorption-based studies should focus on drastically different approaches, for instance, the use of monoliths or parallel-passage contacts coupled with rapid cycling, the use of better adsorbents that can be manufactured in large-quantities using earth-abundant materials, other cycles, etc.

## Supporting Information

Details of hydrogen plant with post-combustion MEA-based CO<sub>2</sub> capture; set of governing equations and boundary conditions related to VSA; VSA simulation parameters; dual-site Langmuir isotherm parameters for the three adsorbents; details for calculating number of columns per train, vacuum pumps per train and number of parallel trains; technical modelling of compressors, vacuum pumps and heat exchangers; details of flue gas cooling and drying, CO<sub>2</sub> conditioning, transport and storage and; optimal column scheduling for the three adsorbents.

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## **Appendix A. Appendix: Direct cost functions for process equipment**

The direct costs of individual process equipment were estimated using Aspen Economic Process Analyzer<sup>®</sup>. Several economic evaluations were performed for each equipment based on a wide range of key design characteristics in order to develop reliable direct cost functions for the optimisation. DataFit was used to carry out the regression and it was ensured that the obtained direct cost functions are continuous in the ranges used for the optimisation. It is worth mentioning that a design margin of 1.1 in the flows was used at the desired pressure and temperature for the evaluations. However, the regressions are always related to operating conditions without design margins. More details on the regression including the adjusted coefficient of multiple determination ( $R_{\text{ADJ}}^2$ ), average absolute error (avg. abs. error) and maximum absolute error (max. abs. error) are summarized below.

### *A.1. Columns*

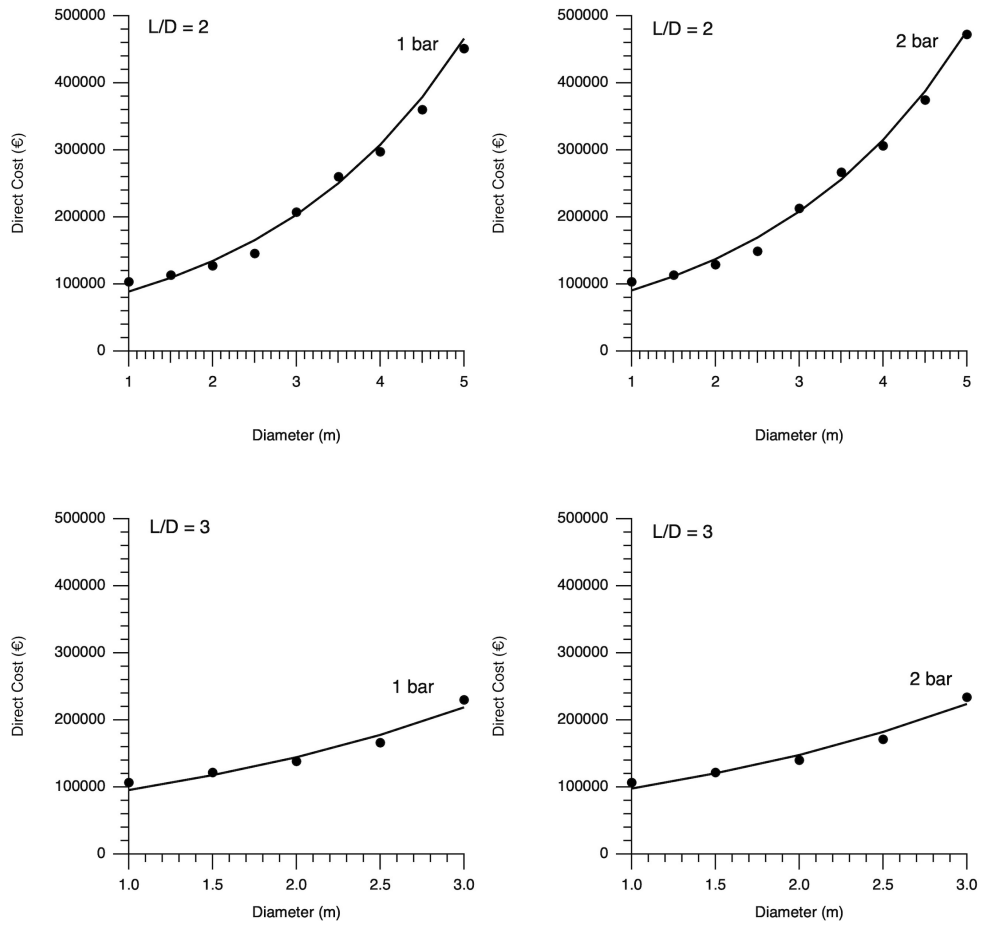
In an attempt to develop a generic direct cost function for columns, 156 cases with a wide range of characteristics were considered. Economic evaluations were performed for different diameters, length-to-diameter ( $L/D$ ) ratios and pressures. The direct cost function based on three variables is given in Eq. A.1. The obtained regression parameters are provided in

