# Experimental Investigation of Grout Nonlinear Flow Behavior through Rough Fractures

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Keywords: Reynolds number, critical grout hydraulic gradient, grout nonlinear flow, normalized transmissivity

#### Abstract:

This research experimentally analyzed the impacts of various water cement (W/C) ratios of ultrafine cement grout material and normal loads FN applied to fractures on grout nonlinear flow behavior through a rough plexiglass fractured sample. An effective self-made apparatus was designed and manufactured to conduct the stress-dependent grout flow tests on the plexiglass sample containing rough fractures. At each W/C ratio, the grout pressure P increased from 0 to 0.9 MPa, and the normal loads FN ranged from 666.3 to 1467.8 N. The results of the experiments indicate that (1) the Forchheimer's law can be used to express the results of grout nonlinear flow through rough fractures. Moreover, both nonlinear coefficient a and linear coefficient b in Forchheimer's law decreased with the increase of the W/C ratio, but increased with the increase of the FN value. (2) For normalized transmissivity, with the increase of Re, the decline of the T/T0?Re curves means that the grout flow behavior through the fracture mainly went through three stages: the viscosity effect, then the weak inertia effect, and finally the strong inertia effect. The three stages showed that with the increase of Re, the grout flow state changed from linear to nonlinear. Moreover, with the increase of the W/C ratio, the Forchheimer coefficient ? decreased. (3) At a given FN, the critical grout hydraulic gradient Jc decreased, but the critical Reynolds number Rec increased as the W/C ratio increased; at a given W/C ratio, Jc increased, but Rec decreased as FN increased.

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Article

# **Experimental Investigation of Grout Nonlinear Flow Behavior through Rough Fractures**

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**Abstract:** This research experimentally analyzed the impacts of various water cement (W/C) ratios of ultrafine cement grout material and normal loads  $F_{\rm N}$  applied to fractures on grout nonlinear flow behavior through a rough plexiglass fractured sample. An effective self-made apparatus was designed and manufactured to conduct the stress-dependent grout flow tests on the plexiglass sample containing rough fractures. At each W/C ratio, the grout pressure P increased from 0 to 0.9 MPa, and the normal loads  $F_N$  ranged from 666.3 to 1467.8 N. The results of the experiments indicate that (1) the Forchheimer's law can be used to express the results of grout nonlinear flow through rough fractures. Moreover, both nonlinear coefficient *a* and linear coefficient *b* in Forchheimer's law decreased with the increase of the W/C ratio, but increased with the increase of the  $F_N$  value. (2) For normalized transmissivity, with the increase of Re, the decline of the  $T/T_0$ -Re curves means that the grout flow behavior through the fracture mainly went through three stages: the viscosity effect, then the weak inertia effect, and finally the strong inertia effect. The three stages showed that with the increase of Re, the grout flow state changed from linear to nonlinear. Moreover, with the increase of the W/Cratio, the Forchheimer coefficient  $\beta$  decreased. (3) At a given  $F_N$ , the critical grout hydraulic gradient  $J_c$  decreased, but the critical Reynolds number  $Re_c$  increased as the W/C ratio increased; at a given *W/C* ratio,  $J_c$  increased, but  $Re_c$  decreased as  $F_N$  increased.

**Keywords:** grout nonlinear flow; normalized transmissivity; critical grout hydraulic gradient; Reynolds number

# 1. Introduction

Fractured rocks are widely distributed in underground engineering due to geological processes or excavation disturbance, which brings great safety hazard to engineering stability [1–4]. Grouting is an effective method for controlling groundwater inrush and improving the mechanical properties of fractured rocks in underground mining and engineering [5–9]. In order to acquire a better understanding of the grouting mechanism of fractured rock, many experimental studies have been performed in recent decades. For example, Sui, Liu [10] experimentally studied the sealing effect of permeation grouting into a sample fracture and the patterns of grout propagation under water flow conditions. They analyzed the effect of different parameters on the sealing effect, including the initial water flow

speed, fracture aperture, grout take and gel time. Lee, Bang [11] investigated the reinforcement effect of jointed rock masses after grouting. The results show that after grouting, the stiffnesses of the filled joints were increased up to six times over those of the un-grouted joints, which means that the mechanical properties of the jointed rock masses after grouting were significantly improved compared to before. Funehag, Fransson [12] conducted extensive experimental studies and field measurements on the penetration grouting into fractured natural rocks, and obtained the diffusion radius of grout, taking the grout pressure and fracture aperture into account. Except for experimental studies, Kim, Lee [13] carried out many numerical analyses based on the UDEC to simulate the grout diffuse through smooth rock fractures. The results showed that the time-dependent evolution of the grout properties had important impacts on grout flow characteristics: if not considering the time-dependent hardening of grout material, the penetration length of grout would be overestimated, and meanwhile, the fracture opening caused by grout pressure also has an obvious effect on the penetration length of the grout and injected grout volume. Hässler, Håkansson [14] built a model to simulate grout propagation in fractured rock considering the time-dependent properties of the grout.

