

# A Transformerless Single-Phase Current Source Inverter Topology and Control for Photovoltaic Applications

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*Date Submitted:* 2018-09-21

*Keywords:* grid-tied photovoltaic systems, renewable sources, current source inverter, leakage current, transformerless inverter

## *Abstract:*

Low power grid-tied photovoltaic (PV) generation systems increasingly use transformerless inverters. The elimination of the transformer allows smaller, lighter and cheaper systems, and improves the total efficiency. However, a leakage current may appear, flowing from the grid to the PV panels through the existing parasitic capacitance between them, since there is no galvanic isolation. As a result, electromagnetic interferences and security issues arise. This paper presents a novel transformerless single-phase Current Source Inverter (CSI) topology with a reduced inductor, compared to conventional CSIs. This topology directly connects the neutral line of the grid to the negative terminal of the PV system, referred as common mode configuration, eliminating this way, theoretically, the possibility of any leakage current through this terminal. The switches control is based on a hysteresis current controller together with a combinational logic circuitry and it is implemented in a digital platform based on National Instruments Technology. Results that validate the proposal, based on both simulations and tests of a low voltage low power prototype, are presented.

*Record Type:* Published Article

*Submitted To:* LAPSE (Living Archive for Process Systems Engineering)

*Citation (overall record, always the latest version):*

LAPSE:2018.0533

*Citation (this specific file, latest version):*

LAPSE:2018.0533-1

*Citation (this specific file, this version):*



LAPSE:2018.0533-1v1

*DOI of Published Version:* <https://doi.org/10.3390/en11082011>

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Article

# A Transformerless Single-Phase Current Source Inverter Topology and Control for Photovoltaic Applications

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Received: 31 May 2018; Accepted: 31 July 2018; Published: 2 August 2018

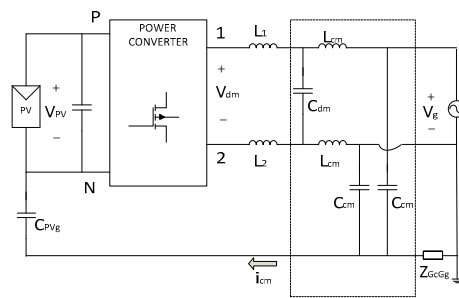


**Abstract:** Low power grid-tied photovoltaic (PV) generation systems increasingly use transformerless inverters. The elimination of the transformer allows smaller, lighter and cheaper systems, and improves the total efficiency. However, a leakage current may appear, flowing from the grid to the PV panels through the existing parasitic capacitance between them, since there is no galvanic isolation. As a result, electromagnetic interferences and security issues arise. This paper presents a novel transformerless single-phase Current Source Inverter (CSI) topology with a reduced inductor, compared to conventional CSIs. This topology directly connects the neutral line of the grid to the negative terminal of the PV system, referred as common mode configuration, eliminating this way, theoretically, the possibility of any leakage current through this terminal. The switches control is based on a hysteresis current controller together with a combinational logic circuitry and it is implemented in a digital platform based on National Instruments Technology. Results that validate the proposal, based on both simulations and tests of a low voltage low power prototype, are presented.

**Keywords:** transformerless inverter; leakage current; current source inverter; renewable sources; grid-tied photovoltaic systems

## 1. Introduction

Low-frequency transformers (50–60 Hz) between the conversion stage and the grid are often included in grid-tied photovoltaic (PV) systems. For security reasons, the grid neutral line and the chassis of the PV panel must be grounded. The transformer ensures that no leakage current flows between the grounded PV panels and the grounded grid neutral line. It also provides galvanic isolation and guarantees the absence of direct current (DC) injected from the PV system into the grid. However, the low-frequency transformer results in bulkier, heavier, and more expensive systems compared to transformerless ones. Finally, it also reduces the total efficiency of the system [1–4]. In order to eliminate these drawbacks, it can be used transformerless inverters. Unfortunately, in this case, a direct electrical connection between the PV panels ground and the grounded grid neutral line appears, due to the existing parasitic capacitances of the PV panels to ground. If a varying common-mode (CM) voltage,  $V_{CM}$ , is generated by the conversion stage, a leakage current, or common-mode currents ( $i_{cm}$ ), flows through the resulting equivalent circuit. This circuit is formed by the inverter and its output filter, the existing ground impedance  $Z_{GcGg}$  and the parasitic capacitance  $C_{PVg}$  between the PV panel and ground, as shown in Figure 1 [2,3,5]. This leakage current affects system efficiency, deteriorates the distortion of the grid current and the electromagnetic compatibility (EMC), and may cause security issues [5–17].



**Figure 1.** Block diagram that illustrates the leakage current path in a transformerless PV inverter.

According to [2,3],  $V_{CM}$  is defined as:

$$v_{CM} = \frac{v_{1N} + v_{2N}}{2} + (v_{1N} - v_{2N}) \frac{L_2 - L_1}{2(L_1 + L_2)} \quad (1)$$

where  $v_{1N}$  is the voltage difference between points “1” and “N”,  $v_{2N}$  is the voltage difference between points “2” and “N” and,  $L_1$  and  $L_2$  are the output filter inductors.

Thus, the value of the leakage current mainly depends on the value of the parasitic capacitance of the PV system and the common-mode voltage,  $V_{CM}$ , which is closely related to the inverter modulation strategy [6,7]. The PV panel and its frame structure, the surface and the distance between cells, the ambient conditions or the converter EMC filter are, among others, factors that affect to the value of the parasitic capacitance [8].

In order to minimize the generation of variable  $V_{CM}$  voltages in transformerless PV systems, several solutions have been proposed: in [18], a review of several modulation strategies to suppress leakage currents is presented. Modulation techniques that do not produce a variable  $V_{CM}$ , such as Bipolar Sinusoidal Pulse Width Modulation (BSPWM), are a possible solution [18]. Another option is isolating the PV system from the grid when  $V_{CM}$  varies. Topologies such as H5, H6, HERIC and those shown in [19–21], have been proposed following this principle. Finally, other solutions propose the direct connection of the negative terminal of PV to the neutral line of the grid, referred here as common mode (CM). Such a configuration is proposed in [22]. It is worth mentioning that all these topologies are voltage source inverters (VSI).

Another option for a transformerless PV inverter is the Current Source Inverter (CSI). The voltage and current waveforms of this kind of inverters make them suitable for high power applications and adjustable speed drives. They also present low EMC issues, low torque pulsations, and reduced stress on motor insulation. Fuel cells and wind turbine farms connected to the grid also use CSI converters, since they present boost capability and permit parallel operation [23].

The CSI is also a natural candidate for transformerless PV inverters since they keep constant the value of the current at a defined set-point. In PV systems it is always desirable to have a Maximum Power Point Tracking (MPPT) algorithm in order to optimize the energy obtained from the PV panels. The PV panel current is one of the variables usually needed to implement an MPPT algorithm, so that the optimum value of the PV panel current can be used as the CSI current set-point.

Few CSI topologies for transformerless PV systems can be found in the literature. The Flying Inductor topology (FI), also known as the Karschny inverter, has the negative PV array connected directly to the neutral terminal of the grid, behaving almost as a CSI inverter in common mode configuration [24]. For this converter, the input voltage must be higher than the AC mains. Very recently, a modified FI with buck-boost capabilities has been presented [25]. The FI topology demands a high low-frequency input-current ripple from the PV panel, although it can be reduced with the appropriate design of the input capacitor placed in parallel with the PV panel. Recently, some CSI proposals for leakage current reduction have appeared in the literature. A transformerless CSI with low leakage current, based on the VSC H5 topology, is presented in [26]. In the same way, two transformerless three-phase CSI, based

on the VSC H7 topology, are presented in [27,28]. These schemes are based on traditional differential mode inverters.

Following this trend, this paper presents a novel CSI topology with low leakage current, that could be considered a modified FI topology. It features low switching  $dv/dt$  and reliable protection against over-currents and short-circuits. The presented topology uses the common mode configuration, so the neutral line of the grid is connected directly to the negative terminal of the PV system, unlike the existing proposals. This connection maintains its voltage constant and negligible leakage current is generated, ideally zero. Another characteristic of this topology is that it allows the use of a smaller inductor  $L$ , compared to those usually found in conventional CSIs.

## 2. Proposed Topology

The circuit diagram of the proposed inverter is shown in Figure 2. It is based on a CSI in which, as mentioned before, the neutral line of the grid is connected directly to the negative terminal of the PV system. The output of the inverter is coupled to the grid through a CLC filter. It is composed of six power Metal-Oxide-Semiconductor Field-Effect Transistors (MOSFET) switches ( $S_1, S_2, S_3, S_4, S_5$  and  $S_6$ ) with a diode in series each, two capacitors ( $C_f$  and  $C_{fout}$ ) and two inductors ( $L$  and  $L_f$ ). Only two of the MOSFETs are conducting in each switching state.

