# Molecular Interpretation of Pharmaceuticals' Adsorption on Carbon Nanomaterials: Theory Meets Experiments

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Keywords: DFT, ab initio calculations, molecular dynamics, water treatment, drugs, isotherms, carbon nanomaterials, Adsorption

#### Abstract:

The ability of carbon-based nanomaterials (CNM) to interact with a variety of pharmaceutical drugs can be exploited in many applications. In particular, they have been studied both as carriers for in vivo drug delivery and as sorbents for the treatment of water polluted by pharmaceuticals. In recent years, the large number of experimental studies was also assisted by computational work as a tool to provide understanding at molecular level of structural and thermodynamic aspects of adsorption processes. Quantum mechanical methods, especially based on density functional theory (DFT) and classical molecular dynamics (MD) simulations were mainly applied to study adsorption/release of various drugs. This review aims to compare results obtained by theory and experiments, focusing on the adsorption of three classes of compounds: (i) simple organic model molecules; (ii) antimicrobials; (iii) cytostatics. Generally, a good agreement between experimental data (e.g. energies of adsorption, spectroscopic properties, adsorption isotherms, type of interactions, emerged from this review) and theoretical results can be reached, provided that a selection of the correct level of theory is performed. Computational studies are shown to be a valuable tool for investigating such systems and ultimately provide useful insights to guide CNMs materials development and design.

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# Review Molecular Interpretation of Pharmaceuticals' Adsorption on Carbon Nanomaterials: Theory Meets Experiments

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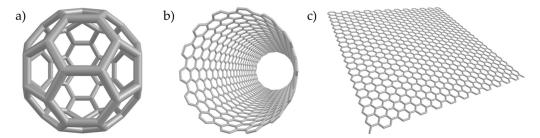


**Abstract:** The ability of carbon-based nanomaterials (CNM) to interact with a variety of pharmaceutical drugs can be exploited in many applications. In particular, they have been studied both as carriers for in vivo drug delivery and as sorbents for the treatment of water polluted by pharmaceuticals. In recent years, the large number of experimental studies was also assisted by computational work as a tool to provide understanding at molecular level of structural and thermodynamic aspects of adsorption processes. Quantum mechanical methods, especially based on density functional theory (DFT) and classical molecular dynamics (MD) simulations were mainly applied to study adsorption/release of various drugs. This review aims to compare results obtained by theory and experiments, focusing on the adsorption of three classes of compounds: (*i*) simple organic model molecules; (*ii*) antimicrobials; (*iii*) cytostatics. Generally, a good agreement between experimental data (e.g. energies of adsorption, spectroscopic properties, adsorption isotherms, type of interactions, emerged from this review) and theoretical results can be reached, provided that a selection of the correct level of theory is performed. Computational studies are shown to be a valuable tool for investigating such systems and ultimately provide useful insights to guide CNMs materials development and design.

**Keywords:** adsorption; carbon nanomaterials; isotherms; drugs; water treatment; molecular dynamics; ab initio calculations; DFT

## 1. Introduction

The first carbon nanomaterial, buckminsterfullerene  $C_{60}$ , was discovered in 1985 by Kroto et al. [1]. In 1991, Iijima recognized and fully described carbon nanotubes (CNTs) [2], even though some believe that the discovery was made earlier by Bacon [3]. Novoselov et al. [4] discovered graphene, the thinnest known material in existence [5]. These three materials (shown in Figure 1a–c, respectively) constitute the main classes of carbon nanomaterials (CNMs).

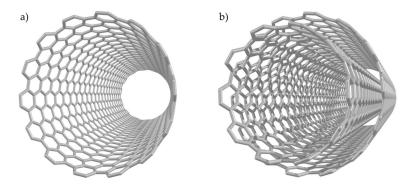


**Figure 1.** Representation of: (a) Buckminsterfullerene  $C_{60}$ ; (b) 14-14 armchair single walls carbon nanotubes (SW-CNT); (c) graphene slab.

properties [14–18]. Fullerenes (Figure 1a) are considered three-dimensional analogues of benzene and are large carbon cage molecules. Buckminsterfullerene  $C_{60}$  with 60 carbon atoms arranged in a spherical structure represent the most abundant form of fullerenes which have a characteristic football shape (a truncated icosahedron) [19,20]. In addition to  $C_{60}$ , there are other different types of fullerenes with numbers of carbon atoms ranging from 38 to 980, and they form different structures with different properties and field of applications [21]. Three main methodologies can be used to produce fullerenes: Huffman-Krätschmer; combustion and microwave methods [22].

The systematic development of covalent fullerene chemistry (i.e., introducing surface modifications) provided an unprecedented diversity of three-dimensional building blocks for technologically interesting materials with different chemical and physical properties, such as increased water solubility and improvement of optical properties, that allow their applications in multiple fields [23,24].

CNTs are allotropes of carbon with a cylindrical three-dimensional (3D) structure formed by rolling single or multiple layers of graphite sheets into seamless cylinders [18,25]. These cylindrical structures could be either single-walled (SW-CNTs) or multi-walled (MW-CNTs) CNTs (Figure 2).



**Figure 2.** Representation of: (**a**) 14-14 armchair single walls CNT; (**b**) multi-walled CNT (MW-CNT): 14-14 armchair CNT and 10-10 armchair CNT.

MW-CNTs generally have a larger outer diameter (2.5–100 nm) compared to SW-CNTs (0.6–2.4 nm) [9]. CNTs are characterized by unique properties, such as high tensile strength, elasticity, ultra-light weight and excellent chemical and thermal stability [9]. Several techniques have been developed to obtain CNTs; the most common procedures are: arc-discharge [26,27], laser-ablation [28] and catalytic chemical vapor deposition (CVD) [29–31].

CNTs can be functionalized in different ways, to enhance their aqueous solubility and to reduce the tendency to aggregate. Chemical modification can be accomplished in several ways, either covalently or non-covalently [32,33].

Graphene-based materials are two-dimensional (2D) sheets of sp<sup>2</sup> carbons arranged in six-membered rings which can be chemically modified to contain a variety of reactive sites [34,35]. The most used forms are single, bi-layer or multi-layer graphene, graphene oxide (GO) and reduced graphene oxide (rGO). Single-layer graphene is synthesized by repeated mechanical exfoliation [4] or controlled growth on substrates like silicon carbide [36] via chemical vapor deposition (CVD) [37]. Graphene oxide (GO) is a highly oxidized form of graphene, chemically modified with carboxylic acid, epoxide and hydroxyl groups in the plane. Carboxylate groups provide colloidal stability and pH dependent surface charge [38], while epoxide (-O-) and hydroxyl (-OH) groups can interact by

hydrogen bonding (H-bond) [39]. GO is an amphiphilic molecule, which can be used as a surfactant to stabilize hydrophobic molecules in a solution [40,41]. Reduced graphene oxide (rGO) can be obtained by thermal, chemical and ultraviolet (UV) treatment of GO under reducing conditions [38]. rGO is mainly produced to restore the electrical conductivity and optical absorbance in GO while reducing the oxygen content, surface charge, and hydrophilicity [42].

Due to their chemical, mechanical and electrical properties, these classes of CNMs present applications in different fields such as microelectronic devices [43,44], coatings and films [18,45], energy storage [15,46,47], biomedicine [22,24,48–51], and in catalysis [52–54].

As far as medical applications are concerned, CNM can act as drug carriers, as they can adsorb or encapsulate a variety of organic molecules including pharmaceutical compounds [55–57]. In this way drugs can be delivered on the site of action, protected from degradation, showing increased efficacy [58,59]. Drugs can be loaded into these carriers both by covalent bonds or non-covalent interactions [60–63]. CNM functionalization can be achieved with covalent modification via carboxylation and oxidation [64,65], fluorination [66–68], amidation [69–71], thiolation [72–74], and esterification [75,76]. Molecules can be bound by different bond types such as amide [77–80], disulfide [81–83], ester [78,84,85], and carbamate bonds [86,87]. Non-covalent bonding (van der Waals (vdW), H-bonds, electrostatic interactions) has the advantage to maintain the peculiar properties of these materials [8,33,63] and at the same time a good reversibility of the binding which can be realized by varying environmental conditions, such as pH [88–97] or temperature [98–101].

Due to the above mentioned chemical and physical features, (high surface/mass ratio, ease of functionalization, cost, mechanical properties), CNMs have been also widely studied for their application as sorbents in water treatment processes, and in particular for the removal of pharmaceuticals from liquid samples [102,103]. The specific treatment of drug-containing wastewaters has become in recent years an important process, as the dispersion of persistent pharmaceutical pollutants in the environment poses serious threats for living organisms [104–107]. The application of adsorption in water treatment presents several advantages (versatility, efficiency, easy implementation in existing plants and low cost [103,108,109]) with respect to other techniques, such as advanced oxidation process (AOP) [110,111], bioremediation [112], coagulation [113,114], ozonation [115–117] and reverse osmosis [118,119].

Most of the experimental studies focused on adsorption of a multitude of organic and inorganic drugs such as antibiotics [102,120–122], platinum-based cytostatic drugs (Pt-CD) or other organic antitumor compounds [123–126]. Both kinetic and thermodynamics of adsorption have been investigated deeply. Adsorption equilibrium data were usually fitted with Langmuir [127], Freundlich [128], Temkin [129], Langmuir-Temkin [130], Langmuir-Freundlich [131], Hill [132] isotherms. Several kinetic models have been applied to model the adsorption process, in particular, the pseudo-second-order (PSO) model was shown to better describe the adsorption of drugs by the CNMs [133]. The PSO kinetics was usually associated with the direct adsorption/desorption rate controlling the overall sorption kinetics [134]. However cases that differ from this model are also reported in the literature [127,132,135,136].

The interpretation of kinetic and equilibrium data requires to make hypotheses on the nature of the molecular interactions at play. Different mechanisms have been proposed to control the adsorption of these molecules by CNMs (Figure 3): covalent bonding, hydrophobic interactions,  $\pi$ – $\pi$  interactions, electrostatic interactions, H-bonding [102,120,121,133]. The relative importance of these contributions is very complex and is still a challenge to be assessed. Spectroscopic studies, such as electronic spectroscopy (UV-Vis) [137], Fourier Transform Infrared Spectroscopy (FT-IR) [138] and Raman [127], X-ray photoelectron spectroscopy (XPS) [127] have been used to provide structural information on adsorption mechanisms. In this respect, theoretical studies have also been demonstrated to be a useful toolbox to disclose the nature and the relative importance of the interactions at play.