However, the research on grouting mechanisms has mainly focused on the grouting reinforcement and seepage prevention effect for the fractured rocks after grouting (e.g., permeability, mechanical properties, and sealing effect of grouted fractured rocks, as well as the penetration length and diffusion radius of the grout). There was less research on the flow behavior of cement grout through the rock fractures during the grout flow process before grout hardening. For the flow behavior through fractures, the studies are mostly concentrated in the water flow through fractures [15–22]. Many studies assumed that fluid flow through the fracture followed the cubic law, meaning a linear relationship between fluid pressure gradient and flow rate [23,24]. This cubic law is only applicable to laminar flow through the smooth fracture. For a higher fluid pressure gradient or more complex fractures in nature, fluid flow may show the nonlinear characteristics. Many experimental studies have been carried out for explaining the issue. Yin, Ma [25] studied the effects of shear processes on nonlinear flow behavior through 3D rough-walled rock fractures, which showed that the relationship between the volumetric flow rate and hydraulic gradient can be well analyzed by using Forchheimer's law, with the hydraulic pressure ranging from 0 to 0.6 MPa, and the normal load varying from 7 to 35 kN. Rong, Hou [26] experimentally studied the nonlinear flow characteristics in non-mated rock fractures under normal stresses ranging from 1.0 to 5.0 MPa, which obtained the nonlinear flow characteristics parameters such as the critical Reynolds number, Forchheimer's linear coefficient, and nonlinear coefficient. Zhang, Nemcik [27] studied the fluid flow regimes and nonlinear flow characteristics through both mated and non-mated sandstone fractures in triaxial cell under with confining stress from 1.0 to 3.5 MPa. The regression analyses of experimental data showed that both Forchheimer's equation and Izbash's law provided nice descriptions for the nonlinear fracture flow process. Dippenaar, Rooy [28] conducted the research on the cubic law and variably saturated flow through discrete open rough-walled discontinuities. They found that the roughness and aperture of open fractures have important effects on the fluid flow behavior, and that the fluid flow may deviate from the cubic law, which resulted in the nonlinear fluid behavior. From these studies, it can be seen that the stress and fracture morphology have important effects on the water flow behavior through fractures. However, in regard to grout flow through fractures, the stress-dependent nonlinear flow mechanisms through the rough fracture are still not fully understood. This flow mechanism of grout through the fracture directly affected the final grout diffusion, and thus the reinforcement and anti-seepage effects of fractured rock mass. Therefore, the flow characteristics of grout in rock mass fractures with different grout pressures and normal loads should be concerned.

In this paper, the stress-dependent nonlinear flow behaviors through the rough fracture were investigated. In order to carry out the grout flow experiments on the plate samples with fractures made of high transparency plexiglass, an effective self-made apparatus was designed and manufactured. The plexiglass sample ( $490 \times 120 \times 20$  mm in size) which contains a rough fracture for D = 1.5 (the fractal dimension D was used to characterize the fracture rough morphology) was prepared. The

fracture rough morphology was cut by using a high-precision laser-cutting machine. A series of tests of cement grout flow through the rough fracture were performed with different grout pressures varying from 0 to 0.9 MPa, different normal loads ranging from 666.3 to 1467.8 N, and different W/C ratios (0.8, 1.0, 1.2, 1.5, and 2.0) of the ultrafine cement grout. From the test results, the cement grout nonlinear flow behavior through rough rock fractures were studied. The nonlinear flow behavior (*J*-*Q*), the nonlinear flow regime, nonlinear and linear coefficients (*a* and *b*), normalized transmissivity (*T*/*T*<sub>0</sub>-*Re*), Forchheimer coefficient ( $\beta$ ), critical hydraulic gradient (*J*<sub>c</sub>), and critical Reynolds number (*Re*<sub>c</sub>) of grout flow through fractures were obtained.

# 2. Theoretical Background

#### 2.1. The Cube and Forchheimer's Law

For Newtonian fluid (e.g., water), the flow behavior through rock fracture is governed by the Navier–Stokes (NS) equations and the mass conservation equation, which is shown as follows [29]:

$$\rho(\mathbf{u} \cdot \nabla)\mathbf{u} = -\nabla P + \mu \nabla^2 \mathbf{u} \tag{1}$$

$$\nabla \cdot \mathbf{u} = 0 \tag{2}$$

In which **u** represents the flow velocity vector;  $\rho$  represents the fluid density;  $\mu$  represents the dynamic viscosity, and *P* represents the total hydraulic pressure. Nevertheless, the theory of flow through rough rock fractures has not been widely used because of the fracture roughness and nonlinear flow characteristics [30]. The existence of the complex nonlinear partial differential equations and irregular rock fracture geometry makes it very difficult to solve the NS equation through real rough fractures [31,32]. In order to simplify the calculation, some flow equations with assumed conditions were proposed, among which the best-known equation is the cube law, which neglects the inertial terms of fluid flow behavior [33].

The characteristic of the natural rough fractures under stress conditions makes the actual flow rate seriously inconsistent with the result obtained by using the cubic law mentioned above, and may cause the nonlinear flow behavior of fluid. Forchheimer's law was accepted by most researchers for studying the fluid nonlinear flow behavior [34–36]:

$$-\nabla P = AQ^2 + BQ \tag{3}$$

In which *A* and *B* represent the pressure drop components that are caused by nonlinear and linear effects, respectively. These two coefficients are related to the fracture geometry and hydraulic gradient. In this paper, it is worth noting that although the grout is also a liquid before hardening, the flow behavior of grout through the rock fracture is more complex than the water: because of the existence of the cement in grout, the physical properties of grout (e.g., viscosity and density) are obviously different from those of water. From the theory of hydraulics, Equation (3) can be converted to:

$$J = aQ^2 + bQ \tag{4}$$

where *J* is the hydraulic gradient;  $a = -A\rho g$  and  $b = -B\rho g$ .