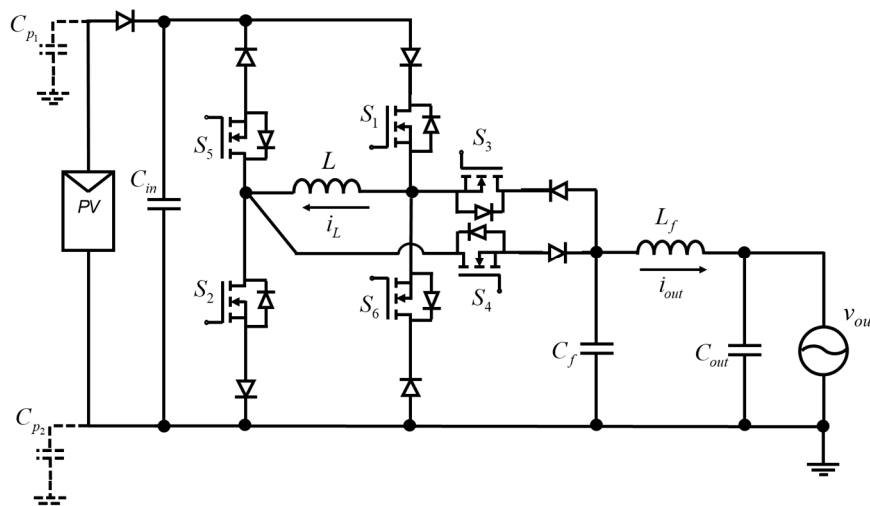


Figure 2. Proposed topology, including parasitic capacitances  $C_{p1}$  and  $C_{p2}$ .

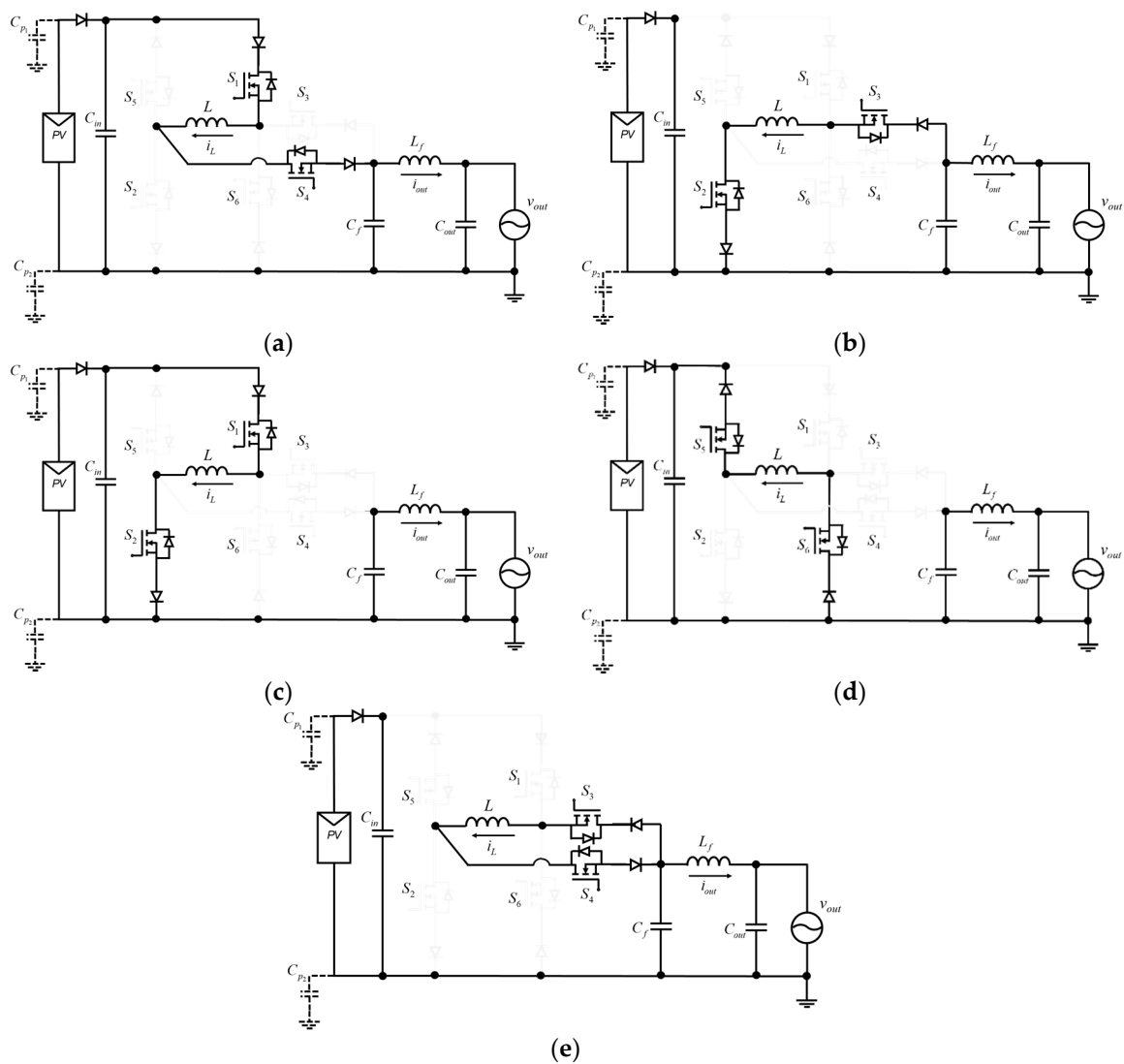
### 2.1. Analysis of the CSI Converter

In order to analyze the topology, an equivalent subcircuit is obtained for each switching state of the inverter, depending on what switches are On or Off simultaneously. The proposed CSI can be in one of five states, as summarized in Table 1.

Table 1. Switching states.

Switch	Subcircuit 1 (+)	Subcircuit 2 (−)	Subcircuit 3 (0)	Subcircuit 4 (0)	Subcircuit 5 (0)
$S_1$	On	Off	On	Off	Off
$S_2$	Off	On	On	Off	Off
$S_3$	Off	On	Off	Off	On
$S_4$	On	Off	Off	Off	On
$S_5$	Off	Off	Off	On	Off
$S_6$	Off	Off	Off	On	Off

The equivalent subcircuits for each state are depicted in Figure 3.



**Figure 3.** Circuit diagrams of the equivalent subcircuits (a) Subcircuit 1; (b) Subcircuit 2; (c) Subcircuit 3; (d) Subcircuit 4; (e) Subcircuit 5.

2.1.1. Subcircuit 1

This subcircuit is defined by  $S_1$  and  $S_4$  turned on, and all other switches turned off (Figure 3a). This subcircuit generates the current for the positive half cycle of the output waveform. The inductor current  $i_L$  increases in this stage if PV panel input voltage  $V_{in}$  is greater than filter capacitor voltage  $V_{Cf}$ . At the same time,  $V_{Cf}$  increases depending on the sign of  $i_L - i_{Lf}$ , and filter current  $i_{Lf}$  increases if voltage  $V_{Cf}$  is greater than grid voltage  $V_{out}$ . Otherwise, all of them decrease. The state equations are:

$$\frac{d}{dt}i_L = \frac{V_{in} - V_{Cf}}{L} \tag{2}$$

$$\frac{d}{dt}V_{Cf} = \frac{i_L - i_{Lf}}{C_f} \tag{3}$$

$$\frac{d}{dt}i_{Lf} = \frac{V_{Cf} - V_{out}}{L_f} \tag{4}$$

### 2.1.2. Subcircuit 2

This subcircuit is defined by  $S_2$  and  $S_3$  turned on, and all other switches turned off (Figure 3b). This subcircuit generates the current for the negative half cycle of the output waveform. The inductor current  $i_L$  increases in this stage if  $V_{Cf}$  is positive, otherwise decreases; filter capacitor voltage  $V_{Cf}$  decreases depending on the sign of  $i_L + i_{Lf}$ , and filter current  $i_{Lf}$  increases if  $V_{Cf}$  is greater than  $V_{out}$ , otherwise decreases. The state equations are Equation (4) and:

$$\frac{d}{dt}i_L = \frac{V_{Cf}}{L} \quad (5)$$

$$\frac{d}{dt}V_{Cf} = -\frac{i_L + i_{Lf}}{C_f} \quad (6)$$

### 2.1.3. Subcircuit 3

In this subcircuit, the switches  $S_1$  and  $S_2$  are turned on, and all other switches are turned off (Figure 3c). The inductor current  $i_L$  increases and the filter capacitor voltage  $V_{Cf}$  decreases. The filter current  $i_{Lf}$  only increases if  $V_{Cf}$  is greater than  $V_{out}$ ; if not, it decreases. No output current is generated in this stage. The state equations are Equation (4) and:

$$\frac{d}{dt}i_L = \frac{V_{in}}{L} \quad (7)$$

$$\frac{d}{dt}V_{Cf} = -\frac{i_{Lf}}{C_f} \quad (8)$$

### 2.1.4. Subcircuit 4

In this subcircuit, the switches  $S_5$  and  $S_6$  are turned on, and all other switches are turned off (Figure 3d). The inductor current  $i_L$  and the filter capacitor voltage  $V_{Cf}$  decreases. The filter current  $i_{Lf}$  only increases if  $V_{Cf}$  is greater than  $V_{out}$ ; if not, it decreases. No output current is generated in this stage. The state equations are Equations (4) and (8):

$$\frac{d}{dt}i_L = -\frac{V_{in}}{L} \quad (9)$$

### 2.1.5. Subcircuit 5

In this subcircuit, the switches  $S_3$  and  $S_4$  are turned on, and all other switches are turned off (Figure 3e). Ideally, the inductor current  $i_L$  remains constant and the filter capacitor voltage  $V_{Cf}$  decreases. The filter current  $i_{Lf}$  only increases if  $V_{Cf}$  is greater than  $V_{out}$ ; if not, it decreases. No output current is generated in this stage. The state equations are Equations (4) and (8):

$$\frac{d}{dt}i_L = 0 \quad (10)$$

Table 2 summarizes the significant voltages and currents for each subcircuit. It indicates under which conditions their values increase or decrease.