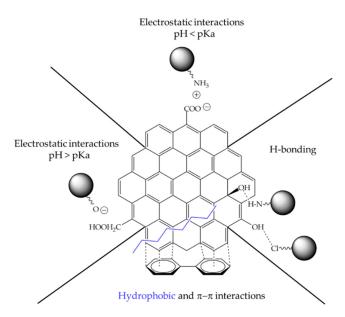


Figure 3. Adsorption mechanisms of drugs on carbon nanomaterials (CNMs).

Quantum mechanical methods, ab-initio and classical molecular dynamics simulations have been the most used techniques employed to study. The nature as well as the size of the studied system guides the type of approach [139,140].

The most used quantum mechanical approach in this field is density functional theory (DFT), which allows one to describe medium to relatively small molecular systems (up to hundreds of atoms) with good accuracy and reasonable computational cost [141,142]. In order to study not only equilibrium structures, but also dynamical behavior, nuclear motion is taken into account in ab-initio molecular dynamics (AIMD) where the forces are obtained by DFT [143]. Typically, such calculations are carried out for replicated supercell structures treated with periodic boundary conditions (PBCs).

In classical molecular dynamics (MD) simulations electronic degrees of freedom are not considered and the motion of the particles is described only through classical mechanics with a physics-based potential energy function, based on the principles of physics. The analytical expression of potential energy (force field) describes intramolecular and intermolecular interactions [144,145]. The most commonly force fields which have been for modelling CNMs are CHARMM [146,147], AMBER [148,149] and GROMOS [150].

Such different computational approaches can provide complementary pieces of information on molecular aspects of adsorption phenomena. For example, quantum chemical methods can be used to calculate adsorption energy ( $E_{ads}$ , i.e., the difference between the energy of the CNM with the molecule adsorbed and the separated components), structural parameters of minimum energy configurations, electronic and spectroscopic properties. On the other hand, MD simulations allow to calculate adsorption free energies ( $\Delta G_{ads}$ ), structural averages, loading, time-dependent properties (elastic moduli, diffusion coefficients) surface accessible area.

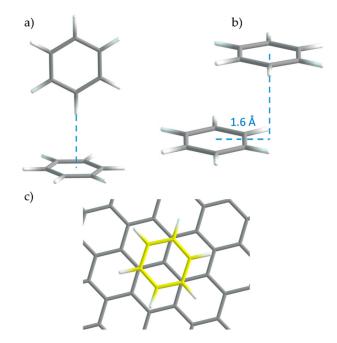
The purpose of this review is to analyze the most recent works concerning theoretical studies of the interactions between several classes of drugs and CNMs and compare them with available experimental data.

The following sections are organized according to the class of compounds interacting with CNMs: (*i*) Interaction of simple organic compounds with molecular CNMs models; (*ii*) Adsorption of antimicrobials; (*iii*) Adsorption/release (delivery) of cytostatic compounds; (*iv*) Conclusions.

#### 2. CNMs Models

A first approach for the theoretical study of the interaction of organic compounds with CNM has been to employ small molecular models which are able to represent portions of their surface.

In this context, benzene dimer is of key importance as a prototype of aromatic  $\pi$ – $\pi$  interactions, and it has been extensively studied both experimentally [151] and theoretically [152–163] a quite common building block of complex organic molecules. Quantum mechanical calculations [157,158,161–163] established that there are two main structures, reported in Figure 4, for the benzene dimer: the first one corresponds to two benzene molecules perpendicular to each other (T-shape) while in the second structure the benzene rings are parallel but their centers are displaced by about 1.6 Å (PD-shape).



**Figure 4.** T-shape (**a**) and PD-shape (**b**) structures of the benzene dimer and (**c**) minimum energy structures for graphene-benzene model.

The first model (Figure 4a) is favored by the static quadrupole–quadrupole interaction; dispersion effects favor the second one (Figure 4b) [157,164]. The two structures result almost isoenergetic, and the benzene dimer potential energy surface (PES) is quite flat with several local minima separated by small interconversion barriers [152–163].

Other papers [165–169] concern the study of the interaction between benzene and graphene models such as coronene ( $C_{24}H_{12}$ ), circumcoronene ( $C_{54}H_{18}$ ) and larger systems like  $C_{294}H_{42}$ . In contrast to what was observed for the benzene-benzene interaction, when using graphene models, the lowest energy configuration became the face to face orientation, where the global minimum in potential energy surface (PES) is characterized by the structure shown in Figure 4c that differs from PD-shape structure [170]. The  $E_{ads}$  of a molecule on a graphene surface involves  $\pi$ – $\pi$  interactions that explain this kind of arrangement.

The DFT calculation protocol, for systems that are characterized by  $\pi$ – $\pi$  type interactions, must use functionals that include dispersion corrections functions. An example is given by the work of Ershova [170] where experimental [171] and theoretical desorption energy ( $E_{des} = -E_{ads}$ ) of benzene, napthalene, coronene ( $C_{24}H_{12}$ ) and ovalene ( $C_{32}H_{14}$ ) on  $C_{116}H_{28}$  graphite model have been compared. Two functionals were used;  $\omega$ B97X [172] which does not include dispersion corrections and the  $\omega$ B97X-D [173] which provides them. The results (Figure 5) show that  $\omega$ B97X underestimates the  $E_{des}$  values while the values obtained with  $\omega$ B97X-D are in much better agreement with the experimental ones, demonstrating the importance of inclusion of dispersion corrections for a correct estimation of  $E_{des}$ .

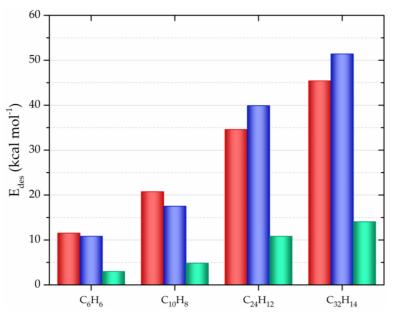


Figure 5. Experimental (red) and theoretical (wB97X-D blue, wB97X green) Edes.

The interaction between CNMs models and substituted benzenes has been also investigated to clarify how the different functional groups can influence the adsorption of these molecules [174–180] and the most interesting works are discussed below.

 $E_{des}$  of 25 small molecules on graphene has been calculated by means of empirical force fields (FF), semiempirical quantum mechanics, and DFT [176]. The  $E_{des}$  values obtained were compared with the experimental ones obtained by temperature programed desorption (TPD) experiment [176,181,182]. The method that best replicated experiments was found to be the dispersion-corrected semiempirical method PM6-DH+. The  $E_{des}$  of some aromatic molecules (benzene naphthalene and o-dichlorobenzene) were in excellent agreement with the literature data (mean squared errors < 1 kcal mol<sup>-1</sup>) while slightly larger deviations were observed (~2.5 kcal mol<sup>-1</sup>) for toluene end ethylbenzene as can be seen in Figure 6.

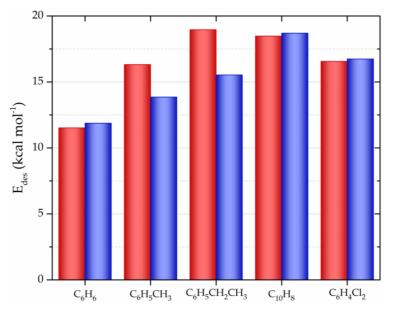


Figure 6. Experimental [181,182] (red) and theoretical [176] (PM6-DH+ blue) Edes.

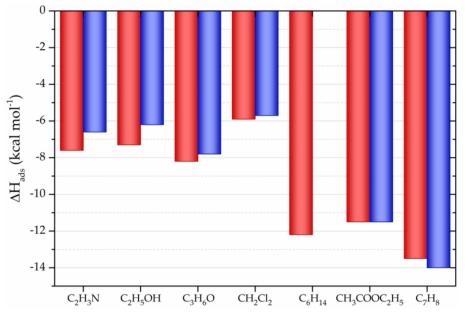
In particular, the calculated  $E_{des}$  are systematically lower than the experimental ones. This should be due to the formation of 2D supramolecular structures: if so, the experimental  $E_{des}$  could actually correspond to the desorption of dimers or trimers, or to the energy required to destroy the supramolecular structure before desorption.

Similarly, the individual and competitive adsorption of benzene, aniline and naphthylamine on rGO were investigated experimentally and by DFT calculations by Yu et al. [178]. Experimental results indicate that the maximum adsorption capacity follows the order naphthylamine > aniline > benzene both for systems consisting of a single component and for systems consisting of two or three components. DFT calculations well agree with experiments predicting  $E_{ads}$  values that follows the order benzene < aniline < naphthylamine and indicates that the intramolecular interactions between the aromatic compounds themselves have an important influence on their adsorption on the rGOs.

Combined experimental and computational (DFT, MD) techniques were employed also for the study of the adsorption of a set of pyrene derivatives (guest) on the surface of a SW-CNT (host) in order to clarify the mechanisms that originate the host-guest recognition of SW-CNT by small aromatic molecules [180]. The influence of the solvent in the adsorption process was also analyzed: two solvents with markedly different polarity, namely 1,1',2,2'-tetrachloroethane (TCE) and N,N-dimethylformamide (DMF) were used in the experiments. In the nonpolar solvent (TCE), the binding constants resulted to be highly correlated with the contact area between the SW-CNT and the guests. When polar solvent (DMF) is added the binding constants show a complex dependence on the chemical nature of the pyrene substituents: MD simulation confirmed the experimental binding constants measured by means of a thermogravimetric protocol.