Table A1. Figure A1 illustrates the regression.

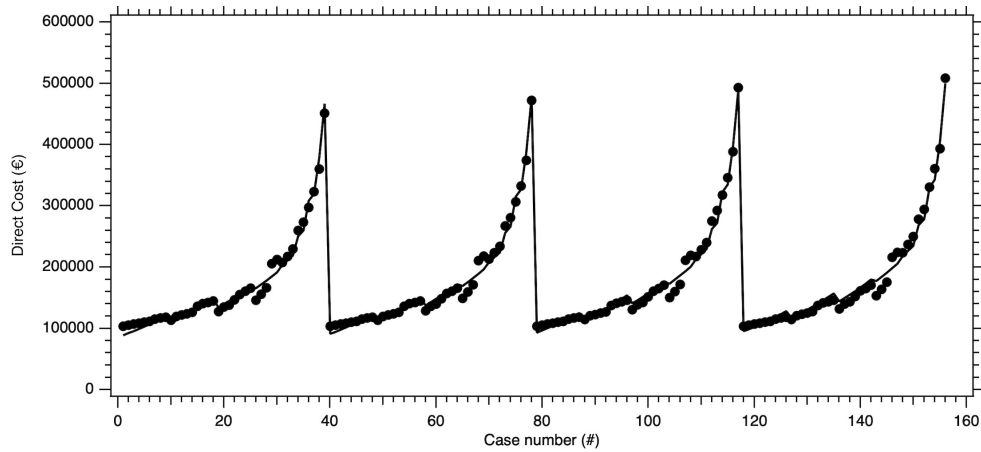
$$\text{Column direct cost (€)} = \exp(a \cdot \text{Diameter (m)} + b \cdot L/D (-) + c \cdot \text{Pressure (bar)} + d) \quad (\text{A.1})$$

Table A1: Regression characteristics of columns

<b>Parameter</b>	<b>Column</b>
Coefficient a	0.4148138
Coefficient b	0.0738133
Coefficient c	0.0231138
Coefficient d	10.807870
$R^2_{\text{ADJ}}$	0.986
Avg. abs. error (%)	4.71
Max. abs. error (%)	15.74
Number of cases evaluated	156
Diameter range (m)	1-5
Length-to-Diameter Ratio range (-)	2-6
Pressure range (bar)	1-4



(a)



(b)

Figure A1: Direct cost regression of columns. Note that the points represent the Aspen Economic Process Analyzer<sup>®</sup> evaluations and the lines are regressed cost functions.