**Table 2.** Voltages and currents behavior.

Variable	Subcircuit 1	Subcircuit 2	Subcircuit 3	Subcircuit 4	Subcircuit 5
$i_L$	Increases if $V_{in}$ is greater than $V_{Cf}$ otherwise decreases	Increases if $V_{Cf}$ is positive otherwise decreases	Increases	Decreases	No change
$V_{Cf}$	Depends on the value of $i_L - i_{Lf}$	Depends on the value of $i_L + i_{Lf}$	Decreases	Decreases	Decreases
$i_{Lf}$	Increases if $V_{Cf}$ is greater than $V_{out}$ otherwise decreases	Increases if $V_{Cf}$ is greater than $V_{out}$ otherwise decreases	Increases if $V_{Cf}$ is greater than $V_{out}$ otherwise decreases	Increases if $V_{Cf}$ is greater than $V_{out}$ otherwise decreases	Increases if $V_{Cf}$ is greater than $V_{out}$ otherwise decreases

## 2.2. Model of the CSI Converter

In order to obtain a model of the converter, we define  $U_1$ ,  $U_2$ , and  $U_5$  as the control laws for switches  $S_1$ ,  $S_2$ ,  $S_3$ ,  $S_4$ ,  $S_5$  and  $S_6$ , according to Figure 2.

$$U_1 = \begin{cases} 1 & \text{if } S_1 \text{ is on, but } S_3 \text{ is off} \\ 0 & \text{if } S_3 \text{ is on, but } S_1 \text{ is off} \end{cases} \quad (11)$$

$$U_2 = \begin{cases} 0 & \text{if } S_2 \text{ is on, but } S_4 \text{ is off} \\ 0 & \text{if } S_4 \text{ is on, but } S_2 \text{ is off} \end{cases} \quad (12)$$

$$U_5 = \begin{cases} 1 & \text{if } S_5 \text{ and } S_6 \text{ are on} \\ 0 & \text{if } S_5 \text{ and } S_6 \text{ are off} \end{cases} \quad (13)$$

The model is obtained considering Equation (2) through Equation (13), as follows:

$$\frac{d}{dt} i_L = \frac{V_{in} (U_1 - U_5 - U_1 U_5) - V_{Cf} (U_5 - 1) (U_1 - U_2)}{L} \quad (14)$$

$$\frac{d}{dt} V_{Cf} = - \frac{i_L (U_5 - 1) (U_1 - U_2) + i_{Lf}}{C_f} \quad (15)$$

$$\frac{d}{dt} i_{Lf} = \frac{V_{Cf} - V_{out}}{L_f} \quad (16)$$

The capacitor  $C_{out}$  is not a state variable since it is at the same voltage as the grid.

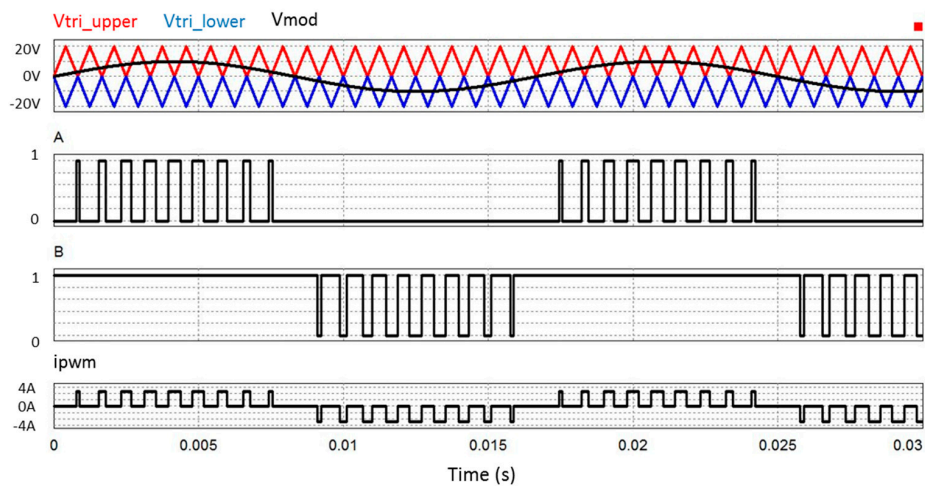
## 2.3. Proposed Controller of the CSI Converter

For the modulation strategy of the proposed converter, Unipolar Sinusoidal Pulse Width Modulation (USPWM) has been chosen [18]. To do so, a sinusoidal modulator signal is compared with two symmetrical triangular carrier signals. Then, comparing the sinusoidal modulator signal with the upper triangular carrier, the auxiliary control signal A is obtained. In the same way, the auxiliary control signal B is obtained comparing the sinusoidal modulator signal with the lower triangular carrier.

Both signals A and B at a logic high level correspond to subcircuit 1, indicating that the output current  $i_{out}$  will be positive. Both signals A and B at a low logic level correspond to subcircuit 2, indicating that the output current  $i_{out}$  will be negative. Finally, both signals A and B at different logic levels correspond to any of the subcircuits 3, 4 or 5, indicating a zero output current  $i_{out}$ . Subcircuits 1 and 2 increase or decrease the inductor current  $i_L$  respectively. In order to maintain the  $i_L$  current constant, as in any CSI converter, the controller has to select the appropriate subcircuit 3, 4 or 5.

So, subcircuits 1 and 2 are in charge of providing the output current  $i_{out}$ , while subcircuits 3, 4 and 5 are responsible for keeping constant the inductance current  $i_L$ .

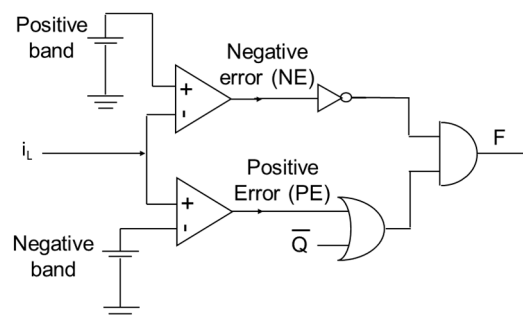
All these waveforms are shown in Figure 4. Note that two low-frequency triangular carrier signals have been used only to better illustrate the operation.



**Figure 4.** From top to bottom; low-frequency USPWM pattern generation comparing two triangular carrier signals,  $V_{tri\_upper}$  and  $V_{tri\_lower}$  with a sinusoidal modulating signal,  $V_{mod}$ ; the auxiliary logic control signal A; auxiliary logic control signal B; and output current  $i_{pwm}$  before the CLC filter.

### 2.3.1. Inductor Current Control

The current controller consists of a hysteresis controller along with a zero output current selector subcircuit. For the hysteresis controller, it has to be defined a value for the current hysteresis band, around the constant value of the inductor current  $i_L$ . When the current  $i_L$  is higher or lower than desired, a high logic level signal PE or NE is generated respectively, by means of two comparators. These signals together with a signal  $\bar{Q}$ , coming for the zero output current subcircuit selector, generates signal F. This signal will be used as an input to select the appropriate zero output current subcircuit 3 or 4, in order to increase or decrease current  $i_L$ . The hysteresis controller associated circuitry is shown in Figure 5.



**Figure 5.** Hysteresis controller circuitry. Band comparators for PE and NE signals generation. Positive\_band and Negative\_band are the upper and lower limits of the current hysteresis band, respectively. The logic circuit for signal F generation, including signal  $\bar{Q}$ .

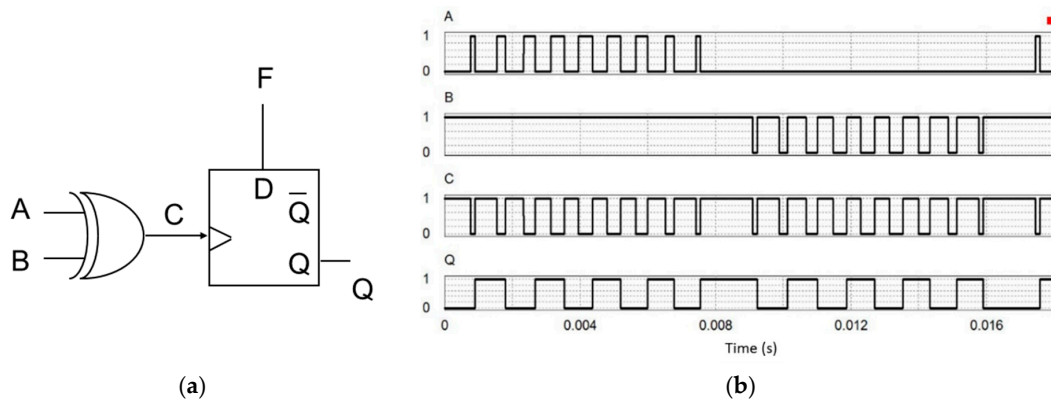
It has to be noticed that although the hysteresis controller is a frequency variable control, its output signal F is the input to a bi-stable of the zero output current selector subcircuit which is clocked by the Sinusoidal Pulse Width Modulation (SPWM) signal, resulting, then, in a constant switching frequency.