Lazar et al. [183] quantified the adsorption enthalpies ( $\Delta H_{ads}$ ) of seven small organic molecules on graphene using theoretical calculations and inverse gas chromatography experiment (Figure 7, red bars). The best description of dispersion interactions is obtained using the computationally expensive Coupled Cluster (CCSD(T)) method [184]. The  $E_{ads}$  calculated with this method has been used as reference and were compared with those obtained with different methods. The SCS(MI)-MP2, MP2.5, and MP2.X methods gave reliable results (mean errors of 0.4, 0.7, and 0.7 kcal mol<sup>-1</sup> respectively), however MP2 method show a tendency to overestimate the dispersion contribution to the correlation energy has been already observed [185]. The best DFT functional able to reproduce the  $E_{ads}$  obtained with CCSD(T) method was the hybrid meta-GGA (generalized gradient approximation) M06-2X functional [186,187] with a means error of 0.9 kcal mol<sup>-1</sup>. Comparison of  $E_{ads}$  calculated by DFT GGA functionals shown that best was the non-local optB88-vdW functional (means error = 1.8 kcal mol<sup>-1</sup>) [188] and it was employed to perform ab initio MD and obtained the  $\Delta H_{ads}$  values (Figure 7, blue bars). B97D functional [189] shown means error of 2.4 kcal mol<sup>-1</sup>, while the semi-local PBE functional [190–192] shown a slightly negative  $E_{ads}$ .

The experimental  $\Delta H_{ads}$  ranged from -5.9 kcal mol<sup>-1</sup> for dichloromethane to -13.5 kcal mol<sup>-1</sup> for toluene indicating that in all the reported cases the adsorption process is exothermic. Data obtained from ab initio MD showed that all molecules remained bound to the graphene surface during the simulations in contrast to what has been observed with PBE functional, where the molecules spontaneously detached from the graphene surface and this underlines the importance of dispersion [193]. Additionally the average surface molecule distance correspond to physisorption [194], which is a "weak" adsorption process where the single atom holding energy is a few tens of meV's, with no apparent chemical bond formation (chemisorption) [195]. Moreover, the resulting calculated  $\Delta H_{ads}$  (Figure 7, blue bars) were in excellent agreement with the experimental data [183], indicating that the functional can reproduce chemical phenomena behind adsorption of organic molecules on graphene sufficiently well.



**Figure 7.** Experimental (red) and theoretical (ab initio molecular dynamics (MD) optB88-vdW, blue)  $\Delta H_{ads}$ , no theoretical data has been reported for hexane (C<sub>6</sub>H<sub>14</sub>).

Similarly, the adsorption of about 30 small aromatic compounds on native and hydroxylated SW-CNT was studied and the associated equilibrium constants calculated [177]. The adsorption equilibrium constants ( $K_{ads}$ ) was determined by free-energy calculations and were directly compared to those derived from experiments providing an excellent correlation (r > 0.9) [177].

The adsorption capacity of bisphenol A and  $17\alpha$ -ethinylestradiol on graphene, SW-CNT and MW-CNT was studied by DFT and MD simulations, with the aim to provide insights for the removal of these endocrine disrupting compounds [174]: a preferential sorption of  $17\alpha$ -ethinyl estradiol onto these materials compared to bisphenol A was showed. This observation was consistent with experimental results proving higher removal efficiencies for  $17\alpha$ -ethinylestradiol than for bisphenol A. Moreover, MD simulations indicated that the binding free energies, calculated by means of the MM/PBSA approach [196], of bisphenol A and  $17\alpha$ -ethinyl follow the order: graphene > MW-CNTs > SW-CNTs. Experimental results are in line with this trend.

The pH-dependent adsorption of bisphenol A on GO using DFT calculation and MD simulations indicated that the numbers of H-bond between GO or bisphenol A and water were higher than the number of H-bond found between bisphenol A and GO [179]. This indicated that the water-mediated H-bond acted as a steering force in the adsorption process. Upon adsorption, the configurations of ionizable organic compounds (IOCs) and GO were changed, and the hydrophilicity was decreased, which may in turn affect their fate and toxicity in the environment.

Nine polybrominated diphenyl ethers (PBDEs) adsorbed on graphene were studied by DFT and MD simulations [175]: the interaction strength between the PBDE molecules and graphene was explained by physisorption and increased with the degree of bromination due to the relatively strong interactions between the bromine atoms. The  $E_{ads}$  exhibited a positive linear correlation with the hydrophobicity of PBDE molecules, while for the PBDEs with 6 or 6'-substitution, the steric hindrance effect leads to the formation of single  $\pi$ - $\pi$  stacking interactions with the graphene surface and thus to a different adsorption behavior.

#### 3. Antimicrobials

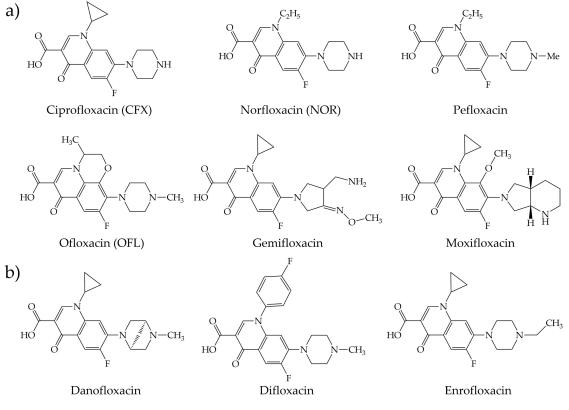
One of the most significant achievements of the 20th century is the discovery of antimicrobial pharmaceuticals, which have revolutionized both human (Figures 8a, 9 and 10) and veterinary medicine (Figure 8b) [197]. In addition, antibiotics are used at a global scale in livestock and

aquaculture to increase the production by preventing infections and promoting growth [198]. The global consumption of antibacterial drugs is directly reflected in their presence in various compartments of the environment, including the aquatic one [199]. The excessive use of such antibiotics has led to the emergence of a global environmental pollution problem due to the emergence of drug-resistant bacteria [200–203]. Surface water plays an important role in the emergence and spread of antibiotic resistance, so the development of strategies to improve water quality has become a permanent necessity [197]. In conventional water treatment plants, antimicrobials may predominantly undergo transformation, biodegradation or sorption onto the activated sludge and precipitation, depending on the technology employed [204,205], but, in most cases, they are not completely eliminated and can still be detected in the treated water [206]. Antimicrobial removal through adsorption by using CNM has been widely investigated in the last years, by experimental [102,127,133,198,207–212] and theoretical works [127,132,136,207,211,213–218].

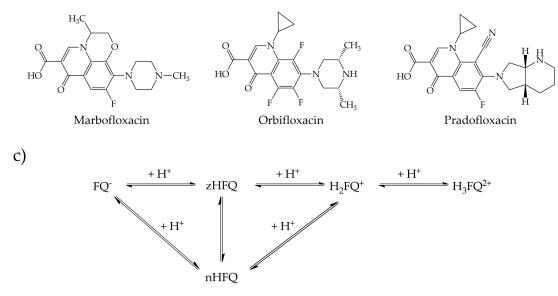
#### 3.1. Fluoroquinolones

Fluoroquinolones (FQs) are a group of synthetic antimicrobial agents (Figure 8) with high antibacterial activity against both Gram-negative and Gram-positive bacteria through inhibition of deoxyribonucleic acid DNA gyrase [219].

Numerous research studies on the occurrence and ecotoxicology of FQs in aquatic environments [220] evidenced that FQs are difficult to be biodegraded due to the stable naphthol ring in their structures [221]. In recent years, the alarming increase in the antimicrobial resistance towards FQ has been observed [219,222], thus their removal from water. A selection of adsorption studies on different CNMs, including data related to the loading capacity (LC), adsorption thermodynamic parameters and experimental conditions is reported in Table 1.







**Figure 8.** Fluoroquinolones commonly used in human medicine (panel (**a**)), in veterinary medicine (panel (**b**)) and protonation equilibria involving micro-species of fluoroquinolones (FQs panel (**c**)).

The thermodynamic data in Table 1 indicate that, in general, FQs are spontaneously adsorbed by the CNMs with  $\Delta G_{ads}$  values enclosed in the -2--10 kcal mol<sup>-1</sup> range. These  $\Delta G_{ads}$ , as well as the LC and the type of interactions, depend both on the nature of the CNMs and on the environmental conditions. Especially the pH has a strong impact on adsorption efficiency of the CNM and this is likely to be linked to the ampholyte nature of FQ. These compounds can exist in five different pH-dependent protonation species, namely: anion (FQ<sup>-</sup>), zwitterions (zHFQ), neutral (nHFQ), cation (H<sub>2</sub>FQ<sup>+</sup>) and in some cases dication, (H<sub>3</sub>FQ<sup>2+</sup>) [223]. A scheme of the equilibria involving such species is reported in Figure 8c.

In particular, it is known that at pH = 7.0 the zHFQ form is largely dominant over the nHFQ one, while at pH < 6 and pH > 9 the mono-positive and negative species prevail [223], being likely that these species interact differently with the carbon based materials. In agreement with this hypothesis, Li et al. [207] studied experimentally the adsorption of ciprofloxacin (CFX) and found that its adsorption on SW and MW-CNTs was greatly affected by pH. It was proposed that hydrophobic interactions control adsorption for nHCFX and zHCFX, while electrostatic interactions were the dominant mechanism for ionic CFX sorption. In the same study, CFX adsorption resulted thermodynamically favorable for all CNTs used. In the case of SW-CNTs the sorption process is entropy driven (i.e., positive  $\Delta H_{ads}$ ), while it is enthalpy driven on MW-CNTs.

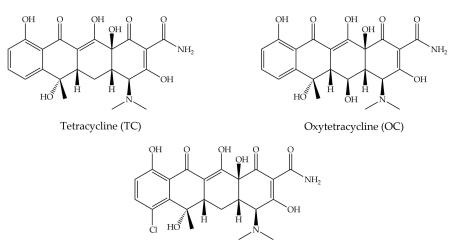
Norfloxacin (NOR) and ofloxacin (OFL) adsorption on MW-CNTs was investigated to evaluate the role of structural and hydrophobic properties in regulating their adsorption [208]. A relationship between adsorption coefficients and specific surface area (highly hydrophobic) was observed, but not between adsorption coefficients and oxygen content of MW-CNT's. This result suggested that site-specific adsorption was not important, but hydrophobic effect may have an important contribution to OFL and NOR adsorption on MW-CNTs.