#### 2.2. Normalized Transmissivity

The transmissivity *T* was used to assess the nonlinear flow regimes of fractured rock samples [37,38]. *T* is a constant in Darcy's law. However, in this study, for grout nonlinear flow through rough fractures with different W/C ratios and normal loads  $F_N$ , *T* was variable:

$$-\nabla P = \frac{\mu}{T}Q\tag{5}$$

For evaluating the flow behavior through the rock fractures, using the Reynolds number (*Re*) (ratio of inertial forces to viscous forces) to quantify the onset of nonlinear flow:

$$Re = \rho Q / \mu w \tag{6}$$

In order to analyze nonlinear flow behavior through the fracture,  $T_0$  and T were introduced in the research [37], in which the Forchheimer formula (Equation (3)) was rewritten:

$$\frac{T}{T_0} = \frac{1}{1 + \beta Re} \tag{7}$$

where  $T_0$  (the flow rate was so low that the inertial force was negligibly small) refers to the intrinsic transmissivity, and *T* refers to the apparent transmissivity.  $\beta$  is the Forchheimer coefficient, which is expressed as  $\beta = aw\mu/(b\rho)$ .

#### 2.3. Critical Grout Hydraulic Gradient and Reynolds Number

As is known to all, the flow regime does not conform to the linear Darcy's law under higher fluid flow velocity (higher pressure gradient  $\nabla p$ ) [39–41]. In order to further illustrate the non-Darcy flow mechanism of fluid flow through rough fractures and quantify the degree of the nonlinear flow effect, a factor *E* was proposed by Zeng and Grigg [42]:

$$E = aQ^2 / \left(aQ^2 + bQ\right) \tag{8}$$

where  $aQ^2$  and bQ were the energy losses caused by the inertial and viscous dissipation in the fractures, respectively. The factor *E* expressed the percentage of the grout hydraulic gradient drop related to the nonlinear term  $aQ^2$  to the total grout hydraulic gradient. For grout flow through rock fractures, nonlinear flow effects may become more obvious when the grout flow velocity increased at the grout inlet. Previous studies have shown that in practical engineering, when the critical factor *E* was larger than 10%, the nonlinear term  $aQ^2$  can't be ignored [43,44]. Therefore, E = 0.1 was selected to assess the flow regime through fractures, and the corresponding *J* and *Re* are called the critical hydraulic gradient *J*<sub>c</sub> and critical Reynolds number *Re*<sub>c</sub>, respectively.

# 3. Testing Program

#### 3.1. Grout Flow Testing System

A high-precision and effective sealing self-made apparatus was used to study the stress-dependent grout flow through fractured rocks (Figures 1 and 2). The experimental apparatus mainly contains three parts:

# (1) A platform for grout flow through fractured rocks

From Figure 1a,b, the thick bottom steel plate ( $500 \times 500 \text{ mm} \times 40 \text{ mm}$ ) can be used for the placement of the fractured rock sample. The normal loading device ( $480 \times 480 \times 20 \text{ mm}$ ) connected to the hydraulic jack provided the normal load  $F_N$  to the fracture containing high-strength springs. Due to the existence of springs, various fracture apertures were produced by different normal loads  $F_N$ . Meanwhile, the grouting pipes (5 mm in internal diameter and 9 mm in length) passing through the rectangular holes belonging to another loading device providing tangential force were inserted into the fracture and tightly sealed by using CR4305 chloroprene rubber [45–47], which ensured the sealing effect of the fracture and prevented the grout overflowing from the entrance of the fracture (Figure 1b). A plexiglass cover plate with high intensity and transparency (pressed under two adjustable bolt cover plates, as shown in Figure 1a,b) placed on the sample was used to balance the vertical grout pressure in the fracture, as well as the role in the seal of the fracture and the visual monitoring of the grout flow through the fracture. Before the test, the plexiglass fractured sample (490 × 120 × 20 mm in size)

containing a rough fracture for which D = 1.5 was placed on the platform, and then the horizontal and vertical loads were applied to the fractured sample boundaries.



**Figure 1.** (a) Schematic view of the grout flow testing system, (b) Actual platform for grout flow through fractured rock.

(2) Grout supply and real-time data and image acquisition system

In order to obtain the stable grout pressure, the high-precision nitrogen regulator was installed on the nitrogen tank to achieve stable nitrogen source pressure (Figure 2a). Then, the stable nitrogen drove the grout to flow at a steady pressure in a certain amount of time. The grout pressure *P* ranged from 0–0.9 MPa, which provided a different grout pressure gradient  $\nabla P$  required for the tests of grout flow through fractures. The real-time data acquisition equipment consists of the high-precision pressure transmitter, paperless recorder (Figure 2a), and high precision self-made electronic balance (see Figure 2b), which were used to collect the real-time grout pressure *P* and volumetric flow rate *Q*, respectively.