The zero output current selector subcircuit detects any zero output current  $i_{pwm}$  state. To do so, an XOR function of signals A and B is performed, obtaining an auxiliary output signal C. This signal is at a high logic level at any zero output current  $i_{pwm}$  and is also used as the CLK input to a D-type positive edge triggered flip-flop, which will divide the frequency of C by half. The input of the D-type flip-flop is signal F, coming from the hysteresis controller. The output signal Q allows differentiating



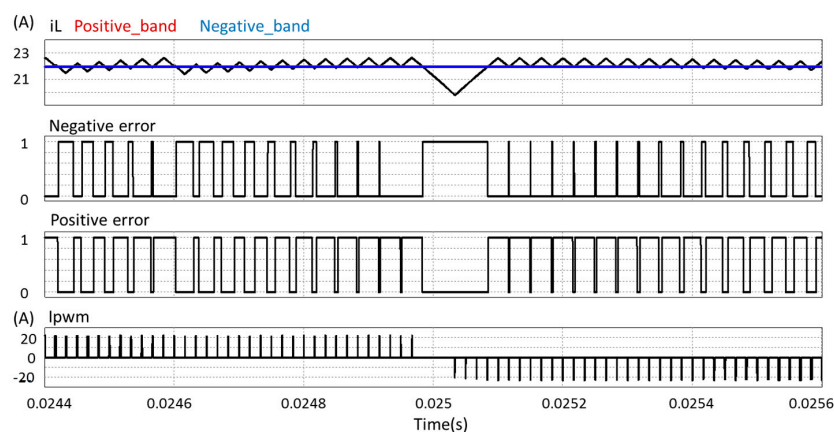
two cases for the zero output current state. Signal Q at a high logic level indicates that subcircuit 3 must be used, and signal Q at a low logic level indicates that subcircuit 4 must be used.

Therefore, this current controller allows to increase and decrease the current  $i_L$  during the zero output current states in order to keep  $i_L$  constant. These signals and the associated logic circuit are shown in Figure 6 (a low-frequency signal was used to illustrate the operation).



**Figure 6.** Zero output current subcircuit selector. (a) Logic circuit; (b) From top to bottom, auxiliary logic control signals A, B, C, and Q.

It has to be noticed that the selection of the appropriate zero output current  $i_{pwm}$  state depends on changes of signals A and B. During the sinusoidal output current zero crossing, both signals A and B do not change in an interval. During this interval, it is not possible to change the state of charge or discharge of the inductance  $L$ , so the current  $i_L$  will remain increasing or decreasing according to the last zero output current state selected. This may cause an overcurrent, as seen in Figure 7. The length of this interval depends mainly on the frequency of the SPWM carrier signal and to a lesser extent on the amplitude modulation index. This drawback can be overcome increasing the SPWM carrier signal frequency, at a higher cost in switching losses; increasing the value of the inductance  $L$  to limit the maximum current  $i_L$ , at a higher cost in size and weight of this inductance; or oversizing the nominal current of the switches. Alternatively, a new control with an independent second hysteresis band can be designed, at a higher cost in control complexity.



**Figure 7.** From top to bottom; inductor current  $i_L$ , upper and lower limits of the current hysteresis band, Positive\_band and Negative\_band respectively; Negative\_error (NE) is the hysteresis comparator output logic signal generated when the inductor current  $i_L$  is lower than Negative\_band; Positive\_error (PE) is the hysteresis comparator output logic signal generated when the inductor current  $i_L$  is higher than Positive\_band; output current  $i_{pwm}$  before the CLC filter.

A combinational logic circuit is employed to determine the drive signals of the switches, for which signals A, B, Q and the states of Table 1 are considered. The truth tables for each switch are shown in Figure 8.

		AB			
		00	01	11	10
Q	0	0	0	1	X
	1	0	1	1	X

(a)

		AB			
		00	01	11	10
Q	0	1	0	0	X
	1	1	1	0	X

(b)

		AB			
		00	01	11	10
Q	0	1	0	0	X
	1	1	0	0	X

(c)

		AB			
		00	01	11	10
Q	0	0	0	1	X
	1	0	0	1	X

(d)

		AB			
		00	01	11	10
Q	0	0	1	0	X
	1	0	0	0	X

(e)

**Figure 8.** Truth tables of the control signals of the switches (a) Switching logic for  $S_1$ :  $S_1 = B(A + Q)$ ; (b) Switching logic  $S_2$ :  $S_2 = \overline{A}(\overline{B} + Q)$ ; (c) Switching logic for  $S_3$ :  $S_3 = \overline{A}\overline{B}$ ; (d) Switching logic for  $S_4$ :  $S_4 = AB$ ; (e) Switching logic for  $S_5$  and  $S_6$ :  $S_5 = S_6 = \overline{A}\overline{B}\overline{Q}$ .

#### 2.4. CSI Converter Synthesis

The design criteria for the different components of the converter are detailed. A low voltage low power prototype has been built and tested following these design guidelines. Since the main purpose is to validate the topology and the proposed control, it is not an optimized design in terms of efficiency, size, and weight.

##### 2.4.1. Inductor

It is desirable to have the minimum possible inductor  $L$ . It has to be large enough to store energy to maintain an average constant value of the  $i_L$  current, and to limit its excursion as close as possible to the hysteresis band. Its design has to take into account the worst case of operation, corresponding to a change from subcircuit 3 to subcircuit 1, in which the inductor current  $i_L$  increases, as shown in Figure 9. Equations (17) and (18) determine the necessary inductance  $L_{S3}$  and  $L_{S1}$  to limit the maximum value of the increment of  $i_L$  ( $\Delta i_L$ ) at each stage, derived from Equations (2) and (7), respectively:

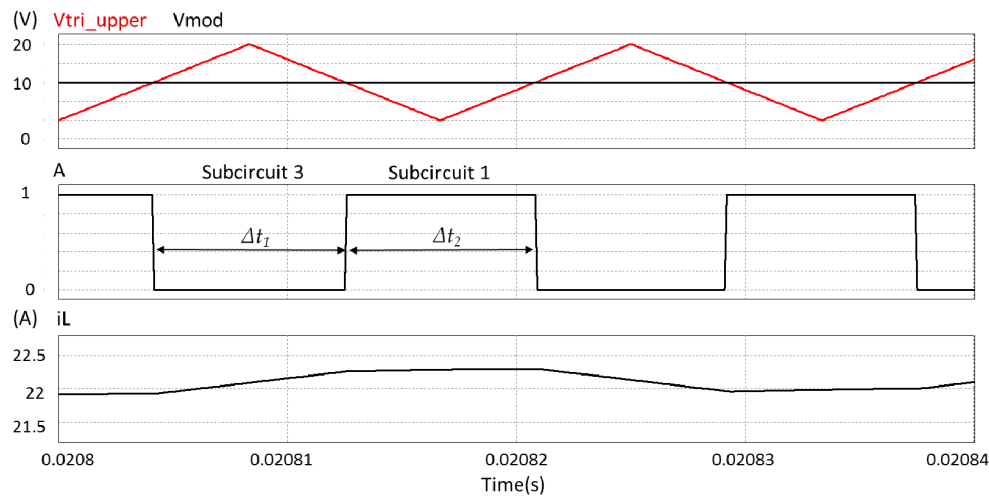
$$L_{S3} = \frac{V_{in}\Delta t_1}{\Delta i_L} \quad (17)$$

$$L_{S1} = \frac{(V_{in} - V_{out})\Delta t_2}{\Delta i_L} \quad (18)$$

where  $\Delta t_1$  and  $\Delta t_2$  are the duration of subcircuits 3 and 1 respectively. These stages are consecutive, both contribute to  $\Delta i_L$ , so the necessary  $L$  is obtained by adding Equations (17) and (18), resulting in Equation (19):

$$L = \frac{V_{in}\Delta t_1 + (V_{in} - V_{out})\Delta t_2}{\Delta i_L} \quad (19)$$

It can be observed that the contribution of subcircuit 3 to  $i_L$  is higher than the contribution of subcircuit 1. The time  $\Delta t_1$  is maximum at the peak of the sinusoidal modulator signal and depends on the modulation index of the SPWM. At low modulation indexes, subcircuit 3 stage is longer than at high modulation indexes. A conservative value of 0.5 for the modulation index can be taken as design criteria.



**Figure 9.** Simulated signals. From top to bottom; SPWM signal at the peak of the grid voltage, where Vmod is the sinusoidal modulator signal and Vtri\_upper is the upper the triangular carrier signal. Auxiliary control logic signal A, where the first 0 state corresponds to subcircuit 3, with a duration of  $\Delta t_1$ , and the following 1 state corresponds to subcircuit 1, with a duration of  $\Delta t_2$ . Inductor current  $i_L$ , increasing during subcircuits 3 and 1.