The normalization of the adsorption coefficients by OFL and NOR solubilities enlarged their adsorption difference indicating that hydrophobicity was not the only factor controlling the difference between OFL and NOR adsorption on MW-CNTs. Both molecules could interact with CNT surface thanks to an electron-donor–acceptor mechanism (EDA). This mechanism was correlated with the different adsorption of OFL and NOR on functionalized MW-CNTs.

**Table 1.** Experimental adsorption parameters of FQs on CNMs: Pondus Hydrogenii (pH), Temperature (K), LC (loading capacity (mg g<sup>-1</sup>),  $\Delta X$  (kcal mol<sup>-1</sup>). NA (nalidixic acid), LEV Levofloxacin. G (graphene), G-NS (graphene nano-sheet), GO-MP (graphene oxide magnetic nanoparticle), rGO-MP (reduced graphene oxide magnetic nanoparticle), GO-SA (graphene oxide sodium alginate), GO-CA (graphene oxide calcium alginate), G-H (graphene hydrogel), G-KOH (activated graphene with KOH), SW-CNT out (adsorption on outer surface), SW-CNT in (adsorption on inner surface). EMT (external mass transfer), IPD (intra particle diffusion).

CNM	Compound	Method	pН	Т	LC	$\Delta X$	Type of Interaction	Reference
MW-CNT					169.08	$\Delta G_{ads} = -3.1$ $\Delta H_{ads} = -31.6$ $\Delta G_{ads} = -30.6$	int	
MW-CNT-COOH	NA	HPLC	7.0	303	188.68	$\Delta G_{ads} = -3.0$ $\Delta H_{ads} = -32.1$	π–π int. H-bond	[224]
MWCNT-NH <sub>2</sub>					135.14	$\Delta G_{ads} = -3.1$ $\Delta H_{ads} = -32.3$		
SW-CNT MW-CNT	OFL	UV–vis	7.0	298	288.40 74.13	-	$\pi$ – $\pi$ int.	[208]
SW-CNT MW-CNT	NOR	HPLC	7.0	298	151.35 53.70	-	H-bond	[200]
GO-MP rGO-MP	NOR	UV-vis	-	298	127.80 97.80	- -	π–π int. H-bond	[225]
GO	LEV	HPLC	-	298	256.6	-	Electro. $\pi - \pi$ int.	[226]
	NOR				24.93		$\pi$ – $\pi$ int.	
GO	OFL CFX	UV-vis	2–12	-	40.65 18.65	-	$\pi - \pi$ int. H-bond	[227]
SW-CNT MW-CNT-COOH MW-CNT MW-CNT-OH	CFX	UV–vis	7.0	298	907.19 60.15 382.10 62.59	$\begin{array}{l} \Delta G_{ads} = -9.1 \\ \Delta G_{ads} = -9.3 \\ \Delta G_{ads} = -9.0 \\ \Delta G_{ads} = -7.8 \end{array}$	$\pi$ – $\pi$ int.	[207]
SW-CNT DW-CNT MW-CNT	CFX	UV–vis	3–11	298	724.00 605.00 475.00	$\Delta G_{ads} = -6.2$ $\Delta G_{ads} = -5.9$ $\Delta G_{ads} = -5.4$	<i>π</i> – <i>π</i> int.	[209]
G	CFX	FT-IR	7.0	298	322.6	$\Delta G_{ads} = -2.7$	$\pi$ – $\pi$ int.	[228]
GO	CFX	Florescence	5.0	298	379.00	$\Delta G_{ads} = -6.3$	Electro. $\pi$ - $\pi$ int. chemi ads.	[131]
GO-SA	CFX	UV-vis	2–12	298	86.12	-	$\pi$ – $\pi$ int.	[229]
GO-CA	CFX	UV-vis	3–9	-	66.2	-	IPD	[230]
G-H	CFX	UV-vis	-	298	235.64	-	H-bond π–π int. IPD	[231]
G	CFX	UV-vis	2-10	298	145.90	-	$\pi$ – $\pi$ int.	[232]
G-KOH	Circ	0.1.10	2 10	270	194.60		H-bond $\pi-\pi$ int. EMT	[]
G-NS	CFX	UV-vis	-	298	147.90	$\begin{array}{l} \Delta G_{ads} = -1.8 \\ \Delta H_{ads} = -4.2 \end{array}$	vdW Electro.	[132]
MW-CNT	CFX	UV-vis	5.0	298	135.00	-	$\pi$ – $\pi$ int.	[233]
MW-CNT 2% O MW-CNT 3.2% O MW-CNT 4.7% O MW-CNT 5.9% O	CFX	UV-vis	2–10	298	150.60 178.90 206.00 181.20	$\begin{array}{l} \Delta G_{ads} = -8.4 \\ \Delta G_{ads} = -7.7 \\ \Delta G_{ads} = -7.6 \\ \Delta G_{ads} = -8.4 \end{array}$	$\pi$ - $\pi$ int. physic ads.	[234]
SW-CNT out	zCFX nCFZ zCFX nCFZ	MD	7.0	298	-	$\Delta G_{ads} = -9.5$ $\Delta G_{ads} = -3.6$ $\Delta G_{ads} = -12.2$ $\Delta G_{ads} = -20.9$	$\pi$ – $\pi$ int.	[214]

Experiments conducted on the removal of CFX and oxytetracycline (OXY) (Figure 9) by SW-CNTs, double-walled (DW-CNTs) and MW-CNTs agglomerates [209] revealed a moderate increase in adsorption between pH 3 and 7, in particular the LC values, for SW-CNT and DW-CNT, while MWCNTs, the pH did not influence the removal process over the range 3–9 for MW-CNT. The highest removal capacities were registered using SW-CNTs as characterized by the higher value of LC found.



Chlortetracycline (CC)

Figure 9. Tetracyclines commonly used in human medicine.

Several computational works related to the interactions between CFX and CNT are present in the literature [132,136,207,214,217]. A comparative experimental/theoretical investigation on the interaction between some antibiotics (norfloxacin (NOR), sulfadiazine (SDZ), tetracycline (TC)) and graphene-based material (GO and rGO) have been carried out by Zhang et al. [225]. The experimental results showed that the optimal adsorption pHs for NOR, SDZ, and TC are 6.2, 4.0, and 4.0, respectively; moreover, the adsorption of NOR is favored on rGOs while SDZ preferred GOs. They concluded that carboxylic groups of GO and rGO exert an electrostatic attraction with NOR and TC, but not with SDZ. DFT calculations were used to clarify the interactions between antibiotics and the two graphene-based materials characterized by a different percentage of  $sp^2$  and  $sp^3$  regions. The presence of  $sp^3$  carbons on the surface is due to chemical modification that introduces the presence of functionals groups which alter the conjugated structure. The results showed that both forms of NOR ( $H_2NOR^+$  and zNOR) interact via H-bonds with the sp<sup>3</sup> region, while, when attached to the sp<sup>2</sup> region, the  $\pi$ - $\pi$  interactions dominate. The obtained E<sub>ads</sub> for sp<sup>2</sup>–NOR cluster are higher than for sp<sup>3</sup>–NOR cluster, which explains higher adsorption capacities of rGOs, which is characterized by larger amounts of sp<sup>2</sup> regions. Similar interactions have been observed for SDZ: however, a larger binding energy is found for sp<sup>3</sup> region in line with the predominant role of H-bonds and the higher adsorption amount found for SDZ on GO. For the cationic form of TC, adsorption is favorable in sp<sup>3</sup> regions with the formation of H-bonds, while neutral forms prefer sp<sup>2</sup> regions and  $\pi$ - $\pi$  type interactions. The two opposite effects lead to the similar adsorption amount of GO and rGO at the optimal pH [225].

In another study [132], CFX adsorption capacity on graphene reached a maximum at pH = 6.0 and occurs in the physisorption regime. Adsorption of CFX, NOR, and OFL, on GO were studied by experimental methods and DFT calculations [227]. CFX and NOR present the maximum adsorption at pH = 7.0, while for OFL at pH = 4.0. DFT calculations reveal that  $\pi$ - $\pi$  interactions induce an orientation of the FQs parallel to the GO plane, but it is not the most stable configuration found. Strong H-bonds between FQs and oxygen group of GO are instead established and are accompanied by an exothermic process.

The heat-driven desorption process of CFX from a SW-CNT was studied by MD simulations [217] to explore the potential application of CNTs as drug carrier, where the adsorbed drug molecule is later released by near-infrared radiation heating [235].

The CFX molecules were found to remain initially mostly confined inside the SW-CNT with a low diffusion coefficient. This suggested that the storage of CFX molecules inside the SW-CNT is feasible. Results indicate that SW-CNT heating by NIR radiation can potentially be used for effective drug release thank to the fast (<10 ps) vibrational energy transfer among SW-CNT, water, and CFX which increases the diffusion coefficient of the latter by a factor of 5–7. However, it should be underlined that in the work of Chaban V.V. et al. [217] only nHCFX was considered which, at physiological pH, is only a small fraction of the total species (Figure 8c).

Both neutral and zwitterionic form of CFX remain adsorbed parallel to the SW-CNT surface interacting via  $\pi$ - $\pi$  stacking [214]. However, when multiple molecules are presents, other arrangements are observed due to CFX–CFX interactions which allow the formation of stacked structures ("sandwich-like") in the confined space inside the SW-CNT [214]. The calculation of the E<sub>ads</sub> suggests a preferential adsorption on the internal wall of the SW-CNT. This is also confirmed by the calculation of the adsorption Gibbs free energy ( $\Delta G_{ads}$ ). Negative  $\Delta G_{ads}$  show that CFX adsorption is a spontaneous process on both sides of the wall, even if more favorable on the inner surface. The  $\Delta G_{ads}$  were in good agreement with the experimental values as can be observed in Table 1.

The  $E_{ads}$  for the CFX–SW-CNT adducts are significantly more negative than the obtained  $\Delta G_{ads}$ . This suggested a strong contribution of desolvation upon adsorption as indicated by net decrease of the number of water molecules released upon adsorption [214].