## (3) Load supply system

The horizontal (x- and y-directions) loads on the fractured rock sample were produced by using adjustable hydraulic jacks. The horizontal load device connected to the load jacks (pressure head) can apply normal uniform loads to the boundaries of the fractured rock sample. Moreover, the high-precision pressure sensors installed between the pressure head and the counterforce frames were used to feedback the values of the horizontal loads, thus guiding the adjustable hydraulic jacks to provide the fractured rock sample with accurate normal boundary loads. Vertical loads applied on the specimen surface created by the thicker transparent plexiglass cover plate were for balancing the vertical grouting pressure in the fracture, and then further sealing the fractured sample.



(a)



(b)

Figure 2. (a) Grout supply system, (b) Real-time data acquisition equipment.

# 3.2. Grout Material

Based on the cement properties in the experiments, the grain size distribution curves of three kinds of cement, including fly ash cement (P.F 32.5), ordinary Portland cement (P.O 52.5), and ultrafine cement

were shown in Figure 3. The results show that the average grain size of fly ash cement was the largest, and its grain mass percentage for grain sizes below 30 µm was only 18.2%, compared to 65.1% and 88.4% for the ordinary Portland cement and the ultrafine cement, respectively. These figures indicate that the grouts made of ultrafine cement can penetrate into smaller fractures more easily than the other two kinds of cement grouts, because the former contained substantial amounts of tiny cement grains. The ultrafine cement grouts have been widely used in the reinforcement for underground engineering in the past few decades due to its more stable physical and mechanical properties, greater range of strength adjustability, and better permeability, as well as non-polluting nature [48]. Therefore, the ultrafine cement grouts with W/C ratios of 0.8, 1.0, 1.2, 1.5, and 2.0 were selected in the experiment. The grout properties parameters including density and viscosity based on the grout properties tests were given in Table 1.



Figure 3. Grain size distribution curves of three kinds of cements.

**Table 1.** Ultrafine cement grout properties parameters.

W/C Ratios	0.8	1.0	1.2	1.5	2.0
$\frac{\rho \text{ (kg/m^3)}}{\mu \text{ (Pa·s)}}$	$1.660 \times 10^{3}$	$1.390 \times 10^{3}$	$1.340 \times 10^{3}$	$1.270 \times 10^{3}$	$1.250 \times 10^{3}$
	$9.379 \times 10^{-3}$	$5.600 \times 10^{-3}$	$3.680 \times 10^{-3}$	$2.200 \times 10^{-3}$	$1.130 \times 10^{-3}$

#### 3.3. Fracture Design

In order to better carry out a visualization study of the grout flow process through fractures and preset the roughness of plexiglass fractures similar to that of natural fractures, the plate fractured rock samples ( $490 \times 120 \times 20$  mm in size) made of high-transparency plexiglass were prepared. As is known to all, fracture morphologies are mostly irregular in practical engineering [49–52]. For simulating the irregular characteristics of fractures in nature, the fractal model for a single rough fracture based on the methodology was presented. The single fracture rough morphology was characterized by using the fractal dimension *D*, which was based on the Weierstrass–Mandelbrot fractal function [53]:

$$W(t) = \sum_{n = -\infty}^{\infty} (1 - e^{ib^n t}) e^{i\varphi n} / b^{(2-D)n}$$
(9)

where the parameter *b* is a real number larger than 1, which reflects the degree of deviation of the curve from the straight line.  $\varphi_n$  is an arbitrary phase angle, and the fractal dimension *D* ranges from 1 to 2. Taking the real part of the function *W*(*t*) as the fractal control function *C*(*t*):

$$C(t) = ReW(t) = \sum_{n = -\infty}^{\infty} (1 - \cos b^n t) / b^{(2-D)n}$$
(10)

where the function C(t) is a fractal curve which is continuous, non-differentiable, and D-dimensional. The fractal dimension satisfies:

$$D_{HB} - \left(\frac{B}{b}\right) \le D \le D_{HB} \tag{11}$$

where *B* denotes a constant.  $D_{HB}$  denotes the Hausdorff–Besicovitch dimension. The fractal curve  $C_i(t)$  with different fractal dimensions  $D_i$  were generated by using MATLAB, and then the fractal fractures (rough morphologies) were cut according to the function  $C_i(t)$  on the plexiglass mold by using the high-precision laser-cutting machine (Figure 4a). Fractal dimensions D = 1.0, D = 1.1, D = 1.2, D = 1.3, D = 1.4, and D = 1.5 represent different roughness of the fracture, in which D = 1.0 represents a flat and smooth fracture, and the higher the *D*, the higher the fracture roughness. In this paper, the fractal dimension D = 1.5 was chosen to represent the higher roughness of fractures (such as the plexiglass-fractured sample in Figure 4b). On the plexiglass sample, a reasonable setting of the sizes of inlet (outlet) and springs ensured the uniform distribution of normal loads on the sample boundary (see Figure 4b).



**Figure 4.** (**a**) High-precision laser-cutting machine, (**b**) High-transparency plexiglass plate fractured rock sample containing high strength springs.

# 3.4. Sealing of the Fractured Sample

In order to ensure that no air or grout leakage occurred under grout pressure conditions, sealing of the fracture in the sample was the key to the success of the grouting test. After many attempts, the "CR4305 chloroprene rubber" was selected in this experiment, as shown in Figure 4b. The CR4305 chloroprene rubber is a compressible and waterproof material, which is compressed under normal loads, thus further sealing the entrance and exit of the fracture. A piece of transparent crystal plate with a designed size and 2-mm thickness was attached on the fractured sample, and then the fractured sample with the transparent crystal plate was covered with a high-strength and transparent plexiglass cover plate of a designed size and 30-mm thickness. When the fracture was preliminarily pressed tight by the transparent cover plate, the sealed fractured rock sample was placed on the platform, next to which the horizontal loading device was used to apply horizontal loads to the sample. In the grout flow testing apparatus, the transparent plexiglass cover plate provided uniform and powerful vertical loads generated by two strong bolt cover plates to further seal the fracture and balance the vertical grout pressure in the fracture.

