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Date Submitted: 2019-01-31

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Record Type: Published Article

Submitted To: LAPSE (Living Archive for Process Systems Engineering)

Citation (overall record, always the latest version):

LAPSE:2019.0196

Citation (this specific file, latest version):

LAPSE:2019.0196-1

Citation (this specific file, this version):

LAPSE:2019.0196-1v1

DOI of Published Version: <https://doi.org/10.3390/en9110886>

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Article

Two-Stage Multi-Objective Collaborative Scheduling for Wind Farm and Battery Switch Station

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Academic Editor: Robert Lundmark

Received: 7 September 2016; Accepted: 26 October 2016; Published: 29 October 2016

Abstract: In order to deal with the uncertainties of wind power, wind farm and electric vehicle (EV) battery switch station (BSS) were proposed to work together as an integrated system. In this paper, the collaborative scheduling problems of such a system were studied. Considering the features of the integrated system, three indices, which include battery swapping demand curtailment of BSS, wind curtailment of wind farm, and generation schedule tracking of the integrated system are proposed. In addition, a two-stage multi-objective collaborative scheduling model was designed. In the first stage, a day-ahead model was built based on the theory of dependent chance programming. With the aim of maximizing the realization probabilities of these three operating indices, random fluctuations of wind power and battery switch demand were taken into account simultaneously. In order to explore the capability of BSS as reserve, the readjustment process of the BSS within each hour was considered in this stage. In addition, the stored energy rather than the charging/discharging power of BSS during each period was optimized, which will provide basis for hour-ahead further correction of BSS. In the second stage, an hour-ahead model was established. In order to cope with the randomness of wind power and battery swapping demand, the proposed hour-ahead model utilized ultra-short term prediction of the wind power and the battery switch demand to schedule the charging/discharging power of BSS in a rolling manner. Finally, the effectiveness of the proposed models was validated by case studies. The simulation results indicated that the proposed model could realize complement between wind farm and BSS, reduce the dependence on power grid, and facilitate the accommodation of wind power.

Keywords: power system; wind farm; electric vehicle; battery switch station; dependent chance programming

1. Introduction

According to China's government planning, the installed capacity of wind farms will reach 200 GW in 2020 [1]. With the rapid deployment of wind power, the impact of its randomness and uncontrollability on the power grid is also becoming increasingly challenging [2–5]. Thus, the penetration level of wind power is restricted. As the power grid fails to accommodate the fast deployment of wind power, wind curtailment phenomena occur frequently, which is against the original intention of “energy conservation and emission reduction”. In order to enhance the

controllability of wind farms, matched energy storage devices are constructed so as to facilitate the wind power integration, which has become the consensus within this field [6–8]. In [9], the authors used an energy storage system (ESS) to improve the wind energy integration and grid voltage stability. Reference [10] presented the formulation and qualitative analysis of the ESS performance for wind farms. Yang et al. [11] developed a model predictive control (MPC)-based control strategy for wind/battery energy storage hybrid systems, which can ensure the total output of the hybrid system could be injected into the grid smoothly and safely. Wang et al. [12] pointed out that energy storage could improve the operational reliability and energy utilization efficiency of power systems with high wind power penetration. However, the cost of investment in energy storage power stations restricts their applications on a large scale [13,14]. In order to improve the economic benefit of ESS, Astaneh et al. suggest benefitting from it as a regulation service provider besides its normal operation for mitigating wind power imbalances [15].

Charging electric vehicles with renewable energy is an effective approach to accommodate the renewable energy penetration. In [16,17] the authors pointed out that it was feasible to reduce environmental pollution through the collaboration between EVs and renewable energy in Italy. Although electric vehicles can be used as cheap energy storage devices, it is challenging to manage a large number of scattered electric vehicles in a unified way. According to China's government planning, more than 12,000 battery charging and switch stations will be built by 2020. Compared with electric vehicles, electric vehicle battery switch stations (EVBSSs) are more convenient to manage. Besides the battery swapping service, the EVBSS could also provide service as ESS through uniform management of charging and discharging of batteries in EVBSS [18]. In [19,20], the EVBSS is regarded as an energy storage power station, which can be used to smooth the wind power fluctuations, and improve its economic efficiency through the optimization of charging/discharging of EVBSS.

In order to explore the synergistic benefits of the BSS and the wind farm sufficiently, the coupling between different time intervals should be taken into consideration, and the collaborative optimization should be carried out from a global viewpoint. There has been extensive research in the area of collaborative scheduling between ESS and wind power. Based on the day-ahead collaborative optimization of wind-storage system, the corresponding economic benefits can be improved [21]. In [22,23], the charging/discharging power of ESS was optimized day-ahead in order to mitigate the wind power fluctuations and improve the capability for wind power to track its desired schedule. However, these studies have several defects. Firstly, the research object is usually a conventional energy storage system, while the collaborative scheduling between the BSS and wind farm is rarely studied. Compared with a conventional energy storage system, the BSS has some special characteristics such as uncertain stored energy, which is caused by the randomness of EV users' battery swapping behaviors. Therefore, the collaborative scheduling between the BSS and wind farm is in fact more complicated. Secondly, when describing the wind power randomness, further corrections of ESS corresponding to the wind power fluctuations are not considered. Consequently, evaluations on the optimization effects of ESS are rather conservative; the capability of ESS worked as operating reserve is not sufficiently utilized. Thirdly, the decision variable is the charging/discharging power rather than the stored energy of ESS, which fails to provide effective guidance on further adjustment of ESS. Concerning the day-ahead scheduling of ESS, the stored energy in each period should be determined from a global viewpoint. Then, the hour-ahead decision is adopted to optimize the charging/discharging power of ESS in a rolling manner, and the randomness of wind power can be addressed effectively.

This paper focused on the collaborative scheduling of an integrated system formed by a wind farm and EVBSS. The collaborative scheduling has three objectives. Firstly, the battery swapping demand of EV users should be satisfied to the greatest extent in order to improve users' satisfaction. Secondly, the wind power utilization should be elevated to reduce wind curtailment. Thirdly, the wind power fluctuations should be controlled to enhance the capability for the integrated system to track its schedule. For this purpose, three operating indices, i.e., battery swapping demand curtailment, wind curtailment and generation schedule tracking are defined. The collaborative scheduling comprises two

stages of day ahead and hour ahead. For the former, it aims at maximizing the probability of operating index realization; the energy storage in each period is seen as the decision variable, and a day-ahead collaborative scheduling model is established for this stage based on the dependent-chance objective programming theory. Once the stored energy of the BSS in each period was determined, reserve capacity provided by the BSS for wind power and battery swapping demand was determined as well. On this basis, combining the ultra-short term prediction of wind power and battery swapping demand, an hour-ahead optimization decision model is formulated to optimize the charging/discharging power of BSS in a rolling manner within the given reserve region. The following contributions of this study can highlighted:

- (1) A two-stage multi-objective collaborative scheduling model was proposed based on the dependent chance programming theory. Since the decision-makers care more about operating risk, the model aimed at maximizing the realization probabilities of the three operating indices rather than optimizing the indices themselves.
- (2) The decision variable in the first stage was the stored energy rather than the charging/discharging power of BSS so as to provide effective guidance on further adjustment of BSS in the hour-ahead stage.
- (3) Different from other research, the adjustment procedure of the BSS within each hour was considered in the day-ahead stage in order to explore the capability of BSS as reserve.