#### 3.2. Other Antimicrobials

Tetracycline (TC) (Figure 9) is the second most used antimicrobial worldwide [236]. It exhibits broad-spectrum antibacterial activities by blocking DNA replication enzymes and inhibiting bacterial growth [237]. TC has a planar structure consisting of four fused rings with hydrophilic groups on one face, hydrophobic groups on the other face and each ring including phenol, alcohol, ketone and amino [236]. In recent years TC has raised a serious environmental concern, as incompletely metabolized TC has been detected in wastewater treatment plants and soils [102,238]. Hence, the removal of TC is of great interest as evidenced by the number of experimental works related to the adsorption of TC on carbon-based materials [127,136,211,215,225,239–242]. In Table 2 available experimental data related to the adsorption of TC and other antimicrobials on different CNMs are reported.

Also in this case, negative  $\Delta G_{ads}$  values are observed which depend on the type of molecule adsorbed by the adsorbent material and the pH. Ghadim et al. [211] studied the adsorption and release of TC onto GO from an experimental and theoretical point of view and found that tetracycline strongly binds to GO surface via  $\pi$ - $\pi$  and cation- $\pi$  interaction. Thermodynamic parameters obtained showed positive  $\Delta H$  and negative  $\Delta G$  indicating that the adsorption process was entropy-driven. MD simulations showed the presence of  $\pi$ - $\pi$  stacking interactions between tetracycline and graphene's surface which results in an equilibrium distribution between bound and free compound.

Another experimental/theoretical DFT study [127] on the competitive interactions of TC and sulfamethazine (SMZ, Figure 8) on reduced graphene oxides showed some cooperative effect due to co-adsorption. Several techniques (scanning electron microscope (SEM), FT-IR, UV-Vis and XPS) revealed that the highly efficient sorption of TC and SMZ on rGOs was mainly due to the  $\pi$ - $\pi$  interaction and cation- $\pi$  interactions, high surface energy sites derived from defects, edges and groove areas [127].

The E<sub>ads</sub>, for TC resulted to be higher than that for SMZ, indicating that TC have a higher affinity to rGO than SMZ. Adsorption and dynamics of TC, oxytetracycline (OC), and chlortetracycline (CC) (Figure 9) on rGO and GO surface were investigated by DFT calculations and MD simulations [218].

DFT calculations showed that the  $\pi$ - $\pi$  interaction and H-bond play significant roles when TCs adsorbed on graphene materials. Moreover, the authors proposed that weaker C-H··· $\pi$  interaction might contribute to the adsorption mechanism. Additionally, the effects of the pH on the adsorption process was investigated and it emerged that low pH values were suitable for the adsorption of TCs on these materials due to the reinforced  $\pi$ - $\pi$  interaction, H-bonds and cation- $\pi$  interaction. The calculation of the E<sub>ads</sub> revealed that the adsorption affinity follows the order: CC > TC > OC and TC > CC > OC

in the GO and rGO systems, respectively. In addition, the adsorption efficiency was higher when the pH of the solution was lower and when less polar solvent environments are present.

A comparative study on TC adsorption by graphite, GO, rGO and MW-CNT was carried out by He et al. [215]. The experimental results revealed that adsorption capacity followed the order: GO > rGO > MW-CNT > graphite. DFT calculations indicated that the oxygen functional groups on GO reduced its  $\pi$ -electron-donating ability, indeed higher HOMO (highest occupied molecule orbital) energy corresponded to higher  $\pi$ -electron-donating ability [243,244]; rGO had the highest HOMO energy, showing the highest  $\pi$ -electron-donating ability. Nevertheless, the presence of oxygen-containing functional groups decreased the HOMO energy of GO. Accordingly,  $\pi$ - $\pi$  interactions between GO and tetracycline were weakened as a result of the decreased  $\pi$ -electron-donating ability of GO surface. The comparison of adsorption efficiency at different pH indicated that electrostatic interaction also played an important role in TC-GO interactions. Site energy analysis, the difference of sorption energies between the solute and solvent for a given sorption site, confirmed a highly heterogeneous distribution of the binding sites and strong TC binding affinity of GO surface.

**Table 2.** Some experimental adsorption parameters of TC and other antimicrobials drugs on CNMs (T(K), LC (mg g<sup>-1</sup>),  $\Delta X$ , kcal mol<sup>-1</sup>). Sulfadiazine (SDZ), sulfamethazine (SMZ), sulfadimethoxine (SDM), sulfamethizole (SMT), sulfamethoxazole (SMX).

CNM	Compound	Method	pН	Т	LC	ΔΧ	Type of Interaction	Reference
SW-CNT DW-CNT MW-CNT	OC	UV-vis	3–11	298	554.00 507.00 391.00	$\begin{array}{l} \Delta G_{ads} = -6.4 \\ \Delta G_{ads} = -6.1 \\ \Delta G_{ads} = -6.3 \end{array}$	Electro. $\pi$ - $\pi$ int.	[209]
rGO	OC TC	UV-Vis	3–11	298	212.00 313.00	-	Electro.	[239]
GO	TC	UV-vis	7.0	298	322.43	$\begin{array}{l} \Delta G_{ads} = -0.50 \\ \Delta H_{ads} = 10.52 \end{array}$	$\pi$ - $\pi$ int. cation- $\pi$ int	[211]
rGO	TC	UV-vis	3–10	298	558.66	$\Delta G_{ads} = -24.3$	vdW, $\pi$ - $\pi$ int. cation- $\pi$ int.	[240]
GO-MP	TC	UV-vis	-	298	95.00	-	$\pi$ – $\pi$ int.	[241]
MW-CNT 2% O MW-CNT 3.2% O MW-CNT 4.7% O MW-CNT 5.9% O	TC	UV-vis	2–10	298	217.80 269.25 217.56 210.43	$\begin{array}{l} \Delta G_{ads} = -7.7 \\ \Delta G_{ads} = -6.2 \\ \Delta G_{ads} = -6.4 \\ \Delta G_{ads} = -6.6 \end{array}$	Electro. $\pi$ - $\pi$ int. hydrophobic	[242]
G-NS	TC	-	-	298	78.60	$\begin{array}{l} \Delta G_{ads} = -11.9 \\ \Delta H_{ads} = -13.0 \end{array}$	Electro. $\pi$ - $\pi$ int.	[136]
GO rGO MW-CNT	TC	HPLC	-	293	844.00 308.00 298.00	-	$\pi$ - $\pi$ int. Electro.	[215]
GO-MP rGO-MP GO-MP rGO-MP	TC SDZ	UV-vis	-	298	115.30 302.40 12.43 11.54	-	H-bond $\pi$ - $\pi$ int. H-bond Hydrophobic $\pi$ - $\pi$ int	[225]
rGO	TC SMZ	HPLC	-	298	219.10 174.42	$\Delta G_{ads} = -5.6$ $\Delta G_{ads} = -5.7$	$\pi$ - $\pi$ int. cation- $\pi$ int.	[127]
SW-CNT	SMD SMT SMZ SMX	HPLC	5.0	298	16.30 312.00 12.70 7.88	$\begin{array}{l} \Delta G_{ads} = -10.0 \\ \Delta G_{ads} = -5.9 \\ \Delta G_{ads} = -8.3 \\ \Delta G_{ads} = -7.9 \end{array}$	<i>π</i> - <i>π</i> int.	[213]
GO	SMX	Florescence	5.0	298	240.00	$\Delta G_{ads} = -5.7$	Electro. $\pi$ - $\pi$ int. chemi ads.	[131]
G-NS GO-NS	SMX	UV-vis	-	298	103.00 122.00	$\Delta G_{ads} = -0.4$	$\pi$ – $\pi$ int.	[225]

Electrostatic and  $\pi$ - $\pi$  interactions were shown to be at the origin of an efficient TC adsorption on a graphene nano-sheet (G-NS) [136]. Experimental, quantum mechanical semi-empirical method (neglect of diatomic differential overlap (NDDO) and Austin Model 1 (AM1)) and MD simulations at two different temperatures 298.15 and 318.15 K were carried out. MD simulations showed that vdW interaction energy at 298.15 K reaches equilibrium state in ~ 0.2 ns while at 318.15 K, the vdW energy is stable in ~ 1 ns. Moreover, the values of vdW energy at 298.15 K is more negative than 318.15 K, indeed the distance between TC and G-NS increased at higher temperatures (more molecular vibrations); indicated that the optimal temperature for the adsorption was 298.15 K.

Sulfonamides are antimicrobials largely used in human and veterinary medicine [245,246] and their metabolic products are frequently detected in wastewater, surface water and ground water [210]. SW-CNTs were studied as adsorbents for this class of antimicrobials, in particular the effect of different oxygen-containing surface functional groups on adsorption of sulfamethazine (SMZ), sulfadimethoxine (SDM), sulfamethizole (SMT), sulfamethoxazole (SMX) (Figure 10) [213] was investigated.

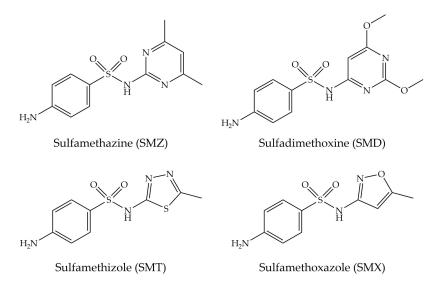


Figure 10. Sulfanilamides commonly used in human medicine.

Experimental results indicated that adsorption capacity increases with the decreasing in oxidation degree of SW-CNTs, and follows the order of SDM > SMT > SMZ > SMX. The predominant adsorption mechanisms are related to the  $\pi$ - $\pi$  between the heterocyclic rings in sulfonamides and the benzene-type rings of SW-CNTs. DFT calculations revealed that the oxygen group presents on the SW-CNT surface inhibited the sulfonamides adsorption, and this effect follows the order -COO- > -C=O > COC. Moreover, -COO- and -C=O groups located on the center of SW-CNTs, damage the conjugated structure of benzene-type ring, consequently, defects appeared on the surface in excellent agreement with the TEM data. These defects reduce the possibility of  $\pi$ - $\pi$  interactions between sulfonamides and SW-CNT and the interaction results to be weaker. However, when the functional groups (-OH and -COC-) were placed on the terminal atoms of the SW-CNT the conjugated structure of rings was not affected.