#### 3.5. Testing Procedure

The grout was stored in the grouting barrel connected to the nitrogen cylinder. Nitrogen adjusted by the high-precision nitrogen regulator can provide a stable propelling force for the grout, and thus

the stable grout pressure was obtained for a certain period of time. The fracture inlet grout pressure P was increased from 0 to 0.9 MPa at small intervals. The fracture outlet volumetric flow rate Q was derived when the quality change rates of grout flowing out of the fracture was roughly the same. The real-time grout quality was obtained by the high-precision electronic balance.

## 4. Results and Analysis

#### 4.1. Stress-Dependent Grout Flow Behavior through Rough Fracture

From the results of the experiments, there were obvious nonlinear characteristics in the relationship between J and Q. Therefore, the linear Darcy's law is no longer adequate to characterize the grout nonlinear flow behavior through the rock fracture. The relationship between the grout hydraulic gradient J and the volumetric flow rate Q of grout flow through rough fractures with different grout W/C ratios subjected to various  $F_N$  values ranging from 666.3 to 1467.8 N are displayed in Figure 5. Based on Equation (4), the experimental data were well fitted and analyzed by the Forchheimer law, as shown in Figure 5 and Table 2. The correlation coefficient values  $R^2$  for all cases were larger than 0.99, as given in Table 2, indicating that the experimental values agreed well with the fitting curves of the zero-intercept quadratic equation (taking Figure 5a as an example). Similarly, the second-order coefficients (the nonlinear coefficients) and first-order coefficients (linear coefficients) of all zero-intercept quadratic equations from Figure 5a to Figure 5e are shown in Table 2. From Figure 5, at a given  $F_N$ , as the W/C ratio increased, all of the maximum volumetric flow rate  $Q_{max}$  values showed increasing trends, which means the W/C ratio of grout has an obvious impact on the grout flow through rough fractures. For example,  $Q_{\text{max}}$  was increased from  $8.534 \times 10^{-7}$  (W/C ratio of 1.0) to  $2.529 \times 10^{-6}$  (W/C ratio of 2.0), increasing by 1.96 times ( $F_{\rm N}$  = 1467.8 N); 1.085 × 10<sup>-6</sup> to 2.344 × 10<sup>-5</sup> by 20.60 times ( $F_{\rm N}$  = 1353.3 N);  $4.306 \times 10^{-6}$  to  $8.237 \times 10^{-5}$  by 18.13 times ( $F_{\rm N}$  = 1238.8 N); 1.410 × 10^{-5} to  $3.310 \times 10^{-4}$  by 22.48 times ( $F_N = 1124.3 \text{ N}$ ); and  $5.355 \times 10^{-5}$  (W/C ratio of 0.8) to  $2.81 \times 10^{-3}$  by 51.47 times ( $F_N = 666.3 \text{ N}$ ), respectively. Note that the grout with a W/C ratio of 0.8 has a large concentration, so its fluidity was not very good. In the experiment, only as the normal load was small ( $F_{\rm N} = 666.3$  N) can the grout flow smoothly through the fracture; when the normal load increased, the grout with a W/C ratio of 0.8 cannot flow smoothly in the fracture, so this part of the data was not available. Meanwhile, at a given W/C ratio, as the  $F_N$  increased, all of the maximum volumetric flow rate  $Q_{max}$  values showed decreasing trends, which means that the  $F_{\rm N}$  also has an obvious effect on the grout flow through rough fractures. For example, as  $F_N$  ranged from 666.3 to 1467.8 N, the maximum volumetric flow rate  $Q_{max}$ decreased from 9.648  $\times$  10<sup>-5</sup> to 8.534  $\times$  10<sup>-7</sup>, decreasing by 99.12% (W/C ratio of 1); 1.995  $\times$  10<sup>-4</sup> to  $1.022 \times 10^{-6}$ , decreasing by 99.49% (W/C ratio of 1.2); 7.466  $\times 10^{-4}$  to  $1.499 \times 10^{-6}$ , decreasing by 99.80% (W/C ratio of 1.5); and  $2.81 \times 10^{-3}$  to  $2.529 \times 10^{-6}$ , decreasing by 99.91% (W/C ratio of 2.0).





**Figure 5.** Relationships between the grout hydraulic gradient *J* and volumetric flow rate *Q* under different normal loads: (a) 1467.8 N, (b) 1353.3 N, (c) 1238.8 N, (d) 1124.3 N, and (e) 666.3 N.