The rest of the paper is organized as follows: three operating indices of the integrated system are proposed in Section 2. Section 3 introduces the concept and generalized model of dependent chance programming. The proposed day-ahead collaborative scheduling model and hour-ahead optimization decision model are described in Sections 4 and 5 respectively. Simulation analysis is given in Section 6. Finally, the conclusions are drawn in Section 7.

2. Operating Indices of the Integrated System

The integrated system of a wind farm and EVBSS can be seen as a special power plant. Based on the day-ahead prediction of wind power and battery swapping demand, the generation schedule of the next day can be formulated in the integrated system and reported to the system operator. Considering the load prediction and power grid security constraint, the system operator modifies the generation schedule of the integrated system and issues the final generation plan to the system. Meanwhile, the system operator determines the generation schedule of other units.

After receiving the generation schedule, the integrated system performs the day-ahead collaborative scheduling to determine the stored energy for each time interval of the next day.

During practical operation, the integrated system makes hour-ahead optimization decisions with the resolution of one to several minutes. Combining the ultra-short term prediction of wind power and battery swapping demand at this period, the decisions on charging/discharging power, battery swapping demand curtailment and wind curtailment are optimized. Considering the operating features of the integrated system, three operating indices can be defined as below.

2.1. Index of Battery Swapping Demand Curtailment

The battery swapping demand of EVs is random. When the residual capacity of a BSS is insufficient, the battery swapping demand of EV users cannot be met, which leads to a reduction of users' satisfaction. Therefore, the index of battery swapping demand curtailment is defined as follows: the battery swapping demand curtailment should not be greater than the maximum permissible value Q_{ds} :

$$\sum_{t=1}^{N_t} Q_q^t \leq Q_{ds} \quad (1)$$

where N_t is the number of time interval related to the day-ahead collaborative scheduling, and Q_q^t is the battery swapping demand curtailment at time interval t .

2.2. Index of Wind Curtailment

Wind curtailment takes place when poor wind power prediction occurs or an irrational generation schedule gives rise to the phenomenon that the BSS is unable to absorb redundant wind power. Obviously, excessive wind curtailment is against the goal of energy conservation and emission reduction. Hence, wind curtailment should not exceed the maximum permissible wind curtailment Q_{ws} .

$$\sum_{t=1}^{N_t} P_{wq}^t \cdot \Delta t \leq Q_{ws} \quad (2)$$

where Δt is the length of a single time interval of the day-ahead collaborative scheduling, and P_{wq}^t is the wind curtailment power at time t .

2.3. Index of Generation Schedule Tracking

Due to the randomness of wind power, it is impossible for the integrated system to track the generation schedule precisely. When a large deviation occurs, the reserve cost of the power grid will increase. The generation schedule tracking index is defined as the absolute value of relative error between output power of the integrated system and its generation schedule and this index should be within the given range δ :

$$\text{abs} \left\{ P_{wn}^t - P_{wq}^t - P_{ev}^t - P_{Plan}^t \right\} / P_{Plan}^t \leq \delta \quad (3)$$

where P_{wn}^t is the actual power of wind farm at time t ; P_{wq}^t is the wind curtailment power at time t ; P_{ev}^t is the charging/discharging power of BSS at time t ; and P_{Plan}^t is the generation schedule at time t .

During the decision-making process, the decision-makers not only pay attention to the optimization of operating index values, but also care more about the relevant risks which are also known as the realization probabilities of these operating indices. Under these circumstances, dependent chance programming is suitable for this problem.

3. Dependent Chance Programming

Expected value programming which aims to obtain the optimal expected value fails to take risks into full consideration. Regarding the chance constrained programming, it optimizes the objective function based on the designated maximum acceptable risks. Once the optimization models are determined, the region of feasible solution has been determined as well. However, the solutions of the two methods mentioned above may be infeasible if the uncertainty of a real system is considered.

The dependent chance programming is originally proposed in [24]. It maximizes the realization chance of events in uncertain environment. Together with the expected value programming and the chance constrained programming, the three main branches of stochastic programming are formed.

Model of the dependent chance programming can be denoted as:

$$\max P_r \{h_j(x, y) \leq 0, j = 1, 2, \dots, p\} \quad (4)$$

$$s.t. g_k(x, y) \leq 0, k = 1, 2, \dots, q \quad (5)$$

where x, y, p and q are decision variable, random variable, and the number of events and constraint conditions, respectively. Equation (4) refers to maximize the chance of event $\{h_j(x, y) \leq 0, j = 1, 2, \dots, p\}$, while Equation (5) refers to the uncertain environment at which the event is situated.

In the model, despite the fact that the decision variable x is deterministic, it also shows certain randomness due to the existence of the random variable y in Equation (5). In addition, the random variable also leads to a randomly correlated relationship among decision variables, which corresponds

to the term of “dependent”. “Chance programming” means that the objectives are the chance of realizing events. The dependent chance programming is different from both the expected value programming and the chance constrained programming in nature. Without appointing the feasible set, the dependent chance programming directly involves the uncertain environment and the solution obtained only need to be performed as much as possible [25].

4. Day-Ahead Collaborative Scheduling Model

As multi-objective stochastic programming, the day-ahead collaborative scheduling aims to maximize the realization probabilities of the three operating indices. Incompatible with each other, one index may be optimized by sacrificing the other two indices. For example, in order to bring down the risk of wind curtailment, the stored energy in BSS should be reduced to provide standby power for wind curtailment, which leads to an increase of the risk of battery swapping demand not being met. Therefore, multiple indices should be balanced.

Based on the thought of goal programming, decision-makers are able to set target values for the realization probabilities of the three operating indices according to differences in their importance and their risk tolerances of diverse indices. Moreover, a priority structure should also be established since it can help the realization probabilities to arrive at their target values.