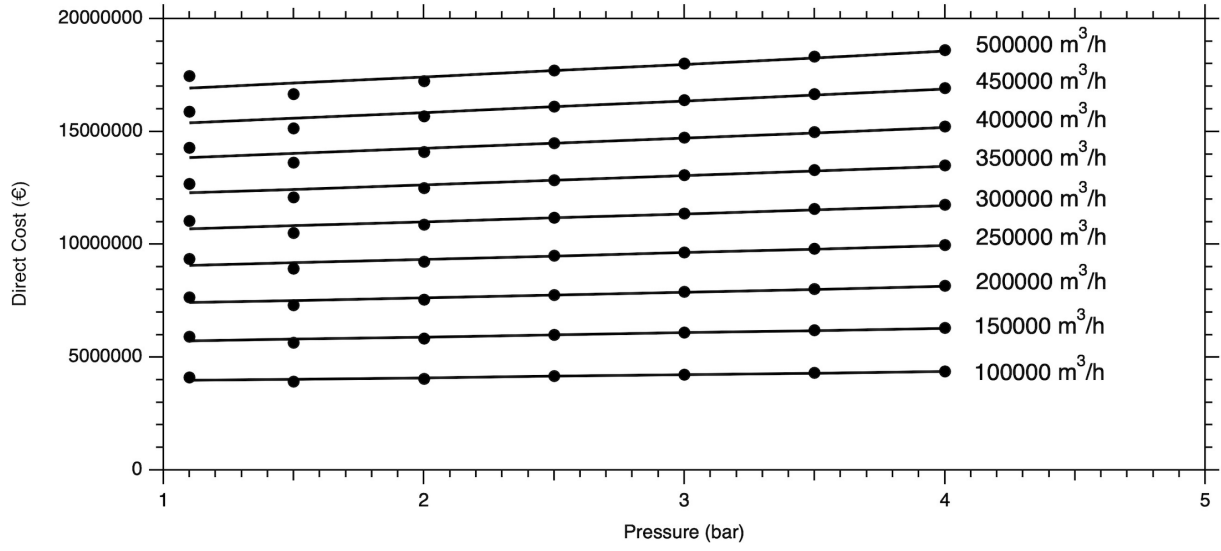
### A.2. Compressors

Based on 63 evaluations, a two-variable direct cost function was obtained for single-stage compressors as shown in Eq. A.2. Actual inlet volumetric flow rate and outlet pressure were varied to represent accurate cost estimations for compressors. The obtained regression parameters and characteristics are listed in Table A2, while Fig. A2 shows the regression.

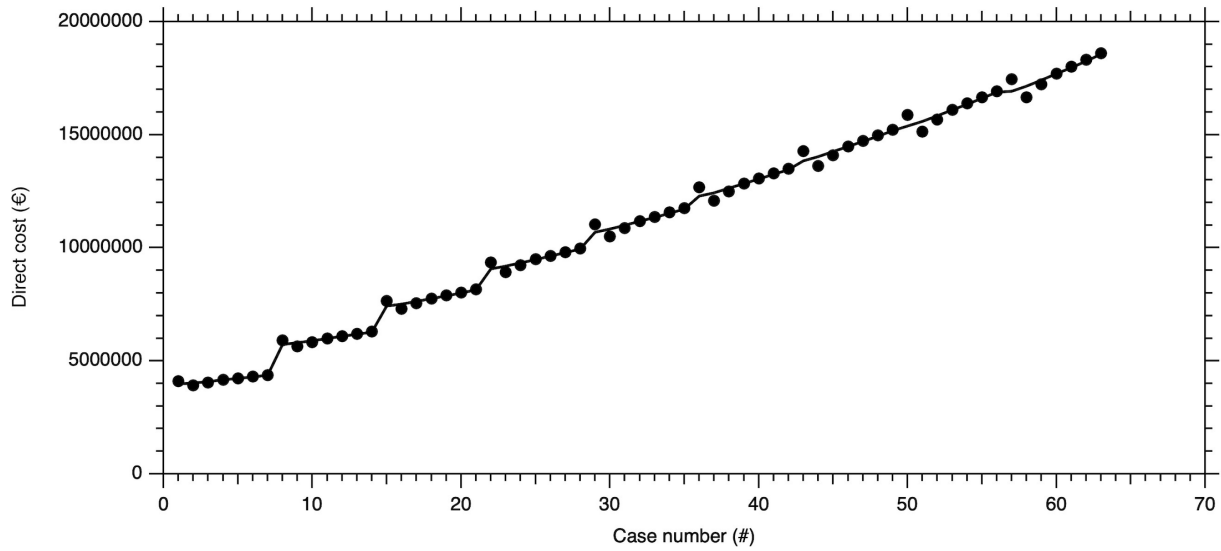
$$\text{Compressor direct cost (€)} = a \cdot (\text{Inlet flow rate (m}^3 \text{ h}^{-1} \text{)})^b \cdot c^{\text{Pressure (bar)}} \quad (\text{A.2})$$