The combination of different compounds (lincomycin hydrochloride (LMH), chloramphenicol (CPC) and gentamycin sulfate (GMS) (Figure 11) with GO was proposed [216] with the aim to obtain a synergistic effect in the antibacterial activity. The combination of GO with different antibiotics provided different extents of antibacterial efficiency. DFT calculations employing plane wave approach revealed that GO presents higher  $E_{ads}$  for GMS than CPC and LMH, indicating that the desorption capacity of the three antibiotics follows the order: LMH > CPC > GMS. According to these results, GO could adsorb antibiotics with a strength depending on the number of aromatic rings and on the presence of different functional groups in the antibiotics. The authors assigned [216] the increased microbial inhibition ability found for the LMH/GO combination to the lowest calculated  $E_{ads}$ . As a consequence, LMH becomes the most effective among the three antibiotics, as it is the easiest to desorb from GO.

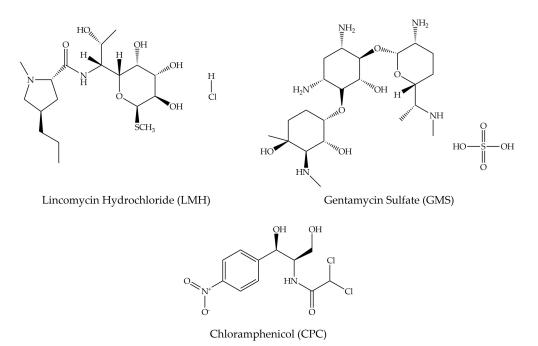


Figure 11. Lincomycin hydrochloride, chloramphenicol and gentamycin sulfate antimicrobial agents.

#### 4. Cytostatic Compounds

The use of nanocarriers such as carbon-based materials has been shown to improve the pharmacological properties of traditional chemotherapeutics [247,248], the main advantages of using nano-particles relate to their ability to target tumor tissue in an active or passive approach [49,248,249]. Most of the conventional chemotherapeutic agents have poor pharmacokinetics and are distributed non-specifically in the body, thus leading to severe side-effects and decrease of efficacy. For these reasons, the targeted transport of a drug is highly desirable and nano-carriers can improve the delivery to a tumor either passively through the vasculature surrounding the tumors (enhanced permeability and retention effect) or by active targeting of overexpressed receptors of cancer cells [250]. CNMs could also represent a good option for their removal from wastewaters [251]. Indeed, cytostatic drugs are usually found in hospitals or manufacturing plants wastewaters in the ppb range [251]. Despite these concentrations are quite low, the effect of chronical exposure of living organisms to low dosages is unknown and the efficiency of conventional water treatment plants in their degradation is uncertain.

#### 4.1. Platinum Anticancer Compounds

Fifty years after its discovery, cisplatin (Figure 12) is still one of the most used drugs in chemotherapy [252]. To overcome its limitations (high toxicity, tumor resistance), other platinum drugs have also been developed (Figure 12). The accepted mechanism of action [253] of such compounds consists in two stages: (*i*) intracellular activation by the hydrolysis of the ligand; (*ii*) formation of intra-strand cross-links in DNA through the covalent binding of the Pt complex to purine bases. The result is a bent DNA that causes the generation of defective proteins and ultimately leads to cell death. Despite the high efficacy, these compounds present several serious side-effects and acquired or intrinsic resistance of tumors [253].

The use of nano-carriers has been proposed as one of the possible strategies to resolve the drawbacks of platinum-based drugs and to reduce the systemic dose while increasing the amount of active molecule reaching the target site [248,254–256]. Numerous experimental and theoretical studies (as an example see [257–263] and references therein) were directed either at describing the reactivity of such compounds as well as the interactions with nanocarriers [57,125,264–271].

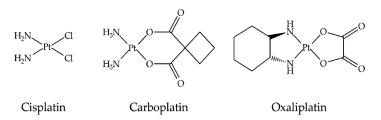


Figure 12. Platinum anticancer drugs approved worldwide.

Ajima et al. [266] studied the interaction between cisplatin and a SW-carbon nanohorns (SW-CNH): cisplatin was incorporated inside the SW-CNH and about 70% of the load was released by SW-CNH when they presented holes with hydrogen-terminated edges. When SW-CNH presented holes with oxygen-containing functional groups only 15% of cisplatin was released. The release of cisplatin from a SW-CNH in vitro and in in vivo experiment was also studied [265] and it was shown that solvent is an important factor influencing the incorporation process of cisplatin on SW-CNH: indeed, the use of water instead of DMF increased the quantity of cisplatin incorporated from the 15% up to 46%. Moreover, the release of cisplatin was slow, in agreement with previous studies [266], but the total released quantity increased from previous 60 to 100% [266]. in vitro experiment, the anticancer efficiency of cisplatin-SW-CNH complex increased to 4÷6 times greater than that of the free cisplatin. The enhancement of anticancer efficiency was realized by the adherence of SW-CNH to the cells, which would increase the local concentration of cisplatin leading to effective cell killing. Similar results have been obtained also in vivo experiment; the cisplatin-SW-CNH suppressed the tumor growth more than free cisplatin in mice. The cisplatin-SW-CNH complex stayed in the tumor tissues for a considerable period, up to 25 days, which contributed to the higher anticancer efficiency compared to the drug alone. The interaction between CNH and cisplatin has been investigated by MD simulations [272]: it was found that when the drug is absent, the CNH contains on average 36 water molecules, while when cisplatin is included most of water molecules were expelled out to the bulk. The calculated binding free energy indicated that the inclusion of the cisplatin inside the CNH was thermodynamically favorable in water, mostly due to van der Waals and electrostatic interactions.

The covalent interaction between cisplatin and GO functionalized with polyethylene glycol (PEG, GO-PEG) was investigated in vitro against several cell lines [270]. Cisplatin-GO-PEG system demonstrated a controlled in vitro release of the drug and exhibited remarkable toxicity to MCF-7 and CAL-27 cells and the drug vehicle resulted nontoxic.

The FT-IR and TEM investigation of interaction between cisplatin and functionalized MW-CNT with carboxyl (-COOH) group proved that the surface of MW-CNTs is coated with cisplatin and that the release of platinum is higher from functionalized MW- CNTs than MW-CNTs [271].

Cisplatin was also loaded on GO with two regulatory sequences, BRCA1 (Breast Cancer Type 1 susceptibility protein) and BRCA2 (Breast Cancer Type 2 susceptibility protein), synthesized from ssDNA [268]: the efficiency of GO-cisplatin complex in quenching of the sequence synthesized was significantly reduced compared to cisplatin [268].

Fullerene  $C_{60}$  was loaded with cisplatin in in physiological solution (0.9% NaCl) [273] to determine the mechanism by which this mixture can potentially exert a biological synergy. Two type of structures was found: (*i*) the island-like growth was obtained by the deposition of cisplatin from physiological solution, (*ii*) the filament-like nanostructures was acquired by deposition from the mixture of fullerene with cisplatin in the same solution. The isothermal titration calorimetry (ITC) showed that the complexation had a purely entropic character. Finally, DFT calculations confirmed the stability of these complexes. As far as carboplatin is concerned, adsorption and desorption on MW-CNT was experimentally studied [267]: carboplatin-filled MW-CNTs in vitro inhibited growth of bladder cancer cells whereas, unfilled, open MW-CNTs barely affected cancer cell growth.

In summary, all CNMs cisplatin system demonstrated higher in vitro activity than cisplatin alone: also, in vivo testing showed that, cisplatin-CNMs systems, in particular SW-CNH, had higher

anticancer effect than the free cisplatin [57,265,266,274]. However, it seems that also CNMs had certain anticancer effects compared to simple saline injection [265].

The interaction between platinum-based drugs and CNM has been extensively studied also from a theoretical point of view [273,275–285]. DFT calculations showed formation of a stable complex between CNH and cisplatin [275], moreover the calculated NMR (Nuclear Magnetic Resonance) chemical shifts (<sup>1</sup>H and <sup>15</sup>N) exhibits a shift of ~20 ppm when cisplatin was adsorbed to CNH that was experimentally checked. Similar results were observed when CNH was replaced by SW-CNT [276] or oxidized SW-CNT [278]. The inclusion of cisplatin on SW-CNT was found to be energetically favorable ( $E_{ads} = -5.0$  kcal mol<sup>-1</sup>) [277]. Moreover, calculated Raman spectra showed a decrease of the wavenumber in the spectral range of the radial breathing modes with the insertion of the cisplatin molecules in excellent agreement with experimental data [277]. Capped and opened SW-CNTs of different diameters can also adsorb strongly cisplatin [285], as revealed by DFT calculations, which showed that the parallel orientation relative to SW-CNT surface was preferred. Binding energies are dependent on SW-CNT curvature. Moreover, cisplatin changes shape when encapsulated into CNTs' whose diameters are less than 7.6 Å and it is destroyed when placed into the narrowest CNT (8,0) with the formation of Pt,  $Cl_2$  and  $N_2H_5 \cdots H \cdots CNT$  (8, 0). The simulated <sup>195</sup>Pt-NMR spectra reveal that Pt chemical shift is sensitive to SW-CNT's diameter and is linearly correlated to confinement energies: <sup>195</sup>Pt-NMR could be a useful technique to control the amount of cisplatin loaded in SW-CNTs [285].

The interaction between cisplatin and graphene prototypes was studied in detail using DFT-symmetry adapted perturbation theory (DFT-SAPT) and second order Møller–Plesset perturbation theory (MP2) calculations [279]. The dispersion corrected MP2 (MP2C) potential energy surface scan of the cisplatin-pyrene dimer distance revealed that parallel orientations showed the strongest interaction (as also found by Hosni Z. et al. [285]). These calculations were used as reference data to validate different DFT levels: the best DFT functional reproducing MP2C energy resulted to be PBE-D3(BJ) [160,190–192] while poor results were obtained with pure PBE [190–192], B3LYP [286], B3LYP-(D3(BJ)) [160,286] and M06-2X [186,187] functionals, which account for different percentages of Hartree–Fock exchange. In particular, the B3LYP and PBE underestimate the reference MP2C energy profiles. The inclusion of the dispersion correction leads to better agreement with the reference MP2C energy profiles although the B3LYP-(D3(BJ)) provides a systematic overestimation. The M062X functional display a systematic underestimation of the reference MP2C energy profiles. The best functional was finally used to study the interaction between cisplatin and larger graphene prototypes, in particular ovalene ( $C_{32}H_{14}$ ), as t represents a good compromise between computational cost and accuracy. Moreover, the adsorption enthalpy of cisplatin on graphene prototype was particularly favorable ( $\sim -20$  kcal mol<sup>-1</sup>) being twice that estimated for the corresponding benzene adsorption.