The day-ahead collaborative scheduling model of such an integrated system can be expressed as below:

$$L_{\text{exmin}} \{d_1^-, d_2^-, d_3^-\} \quad (6)$$

$$s.t. P_r \{h_1(x, y) \leq Q_{\text{ds}}\} + d_1^- - d_1^+ = P_{\text{Qds}} \quad (7)$$

$$P_r \{h_2(x, y) \leq Q_{\text{ws}}\} + d_2^- - d_2^+ = P_{\text{Qws}} \quad (8)$$

$$\frac{1}{N_t} \sum_{t=1}^{N_t} P_r \{h_3(x, y, t) \leq \delta\} + d_3^- - d_3^+ = P_\delta \quad (9)$$

$$g(x, y) \leq 0 \quad (10)$$

$$d_i^-, d_i^+ \geq 0, i = 1, 2, 3 \quad (11)$$

where $L_{\text{exmin}}\{\cdot\}$ is the minimized target vector in lexicographical order; the decision variable x is the stored energy Q_{ev}^t of BSS at diverse time frames; the random variable y includes the real power P_{wn}^t of a wind farm and the actual battery swapping demand Q_{dn}^t of EV users at each time frame; $P_r\{\cdot\}$ is the realization probabilities of events; $h_1(x, y)$, $h_2(x, y)$ and $h_3(x, y, t)$ stand for the battery swapping demand curtailment in the BSS, the wind curtailment in the wind farm, and absolute value of relative error between output power of the integrated system and its generation schedule, respectively. In Equations (7)–(9), the first term on the left side of each equation represents the realization probability of battery swapping demand index, the realization probability of wind curtailment index, and the mean value of realization probabilities for generation schedule tracking indices at all time frames, respectively. Besides, P_{Qds} , P_{Qws} and P_δ are target values for realization probabilities of the three indices, which are determine by decision-makers according to their risk preferences. d_i^+ and d_i^- are positive and negative deviations for target i deviating from the its target value. They are nonnegative numbers. $h_1(x, y)$, $h_2(x, y)$ and $h_3(x, y, t)$ are expressed in Equations (12)–(14):

$$h_1(x, y) = \sum_{t=1}^{N_t} Q_{\text{q}}^t \quad (12)$$

$$h_2(x, y) = \sum_{t=1}^{N_t} P_{\text{wq}}^t \cdot \Delta t \quad (13)$$

$$h_3(x, y, t) = \text{abs} \left\{ P_{\text{wn}}^t - P_{\text{wq}}^t - P_{\text{ev}}^t - P_{\text{Plan}}^t \right\} / P_{\text{Plan}}^t \quad (14)$$

Equation (10) stands for the uncertain environment, which includes Equations (15)–(17):

- BSS Storage Capacity Constraint

$$Q^{\min} \leq Q_{ev}^t \leq Q^{\max} \quad (15)$$

where Q^{\max} and Q^{\min} are maximum and minimum energy storage of the BSS. In order to prevent battery life shortening from over discharge, 10%–30% of the maximum capacity of the station is usually taken as Q^{\min} .

- Energy Storage Constraint at the End of Decision-making Cycle of BSS

$$Q_{ev}^{N_t} \geq Q^{\text{end}} \quad (16)$$

where Q^{end} refers to the minimum energy storage of the BSS required at the end of the decision-making cycle. Without prejudice to the battery swapping services at the next decision-making cycle, Q^{end} is generally required to be equal to the initial energy storage denoted by Q^{ini} .

- Energy Storage Ramping Constraint for BSS

$$-P_d^{\max} \Delta t / \eta_d \leq Q_{ev}^{t+1} - (Q_{ev}^t - Q_{dn}^t + Q_q^t) \leq P_c^{t,\max} \eta_c \Delta t \quad (17)$$

where η_c and η_d denotes the efficiency of charging and discharging, respectively. P_d^{\max} is the maximum discharge power of the BSS; and $P_c^{t,\max}$ is the maximum permissible charge power for the BSS at time t , and it can be expressed as:

$$P_c^{t,\max} = \min \{ P_c^{\max}, P_{wn}^t \} \quad (18)$$

where P_c^{\max} refers to the maximum charge power of the BSS. In Equation (18), the BSS is only charged by wind power, and electricity purchases from the power grid are not considered.

As the model contains random variables and probability values, it is very difficult to solve by conventional analytic methods. As a result, the model is solved based on a combination of stochastic simulation and genetic algorithm (GA) [25]. MATLAB (R2012b, MathWorks, Natick, MA, USA, 2012) was adopted for solving the algorithm. The overall optimization of the model includes initialization, selection, crossover and mutation operation, which is the same as the traditional genetic algorithm. The special feature is the calculation of fitness function of GA. Since the fitness is realization probability of the indices, it should be solved by means of stochastic simulation. The flowchart of the stochastic simulation is shown in Figure 1.

Under a stochastic simulation scenario, if wind power and battery swapping demand within each hour are replaced by only one set of simulation values, the accuracy level is not sufficient, which is detrimental to explore the reserve capability of the BSS. Therefore, during the stochastic simulation, further optimization adjustment procedure of the BSS within each hour should be taken into consideration to address the random fluctuations of wind power and battery swapping demand. In other words, the hour-ahead optimization decision process should be considered. Furthermore, each hour is divided into N_τ time intervals. According to the day-ahead prediction of wind power and battery swapping demand as well as the probability distribution of their prediction error, m groups of scenarios are generated randomly to simulate the fluctuations of wind power and battery swapping demand. In addition, the hour-ahead optimization decision model is employed to calculate the optimization solution. In line with the law of large numbers, when m is large enough, the probability satisfied by such an operating index can be approximately replaced by the proportion taken by the number of scenarios satisfying the operating index in the total quantity of simulation scenarios.