Table A2: Regression characteristics of rotating equipment

Parameter	Compressor	Vacuum Pump
Coefficient a	121.412	423.900
Coefficient b	0.900	0.653
Coefficient c	1.032	30000.000
$R_{\text{ADJ}}^2$	0.998	0.999
Avg. abs. error (%)	1.43	0.61
Max. abs. error (%)	3.95	1.94
Number of cases evaluated	63	19
Flow range (m <sup>3</sup> h <sup>-1</sup> )	100000-500000	250-20000
Pressure range (bar)	1-4	0.01-1



(a)



(b)

Figure A2: Direct cost regression of compressors. Note that the points represent the Aspen Economic Process Analyzer® evaluations and the lines are regressed cost functions.

### A.3. Vacuum Pump

For a reliable vacuum pump direct cost estimation, 19 cases were considered for economic evaluation in Aspen Economic Process Analyzer®. The key design characteristics of the

vacuum pump include the volumetric flow rate and the suction pressure. The cases are representative of a wide span of volumetric flow rates. For all the cases, the suction pressure operating range remains the same, between 0.01 bar and 1 bar. Hence, a direct cost function with volumetric flow rate was regressed as shown in Eq. A.3. The regression parameters and characteristics can be found in Table A2, while the regression is shown in Fig. A3.

$$\text{Vacuum Pump direct cost (€)} = a \cdot (\text{Inlet flow rate (m}^3 \text{ h}^{-1}\text{)})^b + c \quad (\text{A.3})$$