For an input voltage  $V_{in} = 200$  V, average current  $i_L = 22$  A, hysteresis band of 0.02 A, switching frequency  $f_{sw} = 60$  kHz, modulation signal  $f_{grid} = 60$  Hz and modulation index of 0.5; a value of  $\Delta t_1 \approx \Delta t_2 = 10$   $\mu$ s is obtained by simulation. With a  $\Delta i_L = 0.5$  A (2.5% of  $i_L$ ) results in an inductance of approximately 5 mH.

As mentioned in Section 2.3.1, during the sinusoidal output current  $i_{out}$  zero crossing an overcurrent may occur. For the proposed values, the overcurrent interval lasts up to 50  $\mu$ s, the value was obtained by simulation, and an overcurrent of  $\Delta i_L \approx 2.5$  A may be expected.

An alternative criteria design for inductance  $L$  is to limit this maximum current  $i_L$ . A worst case would be subcircuit 3, Equation (17), with the aforementioned value of  $\Delta t_1 \approx 50$   $\mu$ s obtained by simulation, resulting in an inductance  $L$  of approximately 10 mH.

#### 2.4.2. Output Filter

For reducing current ripple provoked by PWM high-frequency switching and improve Total Harmonic Distortion (THD) of the output current  $i_{out}$ , it is necessary an output filter. A CLC filter has been implemented. It consists of a conventional CL filter plus an additional capacitor  $C_{out}$  on the grid side. This configuration shows better performance with smaller size and capacity compared to a CL filter. A detailed analysis and calculation method, including filter resonance, damping methods, and grid inductance influence can be found in [29]. As a simplified approach, a CL filter can be first calculated [30]. Equations (20) and (21) are the current function transfer  $G_{CL}$  and cut-off frequency  $f_{coff}$ , respectively, for an ideal non-dumped filter, although a small series dumping effect is always present due to capacitors ESR and inductance winding resistance:

$$G_{CL} = \frac{1}{(j\omega)^2 C_f L_f + 1} \quad (20)$$

$$f_{\text{coff}} = \frac{1}{2\pi\sqrt{C_f L_f}} \quad (21)$$

For a switching frequency of  $f_{\text{sw}} = 60$  kHz, a cut-off frequency  $f_{\text{coff}} = 1.5$  kHz, and selecting a  $C_f = 5$   $\mu\text{F}$  film capacitor, an inductance  $L_f = 2$  mH is obtained. A  $C_{\text{out}} = 0.1$   $\mu\text{F}$  capacitor is then added, taking into account that the two resonance frequencies of a CLC filter are related to all components values of the filter ( $C_f$ ,  $L_f$ ,  $C_{\text{out}}$  and grid variable inductance) [29]. These values are validated by simulation.

#### 2.4.3. Semiconductors Selection

In CSI converters, the semiconductors for the switches must be unidirectional in current, so if MOSFETs are selected, a series diode must be included. Another option is the use of single Insulated Gate Bipolar Transistors (IGBT) as switches (without anti-parallel diode), reducing the number of components and increasing system reliability since no series diodes are needed. Regarding efficiency, it should be evaluated if two low loss semiconductors in series, such as SiC MOSFETs and SiC diodes, offer a better performance than a single IGBT.

In the prototype developed, Si MOSFETs and diodes have been used because of the availability of these components. For the currents and voltages expected, <5 A and <230 V respectively, an IRF840 MOSFET (8 A, 500 V,  $R_{\text{DS}} = 0.85$   $\Omega$ , Fairchild Semiconductor Corporation, Sunnyvale, CA, USA) and a MUR840 diode (8 A, 400 V, Fairchild Semiconductor Corporation, Sunnyvale, CA, USA) have been selected.

#### 2.4.4. Comparison with Other CSI Schemes

Comparing the proposed converter with other CSI topologies, it can be found that a significant reduction in the inductor  $L$  is achieved. A general classification of CSI can be found in [31]. For Multilevel Paralleled CSI, the design criteria for the inductor is the energy stored in order to maintain the output current, resulting in large inductors of 400 mH for inductor currents of 0.5 A [31]. The Multilevel Two-stages CSI uses two inductors, the input and the balanced inductor, of 600 mH and 60 mH respectively, for currents of 2 A. These inductors can be reduced at higher currents, but its value still remains high [32]. The Embedded Multilevel CSI uses two balance inductors of 45 mH each, and an input inductor of 105 mH for a total current of 18 A [33]. The PWM CSI has values of 80 mH for inductor currents of 10 A [34]. A modified PWM CSI topology allows reductions of the inductor up to 7 mH for inductor currents of 91 A [35]. Also, the reduction can be obtained by means of the switching strategies, resulting in inductors of 30 mH for 9 A currents [36].

Note that for the same current of the PWM CSI of [34] and keeping  $\Delta i_L = 2.5\%$  of  $i_L$ , and approximately inductance of 750  $\mu\text{H}$  results. Also note that none of these topologies deal with leakage current reduction. Recently, some proposals for leakage current reduction have appeared. A transformerless CSI with low leakage current, based on the VSC H5 topology, is presented in [26], with an inductor of 8 mH for inductor currents of 8 A. It concludes that for an inductor reduction, higher switching frequencies should be achieved by means of SiC devices, and then the leakage current may be an important issue. In the same way, two transformerless three-phase CSI, based on the VSC H7 topology, are presented in [27,28]. A reduced inductor of 2 mH, but without information regarding the inductor current, is used in [27]. Two different modulation strategies are presented in [28], with an inductor of 2 mH for inductor currents of 8 A. Table 3 summarizes the significant values of the CSI schemes compared.

As it can be observed in the table, the proposed topology offers the best leakage current reduction since it uses the common mode configuration. Some of the other schemes also have low values for leakage currents, but not as low as the proposed one, since they all are based on traditional differential mode inverters.

**Table 3.** CSI topologies comparison, including inductor values.

Converter	Semiconductors		Passive Components			Other Characteristics				
	Voltage Stress	Switches	Diodes	Ls	Cs	Inductor Value	Inductor Current	Leakage Current	Output Waveform	Efficiency
Reference [31]	$V_{pk}$	8	8	2	1	400 mH	500 mA	High	Multilevel	Not available
Reference [32]	$V_{pk}$	6	6	2	1	60 mH	2 A	High	Multilevel	>90%
Reference [34]	$V_{pk}$	4	4	1	1	80 mH	10 A	High	Unipolar	Not available
Reference [33]	$> V_{pk}$	8	8	2	1	45 mH	18 A	High	Multilevel	Not available
Reference [26]	$V_{pk}$	5	5	2	1	8 mH	8 A	24.7 mA	Unipolar	Not available
Reference [36]	$V_{pk}$	6	6	1	3	30 mH	9 A	High	Unipolar, 3-phase	Not available
Reference [35]	$V_{pk}$	7	7	1	3	7 mH	91 A	High	Unipolar, 3-phase	Not available
Reference [27]	$V_{pk}$	7	7	1	3	2 mH	Not available	26 mA	Unipolar, 3-phase	>90%
Reference [28]	$V_{pk}$	7	7	1	3	2 mH	8 A	Low	Unipolar, 3-phase	>90%
Proposed	$V_{pk}$	6	6	1	1	5 mH, 150 uH	1 A, 100 A	<10 mA	Unipolar	>90%

$V_{pk}$  is the peak of the grid voltage.

### 3. Simulation and Prototype Test Results

The proposed system was first numerically simulated and then tested in a low power prototype, in order to confirm the feasibility of the proposed system.

#### 3.1. Simulations Results

A simulation using PSIM<sup>®</sup> (Version 11.1, Powersim Inc., Rockville, MD, USA) was performed for the circuit of Figure 2, in which the CSI inverter is connected to the grid. Parameter values for the simulation are shown in Table 4.

Table 4. Simulation parameters.

Parameter	Symbol	Value	Parameter	Symbol	Value
Input voltage	$V_{in}$	200 V	RMS output voltage	$V_{out}$	127 V
Inductor	$L$	5 mH	Inductor current	$i_L$	22 A
Filter capacitor	$C_f$	5 $\mu$ F	Current hysteresis	Hysteresis	0.04 A
Filter inductor	$L_f$	2 mH	Grid frequency	$f_{grid}$	60 Hz
Output capacitor	$C_{out}$	0.1 $\mu$ F	Switching frequency	$f_{sw}$	60 kHz

The operation of the proposed hysteresis controller shown in Figure 10. Figure 10a shows several periods of auxiliary control signals A and B, the resulting output current before de CLC filter,  $i_{pwm}$ , and the inductor current  $i_L$ , in order to illustrate the steady state operation of the system. Figure 10b shows a zoom of the rounded area in Figure 10a. It can be observed that the system operates as expected. The signals  $V_{out}$ ,  $i_{out}$ , and  $i_L$  are shown in Figure 11. As it can be observed, the current of the inductor  $L$  is controlled around the desired level by means of the hysteresis band, except during the sinusoidal output current  $i_{out}$  zero crossing, where an overcurrent occurs, although always within acceptable limits. The voltage and the current are operated with a CLC filter. The output signals can be viewed clearly sinusoidal and in phase. The THD of the output current at steady state is 1.8%.