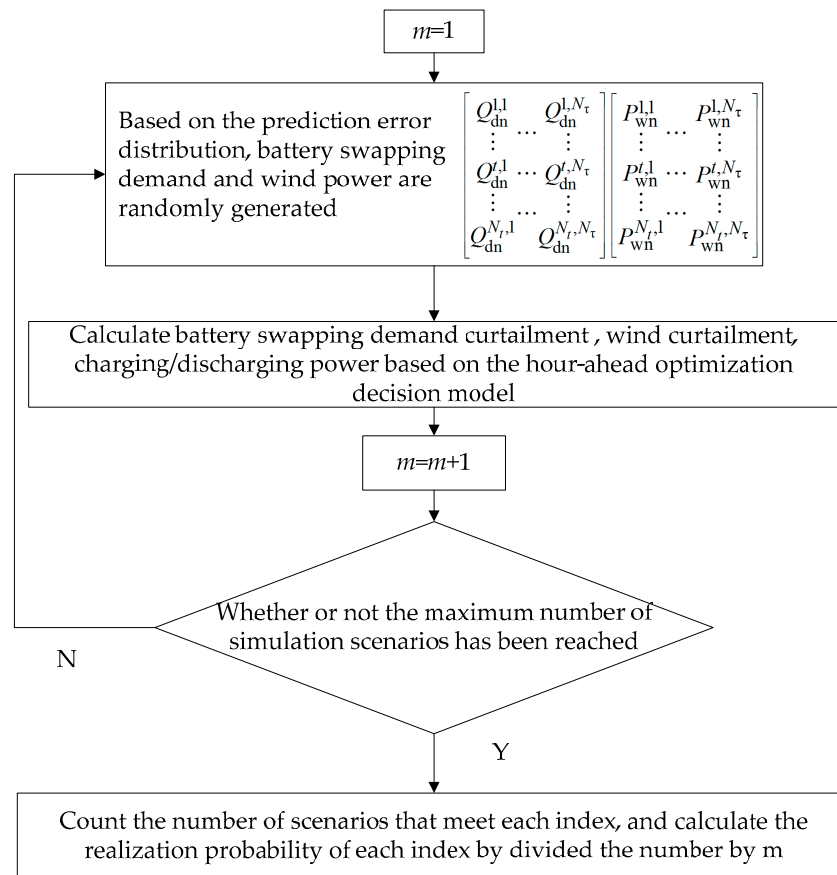


Figure 1. Flowchart of the stochastic simulation.

5. Hour-Ahead Optimization Decision Model

The hour-ahead optimization decision model is carried out every hour in a rolling manner based on the ultra-short term prediction of wind power and battery swapping demand. The corresponding objective function is:

$$\min \left\{ \rho_1 Q_q^t + \rho_2 \sum_{\tau=1}^{N_\tau} P_{wq}^{t,\tau} \Delta\tau + \rho_3 \sum_{\tau=1}^{N_\tau} \max \left(\text{abs} \left(P_{wn}^{t,\tau} - P_{wq}^{t,\tau} - P_c^{t,\tau} + P_d^{t,\tau} - P_{Plan}^t \right) / P_{Plan}^t - \delta, 0 \right) \right\} \quad (19)$$

The objective function consists of three parts. The first part stands for battery swapping demand curtailment; the second part stands for wind curtailment; and the third part stands for the output power of integrated system beyond the permissible range δ . N_τ is the number of time intervals for each hour during ultra-short term prediction; $\Delta\tau$ is the time interval; and ρ_1 , ρ_2 and ρ_3 are weighting factors. The decision variables include the battery swapping demand curtailment Q_q^t , wind curtailment power $P_{wq}^{t,\tau}$, charge power $P_c^{t,\tau}$ and the discharge power $P_d^{t,\tau}$.

Considering that battery swapping requires some time, the energy stored in the batteries that need to be swapped within every hour no longer participates into the decision-making process. At the very beginning of the hour t , the initial energy storage $Q_{ev}^{t,0}$, which can be involved with such a process, can be expressed as:

$$Q_{ev}^{t,0} = Q_{ev}^{t-1} - \left(\sum_{\tau=1}^{N_\tau} Q_{dn}^{t,\tau} - Q_q^t \right) \quad (20)$$

where $Q_{dn}^{t,\tau}$ is the battery swapping demand at time interval τ within the hour t .

The expression for stored energy $Q_{ev}^{t,\tau}$ of BSS at time interval τ can be expressed as

$$Q_{ev}^{t,\tau} = Q_{ev}^{t,\tau-1} + P_c^{t,\tau} \eta_c \Delta\tau - P_d^{t,\tau} \Delta\tau / \eta_d \quad (21)$$

The hour-ahead optimization decision model is subject to Equations (22)–(30):

- Constraints on storage capacity in the BSS

$$Q^{\min} \leq Q_{ev}^{t,\tau} \leq Q^{\max} \quad (22)$$

- Constraints on energy storage in the BSS at the end of an hour

$$Q_{ev}^{t,N_\tau} = Q_{ev}^{t+1} \quad (23)$$

- Constraints on battery swapping demand curtailment

$$0 \leq Q_q^t \leq \sum_{\tau=1}^{N_\tau} Q_{dn}^{t,\tau} \quad (24)$$

- Constraints on wind curtailment power

$$0 \leq P_{wq}^{t,\tau} \leq P_{wn}^{t,\tau} \quad (25)$$

- Constraints on charging/discharging power

$$0 \leq P_c^{t,\tau} \leq U_c^{t,\tau} P_c^{\max} \quad (26)$$

$$U_c^{t,\tau} + U_d^{t,\tau} \leq 1 \quad (27)$$

$$P_c^{t,\tau} \leq P_{wn}^{t,\tau} - P_{wq}^{t,\tau} \quad (28)$$

As binary variables, $U_c^{t,\tau}$ and $U_d^{t,\tau}$ are charge and discharge flags. Moreover, Equation (29) represents that the integrated system does not purchase power from the power grid.

- Constraints on the maximum relative error between output power of the integrated system and generation schedule.

$$\text{abs} \left(P_{wn}^{t,\tau} - P_{wq}^{t,\tau} - P_c^{t,\tau} + P_d^{t,\tau} - P_{Plan}^t \right) / P_{Plan}^t \leq \alpha \quad (29)$$

where α is the maximum permissible absolute value of relative error.

Through introducing an intermediate variable to replace the third part of objective function, the hour-ahead optimization decision model can be transformed into a mixed-integer linear programming model, which can be solved by state-of-art commercial solvers such as CPLEX (12.3, IBM, Armonk, NY, USA, 2010).

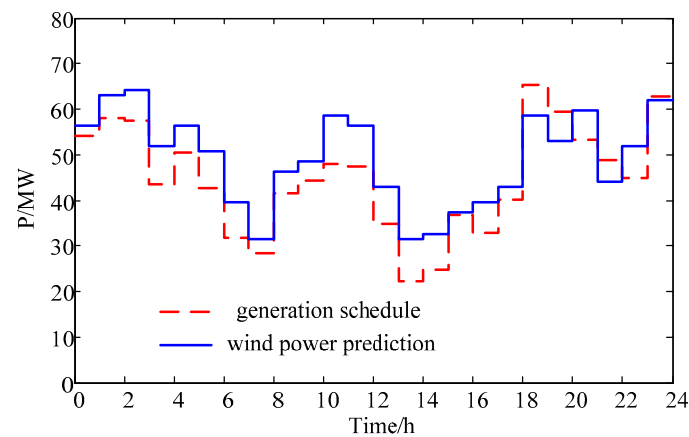
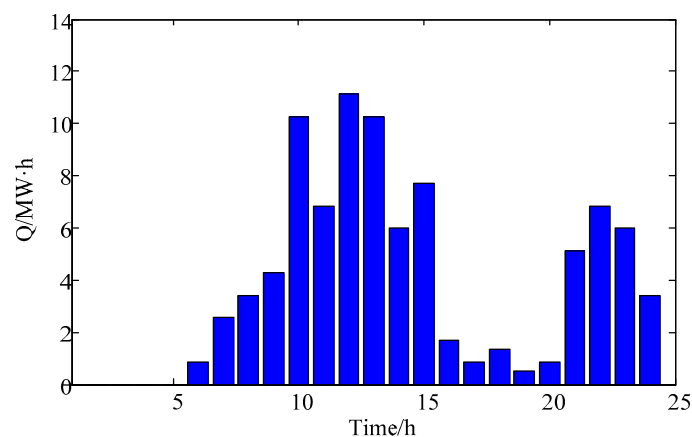
6. Simulation Analysis