A "carbon nanotube bottle" (CNB) built by capping a MW-CNT with gold nanoparticles [57] showed a higher drug loading in respect to open SW-CNT reported in literature [274]. Such carriers were shown to deliver cisplatin to cells under physiological conditions. Approximately 89% and 93% of encapsulated cisplatin was released at pH 7.4 and 5.5 during 6 h, respectively in agreement with literature data [274], in which drug release is reported after 48 h. The kinetics of release of cisplatin from MW-CNT capped by magnetic nanoparticles (MNP) was studied by MD simulations and experimentally [280]. When the MNP are detached from the nanotube tips, the initially encapsulated cisplatin molecules diffuse out of the nano-tube interior. The release of cisplatin was found to be an activated process with the activation barrier of 6 kcal  $mol^{-1}$  in this ideal system where, however by experimental point of view, this activation energy is  $\sim 20 \text{ kcal mol}^{-1}$  [57]. This discrepancy can be attributed to the existence of numerous surface defects in the case of experimental system. Similar discrepancy was also found for the release of cisplatin calculated by Ficks equation: it predicts that release is completed in few milliseconds while the experiment shows that the process is much slower (~3 h) [280]. The study on the stability of these carbon nano-containers (MW-CNT-MNP) in water revealed that it depends on the direction of the collision and the MW-CNT-MNP are always stable and the intermolecular collisions do not lead to leakage of drug molecules [281]. The estimated range of intermolecular

interactions suggests that the current architecture of the nano-containers is unstable in terms of colloidal

stability. Further functionalization of nano-tubes sidewalls is necessary in order to reduce strong hydrophobic interactions between nano-containers [281]. The optimization of nano-containers based on MW-CNT capped with magnetic nano-particles by surface functionalization was then evaluated by MD simulations [282]. Surface modifications proposed were: adsorption of colloid nano-particles; covalent functionalization of polar amide groups. These differently modified sidewalls provided distinct energy profiles associated to the decapping/capping processes. The non-covalent approach turned out to be too weak to make the whole system stable for a long time and resulted not to be preferred in the design of magnetically triggered drug delivery systems. On the contrary, covalent modification provided the required energetic balance between the capped and uncapped forms.

MD simulations were also employed to study the diffusion of a cisplatin-loaded SW-CNT through a cell membrane [283]. Free energy profiles of the diffusion of SW-CNT with several cisplatin molecules adsorbed inside were obtained. Moreover, the simulation indicated the release of cisplatin near the cell membrane model is extremely rapid. The first molecules were released in 2.5 ns while the second one in 5.3 ns. The authors explain this rapid extraction by the nanocarrier solvation. The SW-CNT diffuses easily close to the lipid bilayer due to its hydrophobicity, and the water molecules are progressively released, allowing the cisplatin molecules to exit.

The delivery of cisplatin from different type of NTs such as carbon, boron nitride and silicon carbide forced by Ag-nano-wire was studied by MD simulations to understand the effects of diameter, chirality, and composition of the nano-tube [284]. Results indicated that NT composition and diameter are more important than the chirality and temperature of the system. The composition plays an important role; silicon carbide NTs have the lowest efficiency for drug release, due to their stronger interaction with the drug. Moreover, the release process is mainly thermodynamically controlled rather than kinetically controlled (i.e., no energetic barriers for the release process are found).

#### 4.2. Doxorubicin

Doxorubicin (DOX) (Figure 13) is a widely used anticancer drug that belongs to a class of compounds with similar structures, called anthracyclines [287]. DOX has shown great efficacy in killing cancer cells for in solid and liquid tumors. This molecule presents two confirmed mechanisms of action: (*i*) intercalation into DNA bases with consequent inhibitions of the topoisomerase-II enzyme, (*ii*) generation of free radicals, causing oxidative damage to cellular membranes, proteins and DNA [287]. However in spite of the antitumor activity, DOX presents several undesired side effects [288–290] and the use of nanocarriers could improve its pharmacological properties. For this reason, interaction between DOX and carbon-based materials have been subject of numerous studies [101,137,291–297] in order to assess their application as nanocarriers.

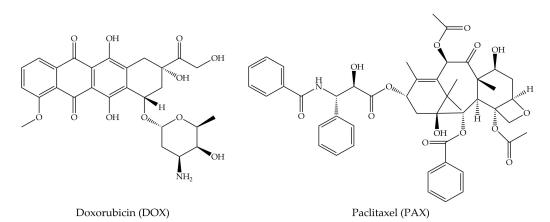


Figure 13. Doxorubicin and paclitaxel anticancer drugs.

The adsorption and desorption of DOX on oxidized MW-CNT indicated a high LC of this material even though the process was quite slow [293]. In the same work, the desorption process was shown to be pH dependent, and at pH 7.4 this process occurred in very small quantities, while at pH = 5.5 an increase in the quantity of desorbed DOX was observed. The presence of serum proteins facilitated the desorption of DOX significantly thanks to the formation of strong bond with oxidized MW-CNT with an occupation of the surface.

DOX resulted to be efficiently adsorbed by GO following a Langmuir isotherm with a maximum LC of 1428.57 mg g<sup>-1</sup>, kinetics fits to a PSO model [295]. Moreover, it was found that adsorption was spontaneous and endothermic, thus also in this case the process was entropy-driven. The same authors [296] studied the cytotoxicity of GO and GO-DOX on human multiple myeloma cells. The results showed that GO-DOX system significantly inhibited cell proliferation as compared with pure DOX. Moreover, the authors found that GO caused low cytotoxicity and did not affect the antitumor activity of DOX. In conclusion, GO seemed to be suitable as nano-carrier for an anticancer drug with potential use to treat hematological malignancies.

The adsorption of DOX on PEG-functionalized MW-CNTs (MW-CNT-PEG) was investigated by Farahani et al. [137]. Contrarily to the GO-DOX system [295], adsorption, which followed a PSO kinetics, is better described by the Freundlich model, suggesting the presence of an heterogeneous nature of the interaction sites. The release of DOX from MW-CNT-PEG was pH dependent and the release rate was much higher at pH 5.5 than that at pH 7.4 in agreement findings in Wang Y. et al. [293].

Adsorption on GO was characterized using transition electron microscopy, zeta potential, Raman spectroscopy, UV–vis spectroscopy, and FT-IR spectroscopy [101]. The authors showed that the optimized pH and temperature to load a largest amount of DOX was 7.8 and 309 K.

MD simulations were employed to study DOX adsorption on functionalized SW-CNT in water [298]: DOX could be entrapped within the CNT, attachment to the sidewall of the SW-CNT, and adsorption on the SW-CNT inner cavity. Simulation results indicated that Langmuir isotherm could predict the adsorption behavior more accurately than Freundlich one [298].

MD simulation of the adsorption process of DOX onto graphene and GO showed that the interaction becomes stronger with the increase of the surface density of oxygens [299]. The influence of pH on the DOX interactions resulted to be negligible in acidic or neutral conditions, while more significant interactions occurred at basic pH and in the presence of a certain oxygen density to carbon (O/C) ratios of 1:6 and 1:3. Moreover, the adsorption and release of 24 DOX molecules on the GO surface at variable pH were simulated, showed that pH = 7 is optimal for loading while pH = 5 is better for drug release [299].

MD simulations were also useful for studying the adsorption of DOX and functionalized graphene, in particular comparing hydroxyl (-OH), carboxyl (-COOH), methyl (-CH<sub>3</sub>) and amine (-NH<sub>2</sub>) groups [300]. The results showed that G-COOH adsorbed DOX more effectively in comparison to other functionalized graphene thanks to a higher binding energy.

A recent MD work where DOX adsorption on SW-CNT was studied [301] provided several interesting conclusions: (*i*) armchair, zig-zag and chiral nano-tubes with a 14 Å diameter present optimal DOX–SW-CNT interactions; (*ii*) the encapsulated DOX interacts more strongly with the SW-CNT (as also found for other drugs) [214]); (*iii*) the presence of bumpy defects on the SW-CNT influence the DOX–SW-CNT interactions, depending on the SW-CNT chirality; (*iv*) PEG functionalization favors interactions with DOX; (*v*) formation of a double  $\pi$ – $\pi$  interactions of the encapsulated DOX can be established, depending on the SW-CNT diameter; (*vi*) DOX–SW-CNT interactions can induce deformation of the SW-CNT.

Adsorption and encapsulation of DOX on covalently functionalized SW-CNT was studied by MD simulations and DFT calculations [302]: functionalization seemed to increase the LC of SW-CNT; moreover, LC was increased when the DOX molecules were non-protonated. It was concluded that, intersection of carboxyl and amine functionalization can be used to design a pH sensitive drug carrier

where their protonation in acidic condition can decrease the electrostatic interactions of the loaded drug with the functionalized SW-CNT and as a result can promote the drug release.

DFT and ab-initio MD simulations were employed also to study the adsorption of DOX also on graphene [303] and a binding energy of ~11.5 kcal mol<sup>-1</sup> was found for the most stable configuration.

The interaction between DOX and graphene or GO was studied using experiments and DFT calculations [304]. Results showed that graphene is a better support for DOX in respect to GO thank to the formation of strong  $\pi$ - $\pi$  stacking interactions. Moreover, DOX has been placed in the two type of region the sp<sup>2</sup> and sp<sup>3</sup> region (due to the presence of O and OH group). The calculations showed that DOX interacts stronger with sp<sup>2</sup> region than sp<sup>3</sup> region where the binding site is characterized by  $\pi$ - $\pi$  and H-bonding interactions, respectively.