W/C Ratio	F <sub>N</sub> (kN)	а	b	R <sup>2</sup>	Jc	Rec
0.8	666.3	$1.660\times10^{10}$	$4.125\times 10^6$	0.9988	132.084	254.023
1.0	1467.8	$4.739 \times 10^{13}$	$2.783 \times 10^{8}$	0.9997	209.498	8.379
	1353.3	$3.150\times10^{13}$	$2.201 \times 10^{8}$	0.9994	204.194	10.292
	1238.8	$2.016\times10^{12}$	$5.393 \times 10^{7}$	0.9987	205.337	41.952
	1124.3	$2.056\times10^{11}$	$1.616 \times 10^{7}$	0.9989	163.438	112.536
	666.3	$6.575 \times 10^{9}$	$2.138 \times 10^{6}$	0.9979	99.423	512.139
1.2	1467.8	$4.094\times10^{13}$	$2.325 \times 10^8$	0.9989	166.042	11.682
	1353.3	$1.203\times10^{13}$	$1.306 \times 10^{8}$	0.9992	182.179	22.773
	1238.8	$6.884\times10^{11}$	$2.932 \times 10^{7}$	0.9987	157.994	88.099
	1124.3	$5.709 \times 10^{10}$	$7.989 \times 10^{6}$	0.9981	139.855	286.505
	666.3	$2.135 \times 10^{9}$	$9.807 \times 10^{5}$	0.9987	65.263	1073.741
1.5	1467.8	$2.119\times10^{13}$	$1.665 \times 10^{8}$	0.9995	161.746	25.232
	1353.3	$1.714\times10^{12}$	$4.677 \times 10^{7}$	0.9989	160.361	88.925
	1238.8	$2.210\times10^{11}$	$1.597 \times 10^{7}$	0.9987	152.714	246.806
	1124.3	$6.094 \times 10^{9}$	$2.176 \times 10^{6}$	0.9984	111.419	1311.130
	666.3	$2.719 \times 10^{8}$	$1.928 \times 10^5$	0.9983	18.522	2473.841
2.0	1467.8	$1.089\times10^{13}$	$9.204\times10^7$	0.9995	98.947	53.368
	1353.3	$1.315\times10^{11}$	$9.869 \times 10^{6}$	0.9990	107.439	533.548
	1238.8	$1.756 \times 10^{10}$	$2.217 \times 10^{6}$	0.9990	36.006	805.396
	1124.3	$1.254 \times 10^{9}$	$4.957 \times 10^{5}$	0.9983	26.653	2652.174
	666.3	$2.899 \times 10^{7}$	$2.638 \times 10^4$	0.9982		

**Table 2.** Experimental results of *a*, *b*,  $R^2 J_c$ , and  $Re_c$  for rough rock fracture with different W/C ratios and  $F_N$  values.

From Figure 6, both nonlinear and linear coefficients *a* and *b* of all  $F_N$  decreased as the W/C ratio increased. Moreover, the reduction extents for *a* and *b* within the W/C ratio ranging from 1 (0.8) to 1.5 were more obvious than those ranging from 1.5 to 2. However, both coefficients *a* and *b* showed increasing trends with the increase of  $F_N$ , and the larger the  $F_N$  value was, the larger the amplitude was, the trend of which was consistent with the existing experimental results of the flow behavior through the rough fracture by the reference [25]. Taking coefficients *a* and *b* for a W/C ratio of 1.0 under varying  $F_N$  values as an example, *a* for  $F_N = 1124.3$ , 1238.8, 1353.3, and 1467.8 N was 2.056 × 10<sup>11</sup>, 2.016 × 10<sup>12</sup>, 3.15 × 10<sup>13</sup>, and 4.739 × 10<sup>13</sup>, respectively, increasing by 30.27, 305.62, 4789.87, and 7206.60 times over the value of  $6.575 \times 10^9$  for  $F_N = 666.3$  N. Meanwhile, coefficient *b* for  $F_N = 1124.3$ , 1238.8, 1353.3, and 1467.8 N was 1.616 × 10<sup>7</sup>, 5.393 × 10<sup>7</sup>, 2.201 × 10<sup>8</sup>, and 2.783 × 10<sup>8</sup>, respectively, increasing by 6.56, 24.22, 101.94, and 129.17 times over the value of  $2.138 \times 10^6$  for  $F_N = 666.3$  N.



Figure 6. (a) Variations in the nonlinear coefficients *a*, (b) linear coefficients *b*.

Based on Equation (7), the relations between  $T/T_0$  and Re were fitted and analyzed with different W/C ratios and F<sub>N</sub> values, which were as shown in Figure 7. From Figure 7, for all cases, when Re was small, the viscous effect was dominant in the grout flow behavior, and  $T/T_0$  presented a horizontal straight line (close to 1.0), the stage of which was called the viscous stage (taking Figure 7a as example, red number 1). Therefore, at low Reynolds numbers, the grout flow behavior may follow the Darcy's law. As the *Re* values increased, the inertial effect gradually increased, and  $T/T_0$  started to bend downward. However, during this stage, the overall grout flow behavior was still dominated by the viscous effect, and the inertial effect can be ignored, the stage of which was called the weak inertial effect stage (Figure 7a, red number 2). When *Re* increased to a certain value,  $T/T_0$  started to decline linearly due to the strong inertial effect. At this time, the inertial effect can't be ignored, the stage of which was called the strong inertial effect stage (Figure 7a, red number 3). The variations in the  $T/T_0$ –Re curves showed that when the Reynolds number was small, the grout flow followed the linear flow law, while with the Reynolds number increasing gradually, the grout flow entered the nonlinear flow state. Meanwhile, for a certain W/C ratio, with the increase of normal load  $F_N$ , the  $T/T_0$ -Re curves generally moved downward. Although there were two different fluids, the  $T/T_0$ –Re curves' variation trends were in nice agreement with those in the study performed by Zimmerman [37].