In the study cases, multiple wind farms and a number of BSSs in the model are aggregated into one wind farm and one BSS. The equivalent wind farm data is selected from a wind farm in Shandong Province and its installed capacity is 99 MW. The parameters of the BSS for EVs are obtained from a practical station in this province and they are shown in Table 1. Both Q^{ini} and Q^{end} are set as 50% of the maximum storage capacity of the BSS.

Table 1. Parameters of electric vehicle battery switch station.

Q^{\max}	Q^{\min}	P_c^{\max}	P_d^{\max}	η_c	η_d
55 MW·h	5.5 MW·h	11 MW	7 MW	0.95	0.92

In Figure 2, the day-ahead wind power prediction and the generation schedule of the integrated system are presented. The day-ahead prediction of battery swapping demand is shown in Figure 3. Studied in [21,26], the random fluctuations of wind power and EV power demand obey normal distribution $N(0, \sigma^2)$, where the probability in $[3\sigma, -3\sigma]$ is 99.73%. Based on the historical data, we found that the relative prediction error of wind power and battery switch demand is no more than 30%. Therefore, we assume that the relative prediction error of day-ahead prediction for wind power and battery swapping demand all follow the normal distribution of $N(0, 0.1^2)$. It should be noted that the distribution of the prediction error will not affect the use of the model proposed in this paper, what we need to do is just modify the stochastic simulation process in Section 4 accordingly.

**Figure 2.** Day-ahead wind power prediction and the generation schedule of integrated system.**Figure 3.** Day-ahead battery swapping demand prediction.

The decision-making cycle for day-ahead collaborative scheduling is 24 h of the next day and one hour is seen as one interval. For the hour-ahead optimization decision, the cycle is 1 h in the future and every 15 min is seen as an interval. Therefore, there are four intervals in hour-ahead model.

In general, wind farms and EVBSSs have their own operation objectives, thus the desired values of the indices could be set accordingly. The maximum permissible battery swapping demand curtailment Q_{ds} is 0.1 MW·h, 1% of cumulative daily battery swapping demand. The maximum wind curtailment

Q_{ws} , which takes 1‰ of the cumulative daily generated energy of a wind farm, is 1.2 MW·h. δ and α are 10% and 20%, respectively. In addition, the priority order and target values for realization probabilities of three operating indices should be reasonably set according to the decision-maker's preference to different indices and the level of risk tolerance as well. In this paper, the priority ranking of the operating indices from high to low is the index of battery swapping demand curtailment, wind curtailment and generation schedule tracking. Target values for their realization probabilities are 97%, 90% and 90% respectively.

According to the above conditions, the day-ahead collaborative scheduling was performed. The parameters of the genetic algorithm are set as follows: crossover rate is 0.4, mutation rate is 0.2, size of the population is 50 and the maximal iteration number is 300. The computed realization probabilities of the three indices are 97.0%, 89.1% and 89.0%. The energy storage in BSS is presented in Figure 4.

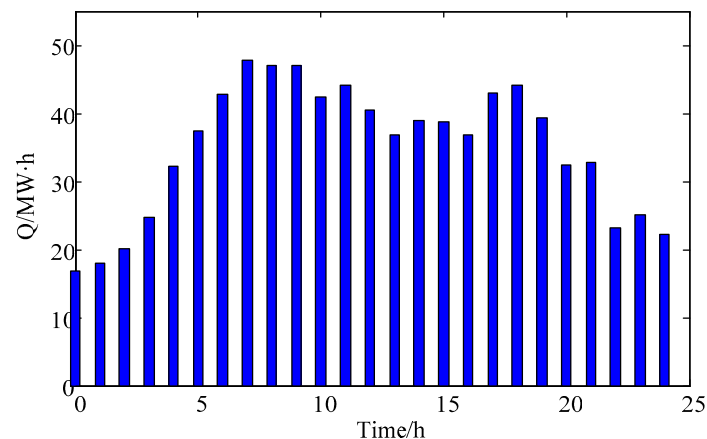


Figure 4. Energy storage in BSS.

The probabilities for battery swapping demand curtailment, wind curtailment and integrated system output power threshold-crossing (that is, the absolute value of relative error between integrated system output power and generation schedule is greater than δ) to take place at each time interval are given in Figure 5.

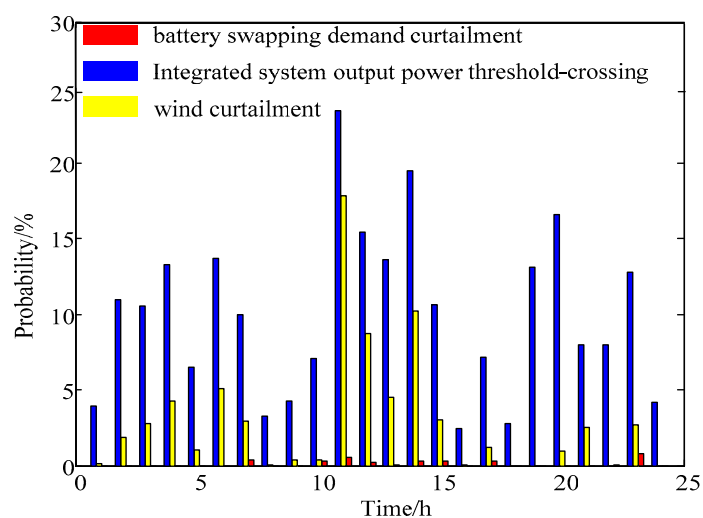


Figure 5. Probabilities for battery swapping demand curtailment, wind curtailment and integrated system output power threshold-crossing.