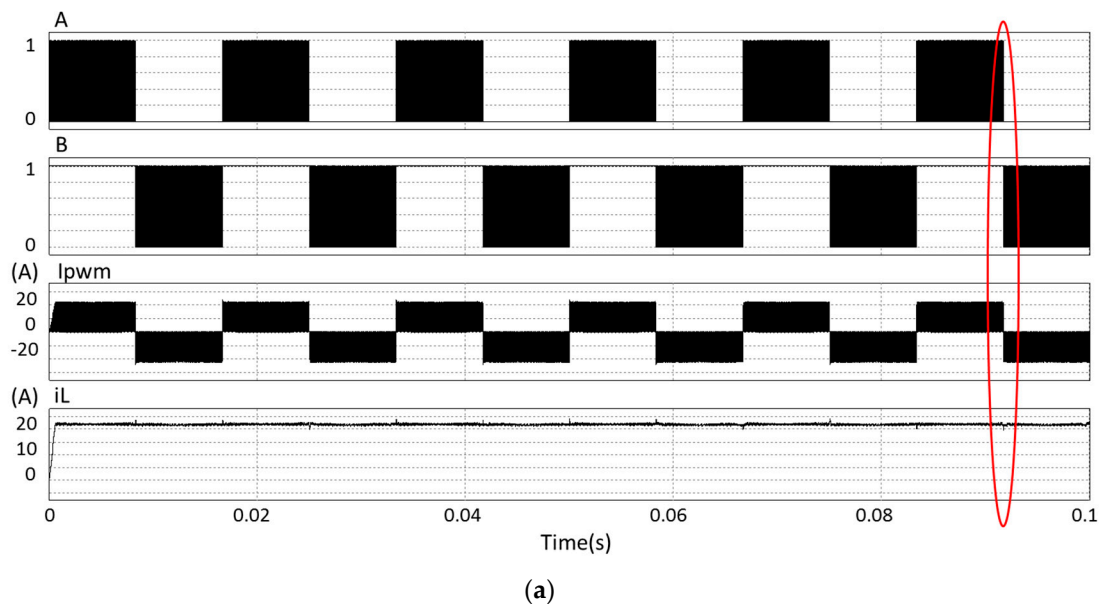
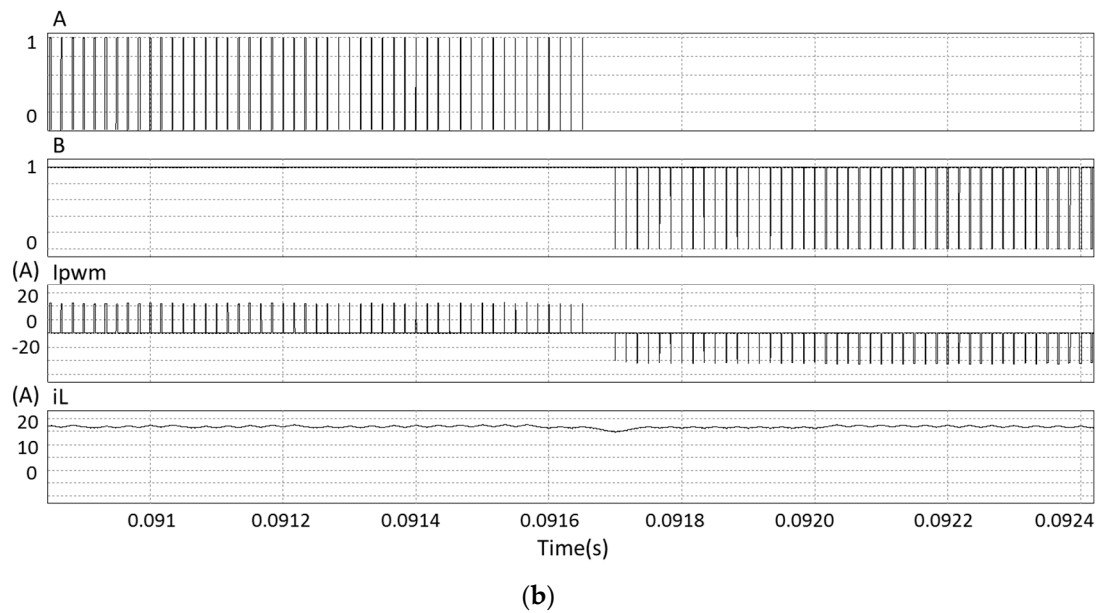
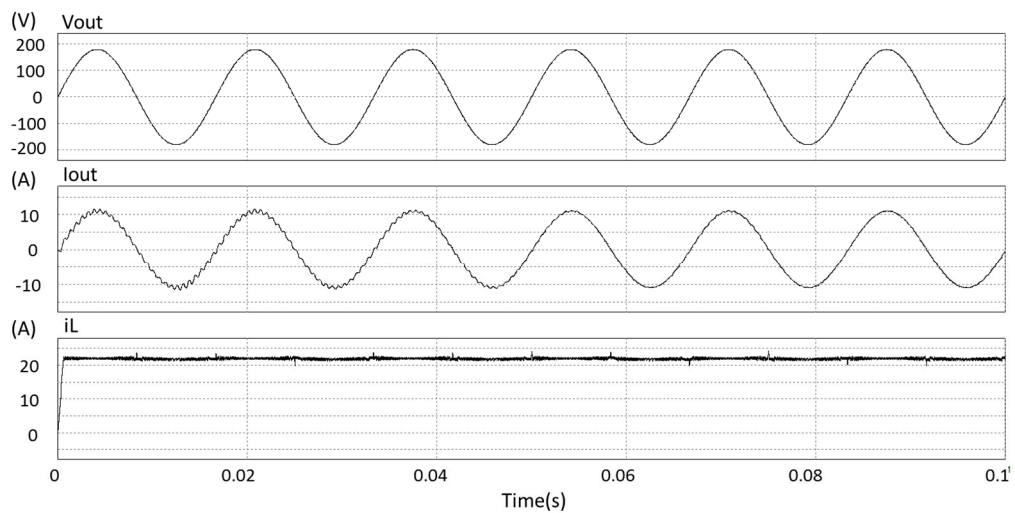


Figure 10. Cont.



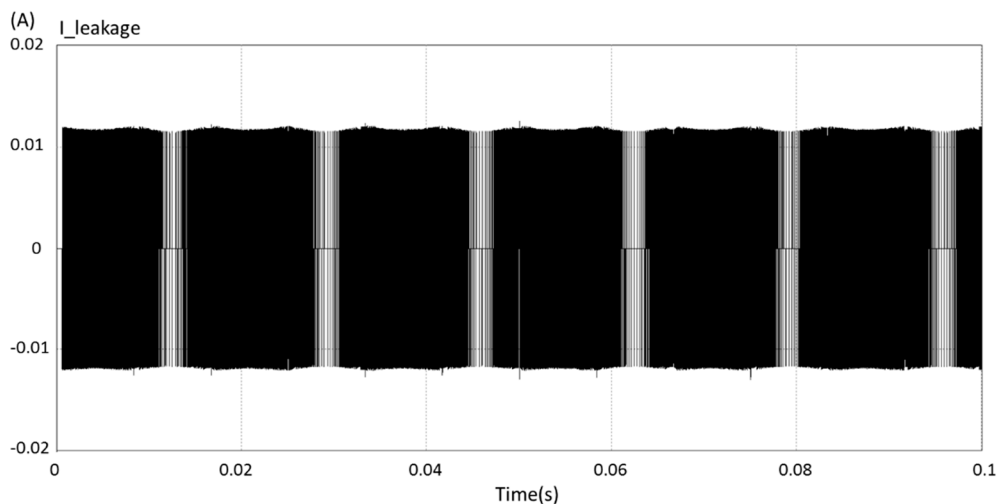
**Figure 10.** From top to bottom: Auxiliary logic control signals A and B, unfiltered output current  $i_{pwm}$ , and inductor current  $i_L$ . (a) Complete signals in several periods; (b) Zoom of the rounded area of (a).  $V_{out} = 127$  Vrms, 60 Hz,  $i_L = 22$  A,  $P_{out} = 1$  kW.



**Figure 11.** Operation at startup and steady state. From top to bottom: output voltage  $V_{out}$ ; output current  $i_{out}$ ; and inductor current  $i_L$ .  $V_{out} = 127$  Vrms, 60 Hz,  $i_{out} = 7.8$  Arms,  $i_L = 22$  A,  $P_{out} = 1$  kW.

The leakage current in the solar panel is under 15 mA for a conservative value of the parasitic capacitance  $C_{PVg} = 5$  nF every 200 W, that makes a total of 25 nF for an array of panels of 1 kW, as it can be seen in Figure 12.





**Figure 12.** Leakage current. Maximum values are under 15 mA. Parasitic capacitance  $C_{PVg} = 25$  nF for an array of panels of 1 kW.

### 3.2. Prototype Test

The proposed system was designed and built. The controller was implemented on an electronic board based on the National Instruments technology. The platform considered was the General Purpose Inverter Controller (GPIC), together with the LabVIEW visual programming software. The protections have been also programmed.