#### 4.3. Paclitaxel

Paclitaxel (PAX, Figure 13) is an anticancer drug targeting  $\beta$ -tubulin and interferes with the cancer cell division process by inducing cell cycle arrest and the programmed cell death [305]. Recently, numerous studies were aimed at developing nano-carriers to deliver PAX to overcome its major drawbacks (low solubility, diffusion from cells and side effects) [306]. Some examples include PAX conjugation to PEG-coated SW-CNTs [307], loading through non-covalent interactions to PEGylated hydrophobic carbon clusters which associates to an antibody to obtain a targeted delivery [308].

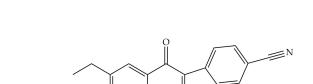
Rezaian et al. [309] studied the co-adsorption and release of DOX and PAX by SW-CNT, fullerene, and GO in combination with N-isopropylacrylamide. Results of MD simulations indicate that SW-CNT is a better carrier for the co-adsorption and co-release of DOX and PAX in respect to fullerene and GO.

The interaction between PAX and different graphene materials, such as graphene GO and functionalized GO with chitosan (GO-CS),was studied by MD simulations [310]. The interaction between PAX and graphene is stronger in respect to other system studied and the  $\pi$ - $\pi$  stacking and hydrophobic interactions are the main driving forces for the adsorption of the drug. For the GO-CS and GO, the main driving forces for the adsorption was the formation of H-bond, and the number of H-bond is higher in GO-CS than in GO, consequently chitosan in improving the adsorption of the drug onto nanomaterial. The same authors investigated adsorption mechanism of PAX on pristine and functionalized SW-CNT; three different type of group were investigated, in particular PEG, carboxyl group (-COOH) and amine group (-NH<sub>2</sub>) [311]. In the latter system, PAX was adsorbed in non-functionalized SW-CNT through by  $\pi$ - $\pi$  interactions while polar interactions are the main driving forces for the adsorption of van der Waals energy and the number of contacts indicate that the PEG-SW-CNT system has the best performance in drug adsorption. Moreover, the functionalization increases the solubility of SW-CNT thanks to the formation of H-bonds between functional groups of SW-CNTs and water molecules.

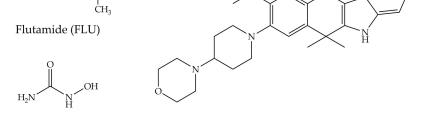
Computational results showed that the PAX drug could be adsorbed by  $\pi$ – $\pi$  stacking in non-functionalized SW-CNT while polar interactions are the main driving forces for the adsorption on functionalized SW-CNT. The calculation of Van der Waals energy and the number of contacts indicate that the PEG-SW-CNT system has the best performance in drug adsorption. Moreover, the functionalization increases the solubility of SW-CNT thanks to the formation of H-bonds between functional groups of SW-CNTs and water molecules.

#### 4.4. Other Cytostatics

Flutamide (FLU) (Figure 14) is a non-steroidal, synthetic, and antiandrogenic drug widely used in prostate cancer [312]. FLU was found to block the action of both endogenous and exogenous testosterone and, in addition, to be a potent inhibitor of testosterone-stimulated prostatic DNA synthesis. Moreover, it is capable of inhibiting prostatic nuclear uptake of androgen [313].



Alectinib (ACB)



Hydroxyurea (HUR)

Figure 14. Flutamide, hydroxyurea, alectinib anticancer agents.

Adsorption of FLU on SW-CNT's was studied by two articles of published by Kamel et al. [314,315]. In the first work [314] adsorption of FLU on SW-CNT was investigated by MD simulations and DFT calculation. The latter (employing B3LYP functional) calculations were carried in gas phase and water, represented by a polarized continuum (PCM) model [316]. The latter approach is one of the most currently employed continuum solvation methods [317] in quantum chemical calculations to introduce solvent effects. Solvent effect becomes important for accurate estimations of thermochemical and spectroscopic properties (see for example [257,318,319]). However, it should be underlined that with these methods H-bonding between solute and solvent is somewhat underestimated [261,319–321]. In MD simulations the effect of the ethanol (EtOH) as a co-solvent in different concentrations (1, 0.5 and 0.1 M) have been investigated. EtOH is considered as a model to explore the balance of hydrophobic interactions and H-bonds in the biological systems [322]. DFT calculation showed that the negative values of the  $E_{ads}$  and solvation energies ( $E_{sol}$ ), the difference between the energy obtained in solution and the energy obtained in gas, demonstrate that all studied configurations are stable. On going from the gas phase to water, an increase of the polarity of the considered configurations were observed, this is a desired property for drug delivery systems in the human body. Moreover, natural bond orbital (NBO) analysis showed that adsorption involves a charge transfer from the SW-CNT to FLU MD simulations indicated that the presence of 0.5 M of ethanol solution increased the stability of the simulation system.

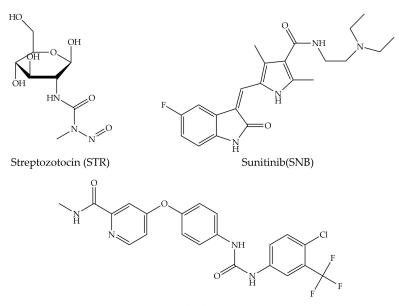
In the second work [315], the interaction between FLU and functionalized SW-CNT with carboxylic acid group ware investigated, again, by DFT calculations and MD simulations.

DFT calculations reveals that FLU is physisorbed on the surface. The formation of H-bond between FLU and COOH group of functionalized SW-CNT had an important role in the stabilization of the adduct. MD simulations were useful for to examine the effect of SW-CNT chirality on the adsorption process. The results indicated that FLU on the SW-CNT with presented a (10, 5) chirality as reflected by the most negative  $E_{ads}$  and a high number of H-bonds between the functionals group of SW-CNT and drug molecules.

Hydroxyurea (HUR) (Figure 14) is another anticancer drug used in the treatment of several types of tumors [323]. The interaction between this drugs and carboxyl-functionalized SW-CNT were investigated using DFT calculations [324]. DFT calculations indicated that the complex formation is energetically favorable and it is exothermic as demonstrated by the enthalpy energy values. In addition, the H-bonding between -COOH and HUR play an important role for the different kinds of adsorption observed.

The interaction between new anticancer drug alectinib (ACB) (Figure 14) and SW-CNT have been studied using DFT calculations [325]. The results reveal that the adsorption of ACB over the SW-CNT change the calculated UV-vis spectra, in particular, the value  $\lambda_{max}$  was shifted from 261 to 452 nm and it is a bathochromic shift. Similar results have been obtained from calculated NMR spectra. Finally, the adsorption is governed by non-bonded interaction.

The interaction between SW-CNT or their functionalization (with valine or phenylalanine moieties) and three anticancer drugs sorafenib (SRF), streptozotocin (STR) and sunitinib (SNB) (Figure 15) have been investigated by MD simulations [326]. SRF and SNB are a dual-action inhibitor that targets the RAF (rapidly accelerated fibrosarcoma)/MEK (mitogen-activated protein kinase kinase)/ extracellular signal-regulated kinase (ERK) pathway in tumor cells and tyrosine kinases VEGFR (vascular endothelial growth factor receptor)/PDGFR (platelet-derived growth factor receptors) in tumor vasculature. Although the mechanism was not fully elucidated and may vary between cell lines, a commonly observed theme is the inhibition of phosphorylation of the initiation factor eIF4E and loss of the antiapoptotic protein myeloid cell leukemia-1 (MCL-1) [327–329].



Sorafenib (SRF)

Figure 15. Streptozotocin, sunitinib and sorafinb anticancer agents.

STR is an alkylating agent [330], the alkylating activity is related to its nitrosourea moiety. Moreover STZ is a nitric oxide (NO) donor and is liberated when STR is metabolized inside cells, NO-synthase protein is not required; this molecule contributes to STR induced DNA damage. However, there is so evidence that NO is not the only molecule responsible for the cytotoxic effect, indeed STR generate reactive oxygen species, which also contribute to DNA damage [331].

MD results showed that the type and the position of functional group influenced the van der Waals interaction energy between drugs and SW-CNT and the adsorption of drug molecules on its surface [326]. These three drugs interact with SW-CNT by  $\pi$ - $\pi$  stacking and H-bonding, however for STR the  $\pi$ - $\pi$  stacking are less strong. Results suggest that altering the functionalization of the nano-tube surface can affect the drug-nano-tube interaction [326].

#### 5. Conclusions and Outlook

The interaction of pharmaceutical drugs with carbon nanomaterials has been studied in the last two decades in a high number of experimental and computational works. As far as the latter approach is concerned, quantum mechanical methods and classical MD simulations have been demonstrated to be able to provide data on drug-CNM interactions at different scales.

In general, drug-CNM interactions are non-covalent and especially van der Waals forces acting between the aromatic surface and the adsorbed drug are driving the process with non-modified CNM. This should be considered when choosing the type of functional in DFT calculations, by preferring dispersion-corrected ones. The effect of pH needs to be also taken into account when running calculations for two main reasons: (*i*) the drug indeed can be distributed among differently protonated

species which, in principle, have different adsorption properties; (*ii*) the presence of ionizable groups alters the surface charge. Free energy calculations suggest that desolvation upon adsorption/desorption is important, and thus the associated entropic contribution, as also observed from several available experimental and theoretical thermodynamic data (negative  $\Delta G_{ads}$  and positive  $\Delta H_{ads}$ ).

Finally, a good agreement between experimental and theoretical data has been observed, for: (*i*) values of  $E_{ads}$  in most of the cases; (*ii*) values of  $\Delta G_{ads}$ ; (*iii*) trends in IR, Raman, UV-vis and NMR spectra; *iv*) sorption and desorption time; (*v*) type of interaction ( $\pi$ - $\pi$  stacking, H-bond, electrostatic) involved in the adsorption process; (*vi*) the adsorption isotherm and kinetic model used to fit the data; (*vii*) influence of pH and solvent in the adsorption process. However, some discrepancies between experimental and theoretical data are found, in particular they seem to be due to the application of ideal models used in the experimental works, which do not take into account defects in the surface of the CNMs or the presence of supramolecular structures.

Therefore, computational studies provide a useful tool for investigating such systems and obtain molecular level details of the observed phenomena, and ultimately provide indications to guide CNM-bases nano-carriers development design. As far as MD simulations are concerned, the availability of more powerful high performance computing platforms allows to treat increasingly complex systems along with longer simulation times.

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