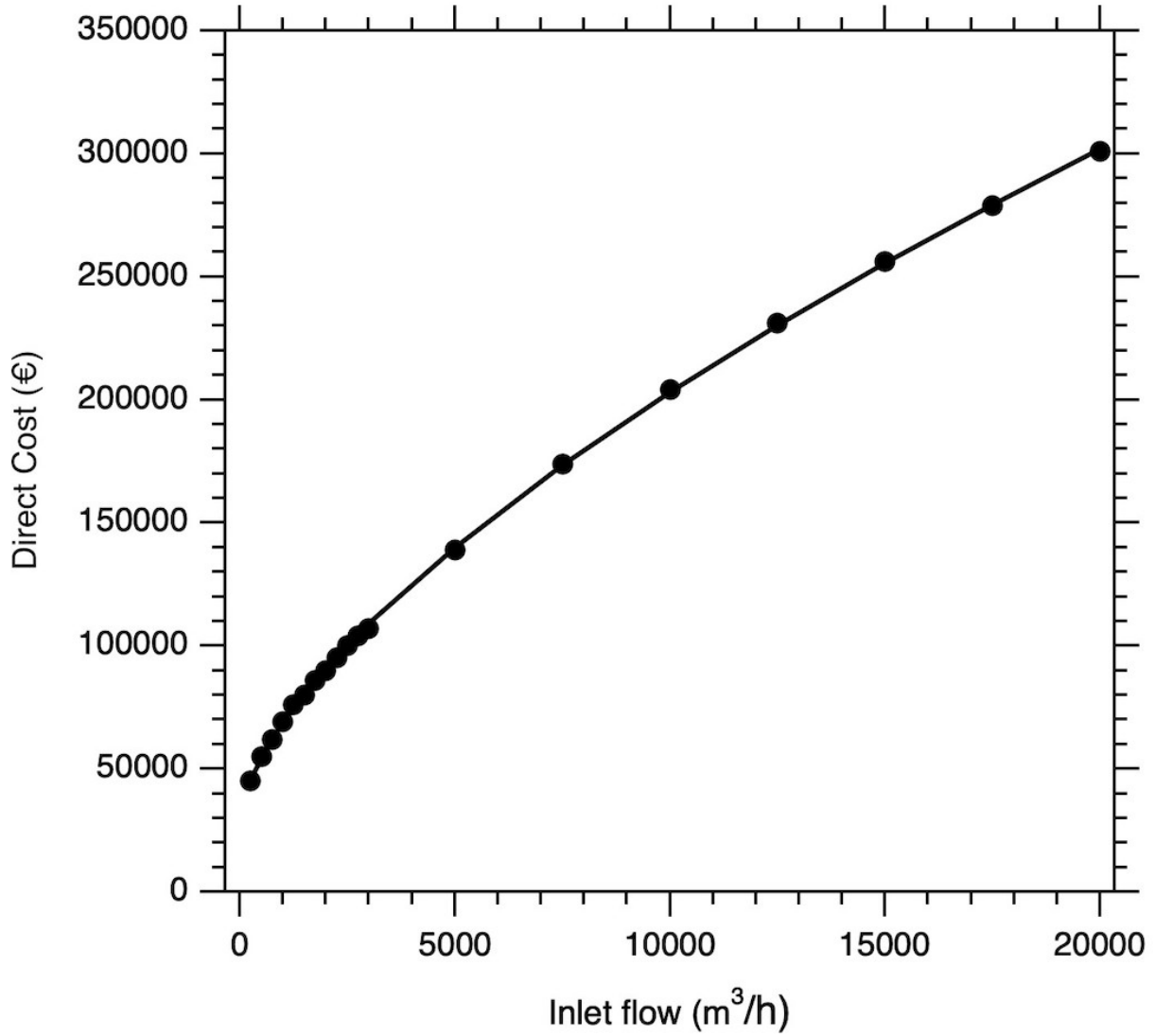


Figure A3: Direct cost regression of vacuum pumps. Note that the points represent the Aspen Economic Process Analyzer<sup>®</sup> evaluations and the lines are regressed cost functions.

#### A.4. Heat Exchangers

The direct cost function of heat exchangers with both heat exchange area and pressure as variables was obtained from Deng et. al [38]. Suitable factors were used to update costs to €<sub>2016</sub> using Chemical Engineering Plant Cost Index (CEPCI) and inflation. The two-variable cost function is shown in Eq. A.4 and the regression parameters are provided in

Table A3.

$$\text{Heat exchanger direct cost (€)} = a \cdot (\text{Area (m}^2\text{)})^b \cdot c^{\text{Pressure (bar)}} \quad (\text{A.4})$$

Table A3: Regression characteristics of heat exchangers [38]

Parameter	Heat Exchanger
Coefficient a	12003
Coefficient b	0.603
Coefficient c	1.011187
$R^2_{\text{ADJ}}$	0.959
Avg. abs. error (%)	14.2
Max. abs. error (%)	32.6
Number of cases evaluated	53
Area range (m <sup>2</sup> )	30-4000
Pressure range (bar)	2.7-81

#### A.5. Switching Valves

Owing to the cyclic nature of the VSA operation, switching valves are essential to implement the cycle sequence. Based on the VSA cycle considered, the number of switching valves required per column was estimated to be 3. Given the several number of columns required to capture the flue gas, the cost of valves will no longer be insignificant. Hence, the direct cost of each valve was set to 6000 €. Note that the valves related to the control and instrumentation are accounted for in the indirect costs of process equipment.

#### A.6. Adsorbent Costs

The adsorbent direct costs comprise purchase costs ( $PC$ ) and transport and installation costs ( $TIC$ ) and were calculated as follows:

$$\text{Adsorbent direct cost (€)} = M \cdot N \cdot \text{Column Volume} \cdot (1 - \varepsilon) \cdot \rho_s \cdot (PC + TIC) \quad (\text{A.5})$$

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