#### 3.2.1. Controller Stage

The first component of the implemented controller in the LabVIEW software (2016 version, National Instruments Corporation, Austin, TX, USA) is the input/output block. The grid voltage  $V_{line}$  and the inductor current  $i_L$  are sensed by means of the LEM LV25-P and LTS 25-NP voltage and current sensors, respectively. These signals are read by the GPIC. Also, the output signal for driving the switches are implemented. The current reference, free of distortions and synchronized with the grid, was generated by means of a Second Order Generalized Integrator-Frequency Looked Loop (SOGI-FLL). The amplitude was normalized, in order to avoid the dependency from the input voltage variations, and a measurements offset elimination stage was also added. A soft-start has also been implemented, to avoid high currents at the start-up of the power stage. It consists of an AC mains zero crossing detector that triggers the start-up of the converter. The switches control signals are generated following a USPWM pattern. The sinusoidal signal reference, synchronized with the grid, comes from de SOGI-FL, and the triangular carrier signals are at a frequency of 60 kHz. As it was mentioned before, a combinational circuit and a hysteresis controller is employed to regulate the current of the inductor  $L$ ,  $i_L$ , selecting the appropriate subcircuit according to the Table 2. SPWM, the hysteresis controller, the control signals A, B, F and Q, subcircuit switches selection and overlapping time are generated by logic blocks implemented on the GPIC platform. One of the main advantages of using LabVIEW is that a friendly-user interface can be easily implemented. It allows to fix the converter control parameters, such as the current protection, the desired inductor current  $i_L$  level (set point), the width of the hysteresis band or the soft-start operation; and also some signals can be shown, to monitor the status of the converter. A diagram of the blocks of the controller is shown in Figure 13.



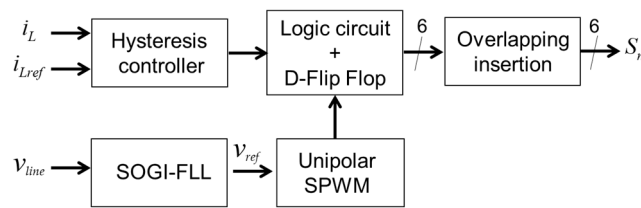


Figure 13. Diagram of the blocks of the proposed controller.

### 3.2.2. Experimental Test

A low power prototype was implemented, just to illustrate the operation of the proposed topology and control. A PV panel simulator E4361A from Agilent Technologies was used to generate the input voltage  $V_{in}$ . This simulator generates a maximum output voltage of 60 V and a maximum output current of 4.25 A, so maximum available voltage and power are bounded.

Parameter values for the prototype are shown in Table 5.

Table 5. Prototype parameters.

Parameter	Symbol	Value	Parameter	Symbol	Value
Input voltage	$V_{in}$	35 V	Load resistance	$R$	24 $\Omega$ and 8 $\Omega$
RMS output voltage	$V_{out}$	12.5 V	Inductor current	$i_L$	1–3 A
Inductor	$L$	5 mH	Hysteresis band	Hysteresis	0.2 A
Filter capacitor	$C_f$	5 $\mu$ F	Grid frequency	$f_{grid}$	60 Hz
Filter inductor	$L_f$	2 mH	Switching frequency	$f_{sw}$	60 kHz
Output capacitor	$C_{out}$	0.1 $\mu$ F	-	-	-

First, the prototype was tested with a resistive load. Figures 14 and 15 show the main waveforms and output current  $i_{out}$  distortion, for an inductance current  $i_L$  of 1 A and 3 A, respectively. A hysteresis band of 0.2 A was selected. The THD of the output current was measured with a 1735 Power Logger Analyst (FLUKE, Everett, WA, USA). As it can be seen, the converter operates as expected. At this low voltage, overcurrents in  $i_L$  are negligible. A THD of 2.8% was obtained for the last test.

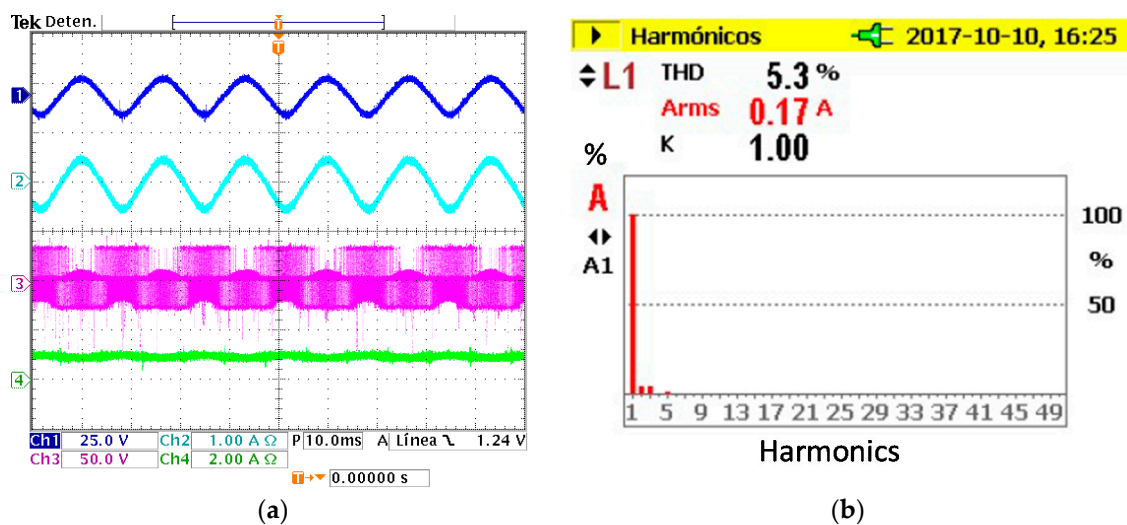
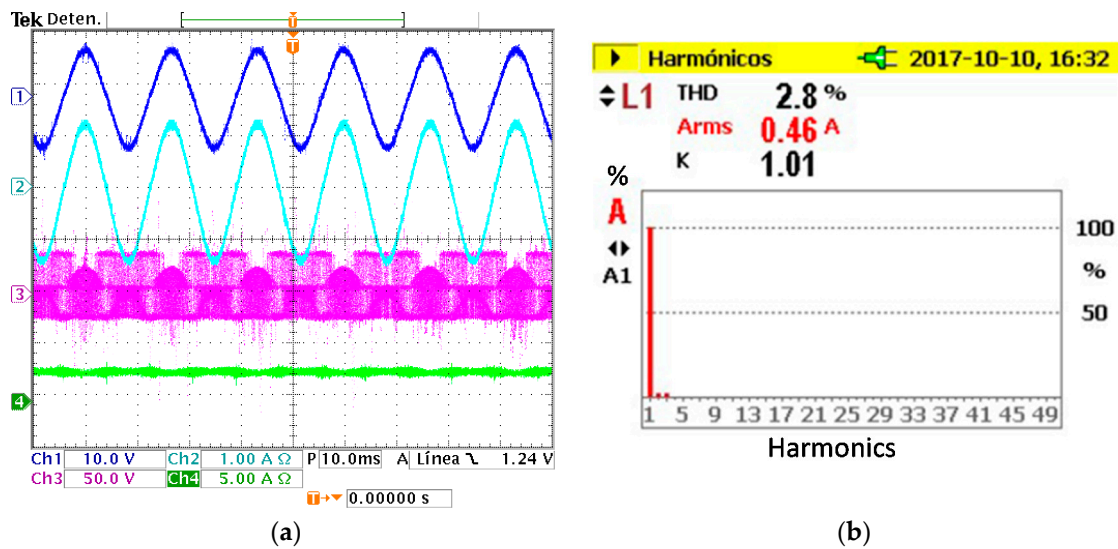
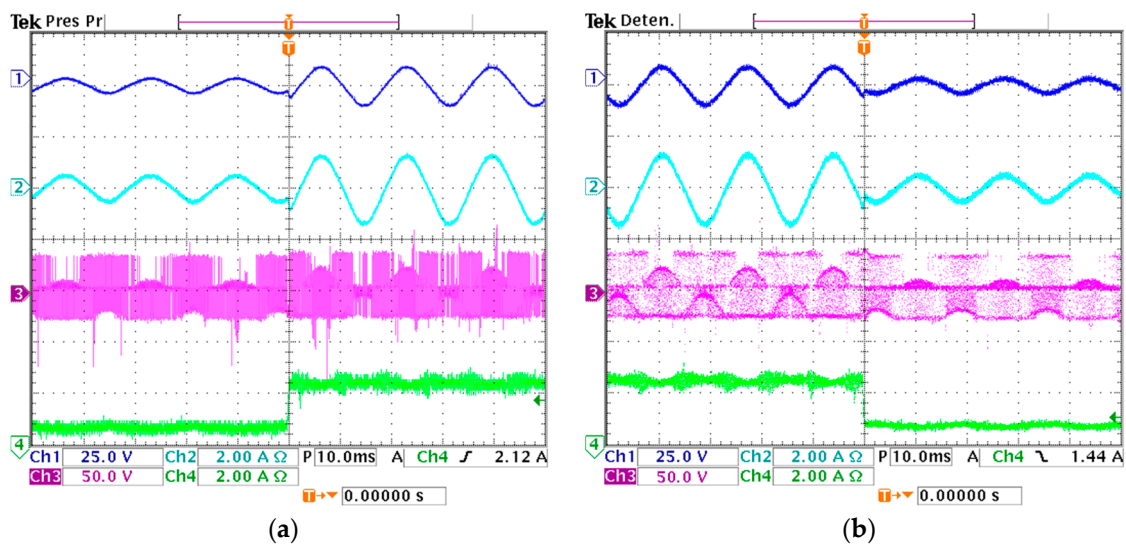


Figure 14. Main waveforms and output current  $i_{out}$  THD for  $i_L = 1$  A and a load resistance of 24  $\Omega$ . (a) From top to down, Ch1 output voltage  $V_{out}$ , Ch2 output current  $i_{out}$ , Ch3 voltage  $V_L$  across inductor  $L$ , and Ch4 inductor current  $i_L$ ; (b) Output current  $i_{out}$  THD measurement.