**Figure 7.** Relationships between the Reynolds number (*Re*) and the normalized transmissivity ( $T/T_0$ ): (a) W/C ratio of 1.0, (b) W/C ratio of 1.2, (c) W/C ratio of 1.5, (d) W/C ratio of 2.0.

From Figure 8, as the W/C ratio increased,  $\beta$  decreased. Using  $F_N = 1353.3$  N as an example, with the W/C ratio increasing from 1.0 to 2.0,  $\beta$  decreased from 0.01153 to 2.40908 × 10<sup>-4</sup>, or by 97.91%. However, within larger  $F_N$  values (such as  $F_N$  from 1467.8 to 1353.3 N), when the W/C ratios were smaller (ranging from 1.0 (0.8) to 1.5), as the W/C ratio increased,  $\beta$  decreased, and the rate of the

decrease was larger. When the W/C ratios were larger (from 1.5 to 2.0),  $\beta$  decreased gradually and approached constant values. Within smaller  $F_N$  values (such as 1238.8, 1124.3, and 666.3 N),  $\beta$  decreased gradually with the increasing W/C ratio and eventually approached constant values.



**Figure 8.** Relationships between the Forchheimer coefficient  $\beta$  and water/cement (W/C) ratio.

#### 4.3. Critical Grout Hydraulic Gradient and Reynolds Number Analysis

The nonlinear flow effects may become more obvious when the grout flow velocity increased at the grout inlet. The nonlinear term  $aQ^2$  can't be ignored if the critical factor E was larger than 10%. Based on Equation (8), E = 0.1 was selected to assess the flow regime through fractures, and the corresponding J and Re are called the critical hydraulic gradient  $J_c$  and critical Reynolds number  $Re_c$ , respectively, the variations in which for all cases with different W/C ratios and  $F_N$  values were as shown in Figure 9 and Table 2. From Figure 9a, at a given  $F_N$ , as the W/C ratio increased, the  $J_c$  for all cases showed decreasing trends, meaning that the W/C ratio of grout has a significant impact on the flow regime through fractures. The reason for these variations may be as follows: with the increase of the W/C ratio,  $\mu$  decreased (see Table 1). For fluid flow in a single fracture, from the cube law, the flow rate Q was inversely proportional to the dynamic viscosity  $\mu$ , and then with the increase of the W/C ratio ( $\mu$  decreased), Q increased, which may lead to the flow regime through the fracture easily entering the nonlinear flow regime. Therefore, the increase of the W/C ratio caused the decrease of  $J_c$ . Taking  $F_N$  = 1353.3 N as an example,  $J_c$  was 182.179 (W/C ratio of 1.2), 160.361 (1.5), and 107.439 (2.0), respectively, decreasing by 10.78%, 21.47%, and 47.38% over the  $J_c$  of 204.194 for a W/C ratio of 1.0. Moreover, at a given W/C ratio,  $J_c$  showed increasing trends with the increase in  $F_N$ . Meanwhile, from Figure 9b, at a given  $F_N$ , when the W/C ratio increased, the critical Reynolds number  $Re_c$  for all cases showed increasing trends. Using  $F_N$  = 1353.3 N as an example,  $Re_c$  was 22.773 (W/C ratio of 1.2), 88.925 (1.5), and 533.548 (2.0), respectively, increasing by 1.21, 7.64, and 50.84 times over the  $Re_c$  of 10.292 for W/C ratio of 1.0. Moreover, at a given W/C ratio, Rec showed increasing trends with the decrease in  $F_N$ . It's remarkable that the larger the W/C ratio was, the larger the amplitude was. For example, when the W/C ratio ranged from 1.0 (0.8) to 1.2,  $Re_c$  increased slowly, but increased dramatically when ranged from 1.2 to 2.0 (especially  $F_{\rm N}$  = 1124.3 N and 666.3 N). Note that although it varied in the fluid materials, for a certain W/C ratio,  $J_c$  increased while  $Re_c$  decreased with the increase of  $F_N$ , the tendency of which was similar to that in the paper published by Yin [25]. However, the magnitude of  $J_{\rm c}$  and  $Re_{\rm c}$  in this study were not the same as those in the reference [25], and the difference was about an order of magnitude ( $J_c$  and  $Re_c$  in our research were larger), the possible reason of which may be: the fluid material was water in their study, while the fluid material was cement grout in our study. Due to cement grout having a higher viscosity than water, grout flow through the fracture starting to enter the nonlinear flow regime needs a larger grout flow rate (or higher grout hydraulic gradient *J*) than that of water, which means the  $J_c$  of grout would become larger.





Figure 9. Relationships between (a) J<sub>c</sub> and (b) Re<sub>c</sub> with different W/C ratios under different normal loads.