From this figure, it can be seen that it is least likely for battery swapping demand curtailment to occur in each time interval and the relevant probabilities are no more than 1%. At time interval 11 and 14, the probability of wind curtailment exceeds 10%. Probabilities for integrated system output power threshold-crossing are rather high, which goes beyond 15% at interval 11, 12, 14 and 20. The results were closely related to the priority order of three operating indices. Since the index of battery swapping demand curtailment has a higher priority than the other two indices, the model would give priority to meet the battery swapping demand, and the probability of battery swapping demand curtailment is correspondingly the lowest. Similarly, power threshold-crossing is more likely to take place because it has the lowest priority.

The hour-ahead optimization decision model can be adopted to verify the above results. Ultra-short term prediction of battery swapping demand and wind power are respectively replaced by the actual battery swapping demand (shown in Figure 6) and the actual wind power (shown in Figure 7). After calculation, the battery swapping demand curtailment is 0 MW·h. Besides, the wind curtailment is 0.86 MW·h that appears at interval 11 and 14 (shown in Figure 8), which shows consistency with outcomes given in Figure 5.

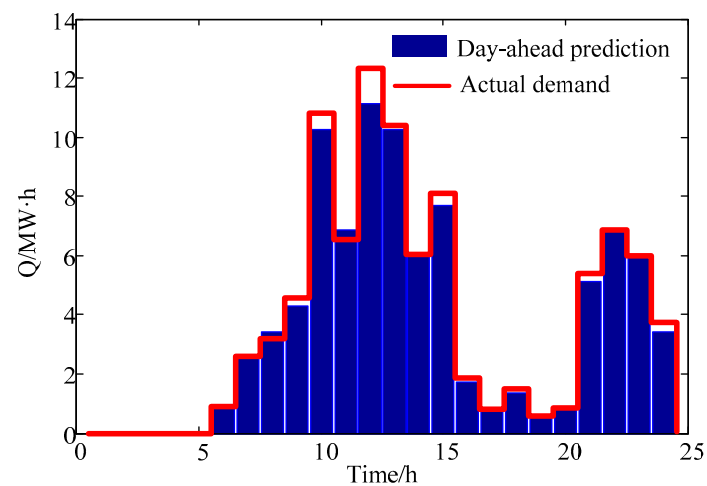


Figure 6. Actual battery swapping demand.

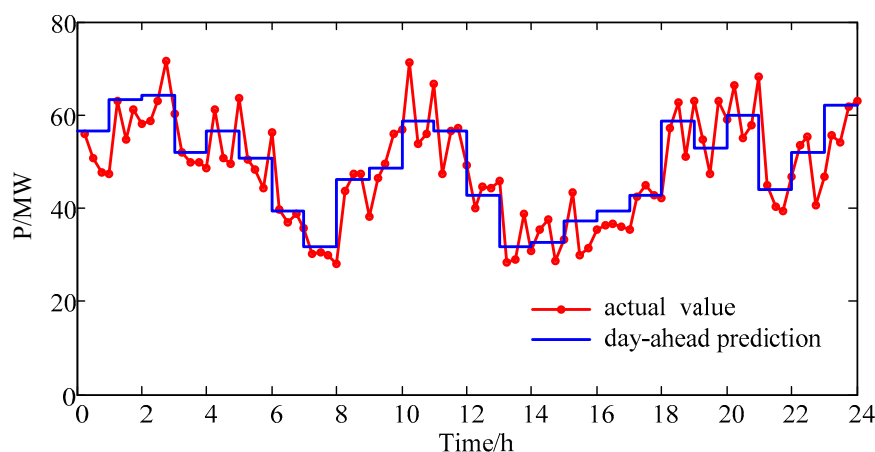


Figure 7. Actual wind power.

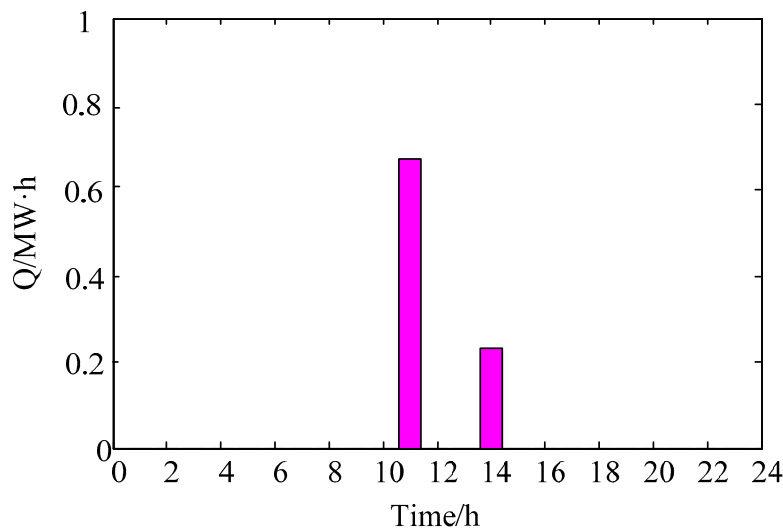


Figure 8. Wind curtailment at each time interval.

The actual output power of the integrated system is given in Figure 9. As a whole, the integrated system preferably follows the corresponding generation schedule. However, at interval 11, 14, 17, 20 and 23, a poor tracking situation occurs and power threshold-crossing takes place. As the actual wind power is substantially different from the day-ahead prediction for such four intervals, the power threshold-crossing still occurs to the integrated system output despite that the charging/discharging regulations are performed within the permissible range for the BSS with limited regulation capacities. The time intervals when threshold-crossing takes place basically conform to those with higher probabilities for threshold-crossing occurrence given in Figure 5. Figure 10 presents variations in actual stored energy of the BSS. Clearly, the day-ahead collaborative scheduling model can optimize the BSS regulation capacity from a global perspective, and it determines the readjustment limits for the charging/discharging powers at various time intervals. As a result, the energy storage of the BSS could be prevented from reaching its upper/lower limits during the beginning several intervals, and avoiding the situation that the BSS losing its regulation capacities in the subsequent periods.

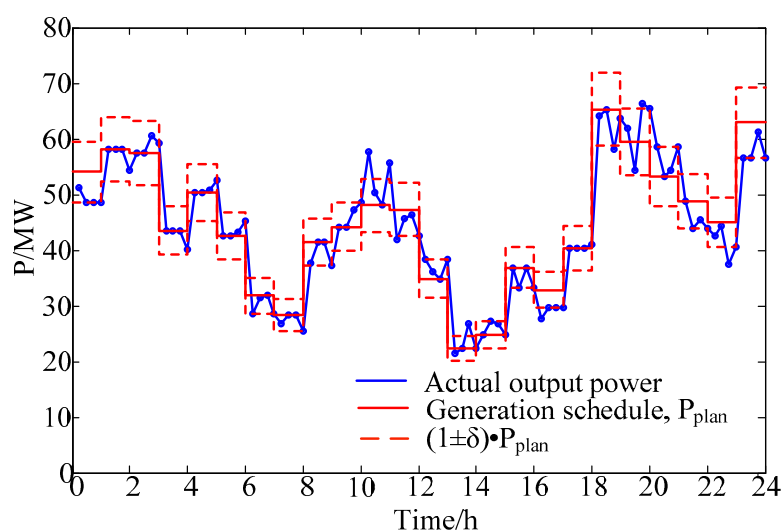


Figure 9. Actual output power of the integrated system.