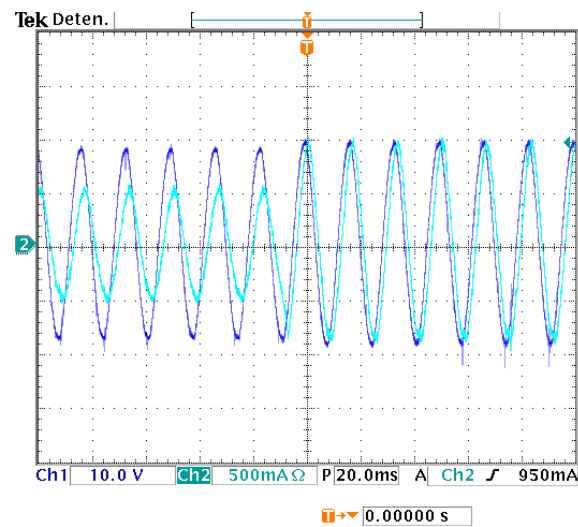
**Figure 15.** Main waveforms and output current  $i_{out}$  THD for  $i_L = 3$  A and a load resistance of  $8 \Omega$ . (a) From top to down, Ch1 output voltage  $V_{out}$ , Ch2 output current  $i_{out}$ , Ch3 voltage  $V_L$  across inductor  $L$ , and Ch4 inductor current  $i_L$ ; (b) Output current  $i_{out}$  THD measurement.

The performance of the converter under a sudden change in the set point of the inductor current  $i_L$  is illustrated in Figure 16. A step from 1 A to 3 A and viceversa is forced. A hysteresis band of 0.2 A was selected. The settling time was 784  $\mu$ s and 260  $\mu$ s respectively.



**Figure 16.** A sudden change in inductor current  $i_L$  set point. From top to down, output voltage  $V_{out}$ , output current  $i_{out}$ , voltage across inductor  $L$ ,  $V_L$ , and inductor current  $i_L$ . (a) Current  $i_L$  set point variation from 1 A to 3 A; (b) Current  $i_L$  set point variation from 3 A to 1 A.

The prototype was also tested connected to the grid, as shown in Figure 2. A sudden change in the set point of the inductor current  $i_L$  was conducted. Figure 17 shows the output current and the grid voltage during this test.

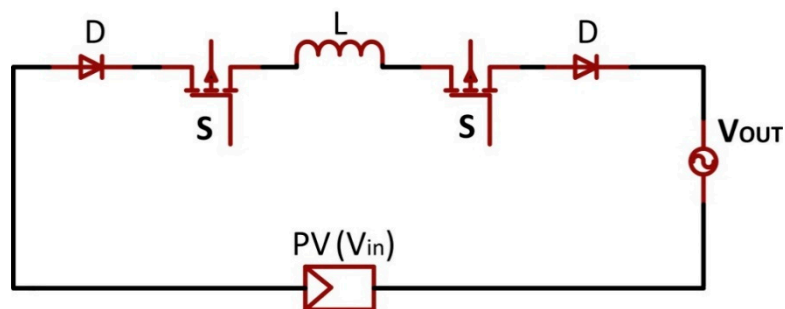


**Figure 17.** Grid voltage (dark blue) and output current  $i_{out}$  (light blue) waveforms under an inductor current  $i_L$  variation.

It has to be noted that there is no direct control of the output current  $i_{out}$ , so the THD can be high when connected to the grid. Additional control strategies may be proposed to reduce the output current distortion.

### 3.2.3. Power Losses Estimation

The conduction losses of the power stage can be determined considering the simplified circuit is shown in Figure 18. This is possible since there are always two switches conducting at the same time, and the inductor current  $i_L$  is kept constant (the ripple is disregarded). The switches have been implemented with a MOSFET and a diode in series. If an IGBT without diode in anti-parallel is considered, the series diode should be removed.



**Figure 18.** Simplified circuit for conduction losses calculation.

The power losses, including the switching losses, are determined by:

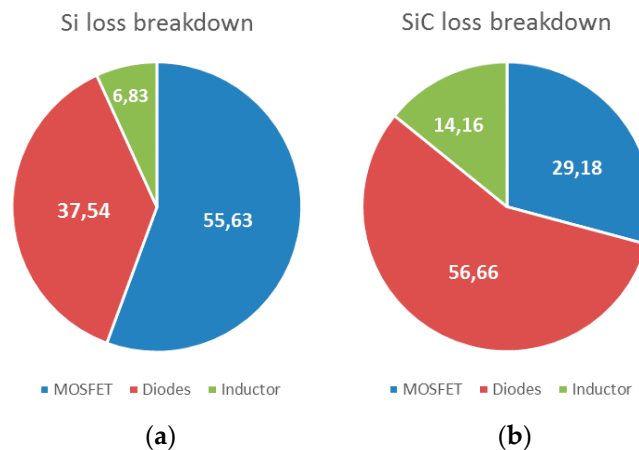
$$P_l = i_L \left\{ r_L i_L + 2 \left[ V_D + r_{DSon} i_L + f_{sw} V_{DS} (t_r + t_f) \right] \right\} \quad (22)$$

where  $r_L$  is the parasitic resistance of the inductor  $L$ ;  $V_D$  is the diode forward voltage;  $r_{DSon}$  is the MOSFET ON resistance;  $V_{DS}$  is the MOSFET Drain-Source voltage;  $t_r$  and  $t_f$  are the rise and fall time of the main semiconductors, respectively; and  $f_{sw}$  is the switching frequency.

The measured  $r_L$  is  $0.4 \Omega$ . The components selected are Si semiconductors, with  $V_D = 1.1 \text{ V}$ ,  $V_{DS} = 35 \text{ V}$ ,  $r_{DSon} = 850 \text{ m}\Omega$ ,  $t_r = 35 \text{ ns}$ ,  $t_f = 30 \text{ ns}$ , and  $f_{sw} = 60 \text{ kHz}$ , the estimated power losses are

4.57 W. However, changing  $V_{DS} = 200$  V, the losses are incremented to 5.86 W and considering a grid voltage of 127 V, the resulting estimated efficiency is 88.45%.

With equivalent SiC semiconductors, the values are now  $V_D = 0.8$  V,  $V_{DS} = 35$  V,  $r_{DSon} = 16$  m $\Omega$ ,  $t_r = 11$  ns,  $t_f = 10$  ns and  $f_{sw} = 60$  kHz, the estimated power losses are 2.41 W. And, considering  $V_{DS} = 200$  V, the losses are incremented to 2.82 W, if the grid voltage is 127 V, the resulting estimated efficiency is 94.08% respectively. Figure 19 shows an estimated loss breakdown figures for both Si and SiC semiconductors for these last conditions.



**Figure 19.** Loss breakdown estimation. (a) Using Si semiconductors; (b) Using SiC semiconductors.

As it can be seen, the losses in the diodes are the main contributor to total losses, so, as mentioned in Section 2.4.3, a solution with an IGBT switches without antiparallel diode should be considered for a practical implementation. It has been taken into account that, as mentioned in Section 3.2.2.

#### 4. Conclusions

There are many works on topologies of transformerless PV converters, trying to reduce the leakage current at the ground connection. One of the most successful technique is the common mode configuration, in which the neutral line of the grid directly connects to the negative terminal of the PV system. However, most of them are based on VSI converters. This paper proposes a new topology for a CSI converter with reduced leakage currents in common mode configuration. A complete analysis, design, and operation were presented. A new controller has been also designed and implemented. A 1 kW system simulation has been carried out, but also a low power prototype has been built and tested in order to confirm the feasibility of the proposed system. The system has a leakage current under 15 mA and low output current THD, <3%. Efficiency has been estimated, with reasonable numbers, although converter design was intended to test the topology proposed and the control, and it was not optimized for reduced losses. Finally, the inductor  $L$  is smaller than those usually found in conventional CSIs. The obtained results show that this proposal is suitable for PV converters connected to the AC mains.

**Author Contributions:** The authors contribute to the whole work. J.C., N.V., C.H. and J.V. worked on the converter topology, controller design, implementation, and testing. N.V. and J.V. supervised the paper writing and reviewing.

**Funding:** This research was partially funded by the SINFOTON-CM: S2013/MIT-2790 program from the Comunidad de Madrid, Spain, and by the National Instruments Corporation through the 2016 NI Academic Research Grant program. By the Mexican side, this work was sponsored by CONACyT under project No. 293557.

**Conflicts of Interest:** The authors declare no conflict of interest. The founding sponsors had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, and in the decision to publish the results.

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