## 5. Conclusions

The effects of various grout W/C ratios of ultrafine cement grout and normal loads  $F_N$  on grout nonlinear flow behavior through rough plexiglass fractures were studied. An effective self-made apparatus was used for stress-dependent grout flow tests. The real-time data acquisition equipment, including the high-precision pressure transmitter, paperless recorder, and high-precision self-made electronic balance was developed to collect the real-time grout pressure P and volumetric flow rate Q, respectively. Several tests of grout flow through fractures were performed with different grout pressures (0–0.9 MPa) and normal loads  $F_N$  (666.3–1467.8 N). Then, the nonlinear flow behavior (*J*-*Q*), the nonlinear flow regime, nonlinear and linear coefficients (*a* and *b*), normalized transmissivity (*T*/*T*<sub>0</sub>–*Re*), Forchheimer coefficient ( $\beta$ ), critical hydraulic gradient (*J*<sub>c</sub>), and critical Reynolds number (*Re*<sub>c</sub>) of grout flow through fractures were obtained. The main results of this research were as follows:

- 1. The grout hydraulic gradient *J* was nonlinear and dependent on the volume flow rate *Q* based on the grout flow tests, which was fitted by the Forchheimer's law. With the increase of the W/C ratio, all of the maximum volumetric flow rates,  $Q_{max}$ , showed increasing trends, meaning that the W/C ratio of grout has an important impact on the grout flow through rough fractures. For example,  $Q_{max}$  was increased from  $8.534 \times 10^{-7}$  (W/C ratio = 1.0) to  $2.529 \times 10^{-6}$  (W/C ratio = 2.0), increasing by 1.96 times ( $F_{\rm N} = 1467.8$  N);  $1.085 \times 10^{-6}$  to  $2.344 \times 10^{-5}$  by 20.60 times ( $F_{\rm N} = 1353.3$  N);  $4.306 \times 10^{-6}$  to  $8.237 \times 10^{-5}$  by 18.13 times ( $F_{\rm N} = 1238.8$  N);  $1.410 \times 10^{-5}$  to  $3.310 \times 10^{-4}$  by 22.48 times ( $F_{\rm N} = 1124.3$  N); and  $5.355 \times 10^{-5}$  (W/C ratio = 0.8) to  $2.81 \times 10^{-3}$  by 51.47 times ( $F_{\rm N} = 666.3$  N), respectively. Moreover, both the nonlinear coefficient (*a*) and linear coefficient (*b*) with all  $F_{\rm N}$  values decreased with the increasing W/C ratio, but increased with the increasing  $F_{\rm N}$  values, and the larger the  $F_{\rm N}$  was, the larger the amplitude.
- 2. For normalized transmissivity, when the *Re* value was small, with the increase of *Re*, the  $T/T_0$ –*Re* curves presented a horizontal straight line (close to 1.0), the reason of which was that the viscous effect was dominant in the grout flow behavior through fractures; as the *Re* continued to increase, the  $T/T_0$ –*Re* curves started to bend downward. During this stage, the grout flow behavior was still dominated by the viscous effect, and the inertial effect can be ignored. When *Re* increased to a certain value, the  $T/T_0$ –*Re* curves started to decline linearly. During this stage, the inertial effect can't be ignored, which became dominant in the grout flow behavior through fractures. The variations in the  $T/T_0$ –*Re* curves indicated that when the Reynolds number was small, the grout flow may follow the linear flow law, while as the Reynolds number increased gradually, the grout flow entered the nonlinear flow state. Moreover, for a certain W/C ratio, with an increasing normal load  $F_N$ , the  $T/T_0$ –*Re* curves generally moved downward. The  $T/T_0$ –*Re* curve variation trends were in nice agreement with those in the flow behavior study performed by Zimmerman. Moreover, as the W/C ratio increased, the Forchheimer coefficient  $\beta$  decreased. However, within

larger  $F_N$ , when the W/C ratios were smaller, as the W/C ratio increased,  $\beta$  decreased, and the rate of the decrease was larger. When the W/C ratios were larger,  $\beta$  decreased gradually and approached constant values. Within smaller  $F_N$  values,  $\beta$  decreased gradually with increasing W/C ratios and eventually approached constant values.

3. The increase of the W/C ratio resulted in the decrease of  $J_c$ . Taking  $F_N = 1353.3$  N as an example,  $J_c$  was 182.179 (W/C ratio = 1.2), 160.361 (1.5), and 107.439 (2.0), respectively, decreasing by 10.78%, 21.47%, and 47.38% over the  $J_c$  of 204.194 for W/C ratio of 1.0. Besides, when the W/C ratio was constant,  $J_c$  showed increasing trends as the increase of  $F_N$ . Meanwhile, when  $F_N$  was constant, as the W/C ratio increased, the critical Reynolds number  $Re_c$  for all cases showed increasing trends. Taking  $F_N = 1353.3$  N as an example,  $Re_c$  was 22.773 (W/C ratio = 1.2), 88.925 (1.5), and 533.548 (2.0), respectively, increasing by 1.21, 7.64, and 50.84 times over the  $Re_c$  of 10.292 for W/C ratio of 1.0. Moreover, at a given W/C ratio,  $Re_c$  showed increasing trends as the  $F_N$  value decreased.

This paper was a preliminary research of grout flow behavior through rough fractures. It should be noted that the rationality of experimental results on grout flow through fractures was not only affected by W/C ratios and normal loads  $F_N$ , but also by the experimental apparatus, fractured sample size, and other factors. Moreover, the flow in a single fracture cannot represent the contacts in reality, so the study of grout flow behavior through natural fractured materials with contacts should be the focus of further research. Consequently, based on the above issues, more appropriate experimental apparatus and experimental samples are needed to be used so that more reasonable and accurate results on grout flow behavior through fractures will be available.

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