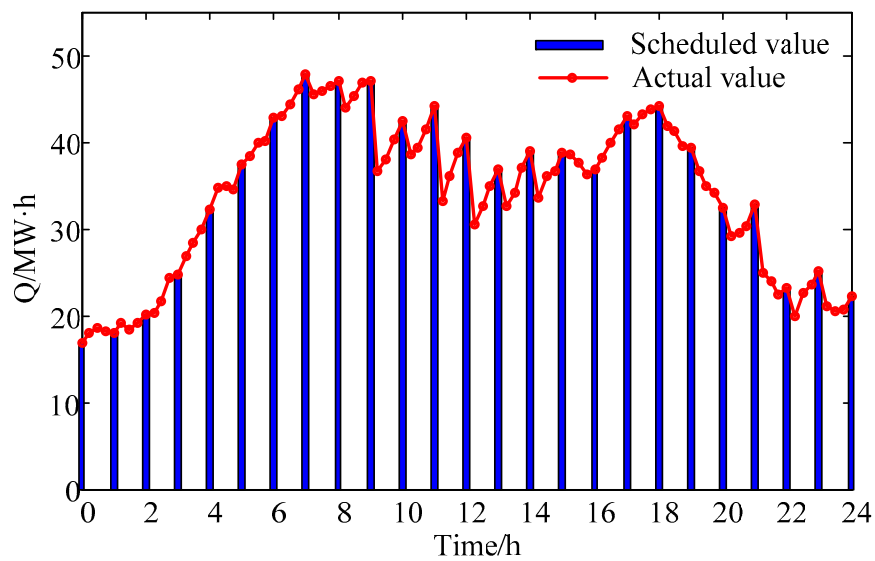


Figure 10. Actual stored energy of the BSS.

Figure 11 represents the charging/discharging power of the BSS. Within each hour, the hour-ahead optimization decision is able to regulate charging/discharging power of BSS to deal with fluctuations in wind power and battery swapping demand. If only the day-ahead collaborative scheduling is considered, the hourly charging/discharging power readjustment is not taken into account, which means that the number of time interval for the hour-ahead optimization decision is one, and the charging/discharging power of the BSS in each hour is a fixed value. Since the BSS has lost the ability to adjust further within each hour, the wind power fluctuation will result in a significant increase in the probabilities of wind curtailment and integrated system output power threshold-crossing, accordingly. In this situation, the battery swapping demand curtailment can be figured out as 0 MW·h. In addition, the wind curtailment is 14.4 MW·h which goes beyond the maximum permissible wind curtailment quantity Q_{ws} (1.2 MW·h). Consequently, the second operating index is not satisfied. As for wind curtailment for all intervals, please refer to Figure 12. The actual output power of the integrated system is given in Figure 13.

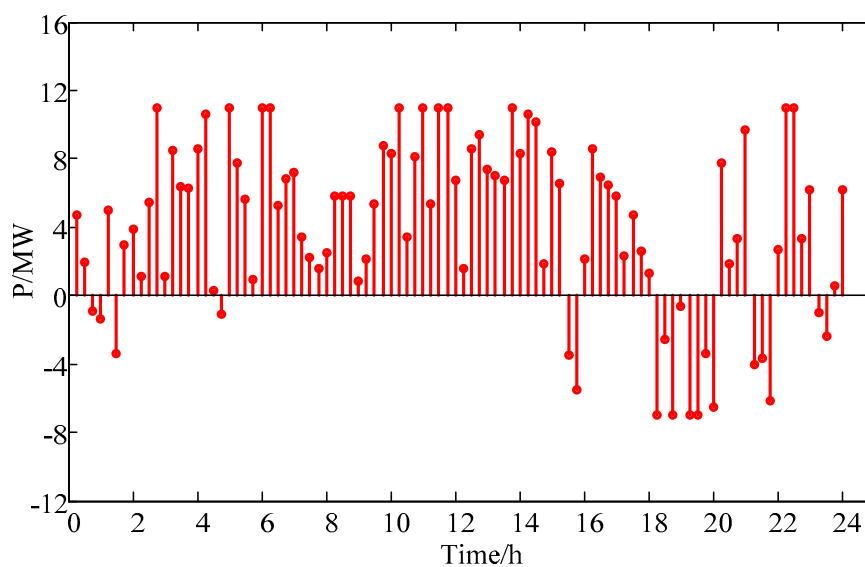


Figure 11. Charging/discharging power of the BSS.

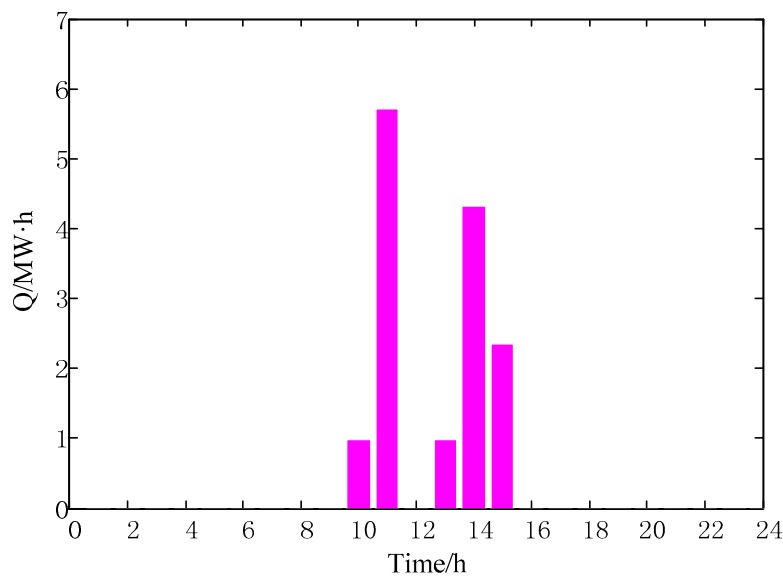


Figure 12. Wind curtailment in model without considering charging/discharging power readjustment.

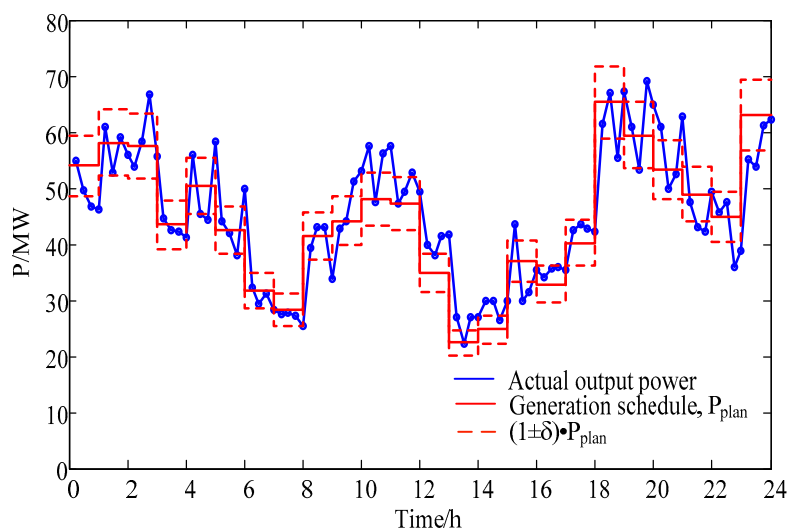


Figure 13. Actual output power of the integrated system in model without considering charging/discharging power readjustment.

Take the 15th hour as an example. The actual wind power is higher than the predicted value within the first 30 min and lower than the predicted value within the 30–45 min. Based on the model proposed in this paper, the BSS would increase the charging power within the first 30 min to absorb the surplus wind power, and would increase the discharge power within the 30–45 min to compensate for the wind power shortage. In this way, there was no wind curtailment and power threshold-crossing for the entire hour (shown in Figures 8 and 9). However, for the model without considering charging/discharging power readjustment, the integrated system output power threshold-crossing took place due to lack of readjustment. In addition, it is necessary to curtail wind to prevent the output power from exceeding the maximum allowable deviation α .

Comparing Figures 8 and 9 with Figures 12 and 13, it is clearly found that the proposed model can deal with random fluctuations of wind power and battery swapping demand in a better manner compared with the one in which hour ahead model is not considered. The proposed model can also reduce the curtailment in battery swapping demand and wind power, and improve the tracking effect of the integrated system. Finally, the three operating indices can be satisfied more preferably.

7. Conclusions

The collaboration between wind farms and EVBSSs is proposed in this paper. A two-stage multi-objective collaborative scheduling model is also established for the integrated system. Analysis of examples indicates that:

- (1) The integrated system constituted by a wind farm and EVBSS can be adopted to utilize their complementary efficiency so as to exert a synergistic effect. On the one hand, the BSS is able to utilize wind power to perform battery charging and satisfy the battery swapping demands of EV users. On the other hand, the wind power can track the generation schedule better based on the reserve provided by the BSS.
- (2) The model give consideration to multiple operating indices according to decision-makers' requirements. On the premise that both battery swapping demand curtailment index and wind curtailment index are met, the output power of integrated system can better follow the relevant generation schedule.
- (3) Based on the proposed model, configuration optimization is carried out for the adjustment capability of BSS from an overall view, so the premature exhaustion of its regulation capability can be avoided.
- (4) Compared to a day-ahead scheduling model without the readjustment process of charging/discharging power, the proposed two-stage collaborative scheduling model is more beneficial to explore the regulation capability of BSS, improve the capability of integrated system to track the generation schedule, and satisfy the three operating indices in a more preferable way.

Acknowledgments: This paper is supported by the National Natural Science Foundation of China (51477091, 51407111, 51407106).

Author Contributions: Zhe Jiang carried out the main research tasks and wrote the full manuscript. Xueshan Han participated in the development of the proposed methodology and the literature review. Zhimin Li provided important suggestions on writing of the paper. Wenbo Li participated in the overall revision of the paper. Mengxia Wang and Mingqiang Wang polished the paper.

Conflicts of Interest: The authors declare no conflict of interest.

Nomenclature

N_t	Number of time interval related to the day-ahead collaborative scheduling
N_τ	Number of time interval related to the hour-ahead optimization
Δt	Length of a single time interval of the day-ahead collaborative scheduling
$\Delta \tau$	Length of a single time interval of the hour-ahead optimization
x	Decision variable
y	Random variable
p	Number of event of dependent chance programming model
q	Number of constraint conditions of dependent chance programming model
$L_{\text{exmin}}\{\cdot\}$	Minimize target vector in lexicographical order
$P_r\{\cdot\}$	Realization probabilities of events
$h_1(x, y)$	Battery swapping demand curtailment in the BSS
$h_2(x, y)$	Wind curtailment in the wind farm
$h_3(x, y, t)$	Absolute value of relative error between output power of the integrated system and its generation schedule
P_{wq}^t	Wind curtailment power at time t
P_{wn}^t	Actual power of wind farm at time t
P_{Plan}^t	Generation schedule at time t
P_{ev}^t	Charging/discharging power of BSS at time t

η_c	Charging efficiency of the BSS
η_d	Discharging efficiency of the BSS
p_c^{\max}	Maximum charge power of the BSS
p_d^{\max}	Maximum discharge power of the BSS
$p_c^{t,\max}$	Maximum permissible charge power for the BSS at time t
Q_{ev}^t	Stored energy of BSS at time interval t
Q_{dn}^t	Actual battery swapping demand at time interval t
Q^{\max}	Maximum energy storage of the BSS
Q^{\min}	Minimum energy storage of the BSS
Q^{ini}	Initial energy storage of the BSS
Q^{end}	Minimum energy storage of the BSS required at the end of the decision-making cycle
ρ_1	Weighting factor of battery swapping demand curtailment
ρ_2	Weighting factor of wind curtailment
ρ_3	Weighting factor of output power deviation
$P_{wn}^{t,\tau}$	Wind power at time τ within the hour t
$P_{wq}^{t,\tau}$	Wind curtailment power at time τ within the hour t
$p_c^{t,\tau}$	Charging power at time τ within the hour t
$p_d^{t,\tau}$	Discharging power at time τ within the hour t
$Q_{ev}^{t,0}$	Initial energy storage of the BSS within the hour t
$Q_{dn}^{t,\tau}$	Battery swapping demand at time interval τ within the hour t
$Q_{ev}^{t,\tau}$	Stored energy of the BSS at time interval τ within the hour t
$U_c^{t,\tau}$	Charging flag of BSS at time τ within the hour t , binary variable
$U_d^{t,\tau}$	Discharging flag of BSS at time τ within the hour t , binary variable
δ	permissible absolute value of relative error of the integrated system and generation schedule
α	maximum permissible absolute value of relative error of the integrated system and generation schedule

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